



U.S. DEPARTMENT *of* STATE



The EU CBRN Risk Mitigation Centres of Excellence Initiative 9th International meeting of the National Focal Point

14 June 2023

Implications for State-Level UNSCR 1540 (2004) Implementation as updated by the UNSCR 2663 (2022) Mandate

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BACKGROUND AND CONTEXT

- UNSCR 1540: Circumstances prompting use of Chapter 7 authorities
- Origins of 1540's "Non-State Actor" focus (OP 1 and 2)
- UNSCR 1540 Successor Resolutions: UNSCR 2663 and its focus on CP Financing and increased transparency
- Origin (2011's UNSCR 1977) and status of 1540 Committee: different mandate from other SC Committees (monitoring not evaluation)
- Functional connection between UNSCR 1540 and Emergency Response: 1540 para 8abc and OP 3ab. Intuitive connections to multilateral standards and implementation approaches
- 1540 OP3 sub-para c and d – export/strategic trade control and enforcement. Fewer multilateral standards than OP3ab and multiple implementation pathways: some intuitive "first steps" that can kick start states' related capacities

- EU Control List-based systems
 - Long-term investment in licensing personnel
 - Of little use on their own to export enforcement personnel
- EU Strategic Trade Atlas Commodity Listings
 - Useful to small states tracking small numbers of relevant exports and trans-shipments (“specificity,” per UNSCR 2663 OP10)
 - For larger states, a means to cross reference with licensing system
 - For all states: central purpose of *WCO Strategic Trade Enforcement Guide*
- UNLIREC and national lists that modify approaches and/or drawn from both

OP3 and P6 (cont'd) and P8d: Industry Outreach

- Start-up Challenges and licensing first steps: P8b
 - OPCW reporting
 - IAEA Additional Protocol reporting
- Industry Outreach (Para 8d)
 - Cooperation with industry – manufacturers, shippers, brokers, academia and other holders of “intangible” technology -- most important counter-proliferation measure in UNSCR 1540. Links to all three OPs
 - UNODA’s “Industry Outreach 2.0”

Importance of States' Feedback to Committee, UNODA

- Voluntary State Reporting
 - Integrated into 1540 National Matrices
 - Matrices offer valuable lessons learned to states...
 - ...and guides GoE's support to requesting states
 - National Action Planning (“NAPs”)
 - Peer Reviews
- Role of UNODA
 - Trust Fund
 - UNSCR 1540 Support Unit
 - Support to Committee
 - Projects (1540 Online Training
 - Asia and Africa 1540 Coordinators* help states and Committee identify NAP and PR Opportunities

* 1540 Coordinators sponsored by OSCE and OAS provide parallel support in those regions



1540 Committee

Security Council Committee established pursuant to resolution 1540 (2004)

QUESTIONS?