



EU P2P

Newsletter

Issue N.10

October 2019 - April 2020

The EU P2P Export Control Programme is managed by the European Commission's Directorate General (DG) for International Cooperation and Development (DEVCO) and the European External Action Service (EEAS).



Welcome from the European Union

We are pleased to welcome you to Issue N. 10 of the EU P2P Newsletter concerning the period from October 2019 to April 2020. The European Union Partner to Partner Programme (EU P2P) continued actively promoting cooperation and capacity building activities under all three main areas of its activity: dual-use export controls, Arms Trade Treaty (ATT) implementation and Conventional Arms Export Controls (COARM).

As regards dual-use activities, several positive outcomes and interesting developments were recorded during this reporting period. To begin with, the consortium in charge of the implementation of CoE Project 64 offered, for the first time, two global thematic events : the first one was dedicated to the usefulness of trade data for mapping industry of dual-use relevance and, the second one consisted in a study visit to the Netherlands with a special focus on best practices for investigation and prosecution of export control cases.

Furthermore, the South East Asia region continued being in the spotlight as Thailand and the Philippines step up preparations for the phased implementation of their trade control law, due to commence on 1 July, 2020, and other countries in the region are moving towards setting up and operationalising trade control capabilities, in close cooperation with the EU P2P Programme.

This Issue features also items on technical cooperation trainings that took place in South East Europe, Ukraine, Tunisia as well as the first cooperation activities with Belarus and Iraq.

It is noteworthy that Morocco has submitted its long anticipated export control law for approval to the Parliament while cooperation activities with Jordan are progressing well under COE Project 38.

Finally, during this reporting period, the EU – US Export Control Capacity Building Working Group convened a meeting to discuss coordination and collaboration between EU's and US's assistance and cooperation programmes. It is acknowledged that cooperation between the US EXBS and the EU P2P has been reinforced since 2017.

Unfortunately, as this reporting period was coming to its end, the breakout of the COVID –19 pandemic had an impact on few of the remaining activities for this period. As the situation will certainly be more precarious for the activities planned in the following period, the dual-use strand of the EU P2P Programme along with the European Commission services are exploring options for conducting their activities in remote mode.

Concerning EU P2P activities on conventional arms export controls, since the last newsletter, the EU's activities aimed at strengthening controls of military technology and equipment in third countries continued under the two projects pursued under: the Council Decision on COARM Outreach and the Council Decision on ATT Outreach. However, as a consequence of the Corona crisis which started at the end of the period covered by this Newsletter, all activities came to a halt. It remains to be seen when the current projects can be finalised, and if in the future other



ways of sharing knowledge and building capacities in third countries will be needed. Our current ways of traveling all over the world for workshops and seminars might change out of necessity in the coming period, and force us to rethink the future structure of our outreach projects.

On other interesting news from the EU, in the same field, the “Twenty-First Annual EU Report on Arms Exports” (according to Article 8(2) of Council Common Position 2008/944/CFSP defining common rules governing the control of exports of military technology and equipment) was adopted by the Council on 21 November 2019, and was published in the Official Journal of the EU on 30 December 2019 (OJ C 437, 30.12.2019, p. 1–554). On 13 March 2020, the annual update to the Common Military List of the European Union has been published in the Official Journal (OJ C 85, 13.3.2020, p. 1–37).

COARM started its work on implementing the Council Conclusions adopted on 16 September 2019, regarding the review of the Common Position on arms exports. It has been addressing its working methods, as well as the adoption of a possible End Use Certificate for SALW.

We wish you a nice lecture!

Eva-Maria Engdahl, Head of CBRN Sector, DG International Cooperation and Development (DEVCO), European Commission

Eran Nagan, Chair of the Working Party on Conventional Arms Export (COARM), European External Action Service (EEAS)



Contents

Export Controls News

Dual-Use Trade Control News

2019 Export Control Forum: a lively and rich discussion of export control developments and trends.....	8
The modernisation of the legislation: the legislative process is gaining momentum.....	8
A collaborative effort towards EU-wide Compliance Guidance for Research Organisations.....	9
The EU list of dual-use items and its correlation table with EU Customs Combined Nomenclature.....	10
Strengthening the interest of academic and research community on dual use trade controls in Europe and Asia: the boosting effect of EU special research fund for doctoral grants.....	11

ATT and COARM Trade Control News

8 th Annual EU Non-Proliferation and Disarmament Conference.....	13
First Preparatory meeting for the 6th Conference of States Parties (CSP6) to the Arms Trade Treaty (ATT) ...	13

International Export-Control Regimes

Wassenaar Arrangement Plenary Meeting	14
---	----

Export-Control Events in the EU and beyond

EU–US Export Control Capacity Building Working Group.....	14
---	----



EU P2P: Dual-Use Trade Control Activities

CoE Project No 64 (Global Themed Events)

Study Visit in the Netherlands for Prosecutors.....	16
Dual-Use Industry Mapping Thematic Event.....	17

CoE Project No 64 (South East Europe)

Training Session on Customs Audit for Serbia.....	17
Training Session on Licensing in Kosovo*	18

CoE Project No 64 (Eastern Europe and Caucasus)

Study Visit in French for Ukrainians.....	18
EU and Belarus Export Control Best Practices and New Challenges Workshop.....	19

CoE Project No 64 (South East Asia)

Awareness Workshop for Licensing Officers on Setting Up a Licensing Office and Licensing Processes and Procedures(Philippines).....	20
Awareness Workshop for Licensing Officers on Setting Up a Licensing Office and Licensing Processes and Procedures (Thailand).....	21
An Embedded EU Expert for Thailand.....	22

CoE Project No 64 (North Africa and Sahel)

Legal Workshop for Tunisia.....	23
Legal Workshop for Morocco.....	24

EU Programme on Dual-Use Items for Jordan, Lebanon and Iraq (CoE Project No 38)

Workshop for Jordan Customs.....	25
----------------------------------	----



Second Management Team Meeting.....	25
Workshop for Iraq – Introduction into Strategic Dual-use Trade Controls.....	25
Information Exchange on the Jordanian Trade Control System.....	26
Workshop on Implementation of the Amended Instructions of the Year 2019 on the Export and Re-Export of Dual-Use Items.....	27

Other Dual-Use Trade Control Activities

Targeted Initiatives (TIs) for GUAM and Central Asian Countries

Promotion Event for the Moldovan Guide on Export, Re-export, Import and Transit of strategic goods.....	29
Handbook on Georgia's Export Control System.....	30

EU P2P: Arms Trade Treaty Activities

Arms Trade Treaty Outreach Project II (ATT-OP II)

Third Roadmap Activity on ATT Reporting in the Republic of Côte d'Ivoire.....	32
Third Roadmap Activity on Interagency Coordination and Information Sharing in Senegal.....	33
Fourth Roadmap Activity for Zambia.....	33
Ad Hoc Assistance Workshop for Kazakhstan.....	35
Third Roadmap Activity on Implementing ATT and Linked Sanctions in Burkina Faso.....	36
Fourth Roadmap Activity for Georgia.....	37
Sub-Regional Seminar in Ghana.....	38
Second Roadmap Activity for Peru.....	38
Third and Fourth Roadmap Activities for Togo.....	40
Sub-Regional Workshop in Costa Rica.....	41
Third and Fourth Roadmap Activities for Jamaica.....	42
Fifth Roadmap Activity and Second Sub-Regional Seminar in The Philippines.....	43
Fourth Roadmap Activity on Interagency Coordination in the Republic of Côte d'Ivoire.....	44
Ad Hoc Activity in Guyana.....	45
Third Roadmap Activity for Peru.....	46



Fourth Roadmap Activity on ATT communication in Burkina Faso.....	47
Third Roadmap Activity for Cambodia.....	48
Fourth Roadmap Activity on Enforcement Controls in Cameroon.....	49

EU P2P: Conventional Arms Export Controls Activities

Individual Assistance Workshop for Bosnia and Herzegovina.....	51
Individual Assistance Workshop for Montenegro.....	52
Study Visit for Bosnia and Herzegovina, North Macedonia and Ukraine.....	53
Cross-Regional Workshop for Central Asia, Eastern Europe and Caucasus.....	54



Export Controls News

Dual-Use Trade Control News

2019 Export Control Forum: a lively and rich discussion of export control developments and trends

The European Commission and the Finnish Presidency of the Council held the 2019 Annual Export Control Forum in Brussels on 13 December 2019. The 2019 Export Control Forum brought together approx. 300 experts from EU Member States and the European Parliament, industry, academia and civil society to review and discuss current export control issues.

The 2019 Export Control Forum provided an opportunity to review ongoing export control implementation and latest developments in the EU and globally, as well as to discuss the future of EU and wider international export controls.

Discussions focused on the modernisation of EU Export controls and ongoing negotiations between the European Parliament, the Council and the European Commission for introducing provisions for EU autonomous controls to prevent, in particular exports of cyber-surveillance technology that might be misused for human rights purposes.

Participants also reviewed ongoing developments, including the updated 2019 EU Control List, the Export Control Annual Report and the adoption of guidance on industry compliance in August 2019. Participants noted the importance of effective controls on dual-use research and supported the creation of an expert group to develop guidance in this respect. Participants also welcomed progress in the development of electronic licensing in the competent authorities.

Finally, the 2019 Export Control Forum looked into the future and considered risks and challenges associated with emerging technologies as "the new frontier" for export control.

Author: Stephane Chardon, Policy Coordinator, DG TRADE

The modernisation of the legislation: the legislative process is gaining momentum

Negotiations on the modernisation of EU export controls gained momentum in 2020 and a third Trilogue meeting took place at the European Parliament in Strasbourg, on 13 February 2020, in the presence of the Commissioner for Trade, P. Hogan, the Chair of the EP International Trade Committee, B. Lange, and the Director for Trade Policy for the Croatian Presidency of the Council, I. Alajbeg. The discussions took place in a constructive atmosphere and progress was made on various issues including information-exchange mechanisms and transparency, and the introduction of EU General Export Authorisations for the export of encryption items and for intra-group technology transfers. The co-legislators also agreed to mandate the Commission to prepare a compromise text regarding the intro-



duction of EU autonomous controls that would in particular allow for the introduction of effective controls on exports of cyber-surveillance technologies that may be misused for human rights violations. A fourth Trilogue was planned at the end of March 2020, but it had to be postponed due to the pandemic. Negotiations nevertheless continued and the next Trilogue will be held as soon as physically possible.

Author: Stephane Chardon, Policy Coordinator, DG TRADE

A collaborative effort towards EU-wide Compliance Guidance for Research Organisations

Raising awareness about dual-use trade controls is a very important aspect of preventing and mitigating risks related to non-compliance. Competent authorities in the European Union are committed to providing guidance on how to strengthen internal compliance measures. On 30 July 2019, the EU compliance guidance for controls on trade in dual-use items was published.

This guidance is of general nature (for all types of companies) and not tailored to the specific needs and requirements of the research community, the academic institutional setting and daily research operations. While it is recognised that it takes time for research organisations to set up and maintain such compliance measures, a systematic and proportionate approach to internal compliance measures for dual-use trade controls is vital to comply with the applicable EU regulation and national complementary measures.

Because of the complexity of dual-use controls, in particularly concerning technology transfers, and the need for more information addressing the academia and research institutes, research organisations expressed great interest in collaborating with competent authorities to develop specific guidance.

The guidance has 3 objectives:

- Explain the basics of dual-use trade controls and its impact on research related activities;
- Provide specific guidance for compliance staff on how to set up and review an internal compliance programme and,
- Provide guidance on the implementation of the de-control notes “basic scientific research” and “in the public domain”.

The European Commission and its Member States are in the process of drafting this guidance. A public consultation phase is foreseen for mid-2020. The guidance is scheduled to be published by the end of 2020. For more information on the forthcoming public consultation phase and the publication of the guidance, consult <https://ec.europa.eu/trade/import-and-export-rules/export-from-eu/dual-use-controls/>

Author: J. Evers, Technical Export Compliance Officer, Flanders Department of Foreign Affairs and,
M. Irlando, Seconded National Expert, Policy Officer, DG TRADE



The EU list of dual-use items and its correlation table with EU Customs Combined Nomenclature

The [Commission Delegated Regulation 2019/2199](#) of 17 October 2019 amending Council Regulation (EC) No 428/2009 entered into force on 31 December 2019. The aforementioned Regulation updated the Annex I to the Council Regulation (EC) No 428/2009 - the so-called EU dual-use list - reflecting the changes agreed in 2018 in the control lists adopted by the international non-proliferation regimes and export control arrangements (notably the Australia Group, the Nuclear Suppliers Group, the Missile Technology Control Regime, the Wassenaar Arrangements and the Chemical Weapons Convention).

The EU dual-use list describes the dual-use items, providing the technical description of the items subject to the Regulation and assigning them an entry code, useful for their classification. At the same time, in the EU, all commodities are described, for Customs purposes, by the Combined Nomenclature (CN), reproduced in Annex I to the Council Regulation (EEC) No 2658/87 of 23 July 1987 on the tariff and statistical nomenclature and on the Common Customs Tariff.

Thus, considering it useful to the effectiveness of the EU export control system, a Correlation Table between the Entry codes of the EU dual-use list and the CN codes has been developed and regularly updated by the EU Commission. This Correlation Table, despite the level of uncertainties due to the difference in the structure of the two lists, is a useful tool and provides a good guidance for the classification of dual-use items. The last version of the Correlation Table is available on DG Trade website, in the Dual-use trade controls [webpage](#):

<https://trade.ec.europa.eu/doclib/html/155445.htm>

A good classification of dual-use items, essentially based on their technical characteristics and their features, requires the identification of the dual-use entry codes as assigned in the EU dual-use list. Nevertheless, the right classification for Customs purposes of the same item, necessary for lodging the Customs declaration, has to be based on the identification of the CN code to be declared on the SAD (Single Administrative Document).

The possibility to link commodities with potential dual-use relevance by using the correlation table can help economic operators to identify controlled and sensitive transactions and it allows enforcement authorities to better target their risk assessments, as the physical check of all the export operations is impossible.

Finally, let us remind, that TARIC is a multilingual database integrating all measures relating to EU Customs tariff, mainly with the aim of collecting the right tariff duties, but also of offering a consolidated database of other non-tariff measures (e.g., related to security enforcement (dual-use goods), environment protection (gases that deplete the ozone layer), health (psychotropic and narcotic drugs), safety (dangerous goods), etc.).



TARIC uses the CN codes for providing operators with a clear view of measures to be taken when importing goods to the EU or exporting goods from the EU, also providing information on the specific EU regulations to be applied and, in the case of dual-use items, providing indication on possible dual-use entry codes assigned to that particular item.

The TARIC database is available on this [webpage](https://ec.europa.eu/taxation_customs/business/calculation-customs-duties/what-is-common-customs-tariff/taric_en): https://ec.europa.eu/taxation_customs/business/calculation-customs-duties/what-is-common-customs-tariff/taric_en

Author: M. Irlando, Seconded National Expert, Policy Officer, DG TRADE

Strengthening the interest of academic and research community on dual use trade controls in Europe and Asia: the boosting effect of EU special research fund for doctoral grants

In the last 15 years, export controls of dual-use items has become a regular concern of public authorities. The revelation of the A.Q. Khan network illicit activities primarily in connection to nuclear weapons development in Pakistan but also other countries, the Saddam Hussein nuclear weapons research program, the use of chemical weapons in the war between Iran and Iraq or, more recently in Syria, has raised the concern over the potential contribution of State and non-State actors to the development of unlawful WMD programs in different countries. Progressively, new principles and rules have been adopted by the different international trade control regimes to counter those risks. As a result, national export control systems have been reviewed and adapted accordingly.

In parallel, dual-use export controls have become slowly a field of interest for the academic and research community who were previously essentially interested by disarmament and non-proliferation. In the EU, the process started in the eighties under the impulsion of Harald Muller from the Peace Research Institute of Frankfurt (PRIF) who convened annual scientific conferences dedicated to strategic export controls and published regularly on the topic. He also promoted and supported several doctoral researches and slowly established a network of young scientists who in their turn reinforced the scientific community's interest in strategic trade controls. Furthermore, interactions among academics, government authorities and economic operators have been slowly increasing through the establishment of think-tanks and the wider diffusion of legal and technical expertise in the topic, thereby promoting an improved global efficiency and effectiveness of national trade control systems worldwide.

The EU in particular, has slowly established a robust knowledge on dual trade controls that has become an international reference. The wide use of the EU dual-use list of controlled items by several countries from all over the world is one of the best examples of this recognition.

In 2017, learning from its own experience, the EU initiated a multifaceted initiative to support academic research dedicated to dual-use trade controls in several third countries to be implemented by two international organisations. The International Science and Technology Center (ISTC) in Kazakhstan is in charge of the activities' implementation in countries such as Kazakhstan, Kyrgyzstan, Tajikistan, Turkmenistan, Armenia, Georgia and the Science and



Technology Center (STCU), in Ukraine is in charge of activities implemented in Ukraine, Azerbaijan, Moldova and Georgia as well.

Under the so-called "Targeted Initiatives", these two science centers support several activities with educational focus and one of them consists in a special research fund for doctoral researches. This scholarship offers, each year, to two students a four-year contract to conduct a doctoral research related to developments and trends in the areas of: chemical, biological, radiological or nuclear weapons non-proliferation; strategic trade controls and national and international trade control systems.

With the objective to strengthen the collaboration and exchanges between the EU research scientific community and the ones of the targeted countries, selected students/researchers have to be supervised by two professors, one from a University of the applicant's country and one from the European Economic Area.

Since the launch of the program, four candidates have received the grant and their researches are covering areas as diverse as:

- A methodology for assessing the content of artificial radionuclides in livestock products produced in areas polluted by nuclear tests;
- Barriers and challenges concerning transfers to the EU of Ukrainian technologies for producing advanced materials of dual-use nature;
- The political and economic aspects of trade regulations in the Eurasian Economic Union and,
- A study exploring whether the objectives of the EU CoE CBRN Initiative are being achieved.

A new call has been announced for 2020 but due to the pandemic, the process has been delayed and the selection of eligible researchers will be finalised in fall.

Although it is too early to draw conclusions on the potential effects of the doctoral research project fund on the establishment and the strengthening of an academic and research community interested by strategic trade controls -as the first doctoral research is expected to be achieved in 2022, some positive results could already be pointed out. One of them is the creation of two poles of research. The first, under the impulsion of Professor Galym Makhmejanov, from KAZGU University (Nur-Sultan, Kazakstan) will be the first one dedicated to studying the economic aspects of strategic trade control sin Asia, and presumably in Europe as well. The second one, under the impulsion of Professor Viktoriya Gura from Taras Shevchenko National University of Kyiv (Ukraine) will be dedicated to legal and political aspects of strategic trade controls.

Author: Pr. Dr. Quentin MICHEL, ESU, University of Liège, Belgium.



ATT and COARM Trade Control News

8th Annual EU Non-Proliferation and Disarmament Conference

13-14 December 2019, Brussels (Belgium)

The 8th Annual EU Non-Proliferation and Disarmament Conference took place in the Egmont Palace in Brussels, organised by the EU Non-Proliferation and Disarmament Consortium of think-tanks. Acting SG Pawel Herczynski for Common Security and Defence Policy (CSDP) delivered the welcome message on behalf of the EU's High Representative for Foreign Affairs and Security Policy and Commission's Vice President (HRVP), stressing the need for multilateral cooperation in order to face the many challenges in the non-proliferation and disarmament field. The EEAS shared the joint actions, council decisions and diplomatic and policy measures deployed by the EU in support of non-proliferation, disarmament and arms export control. Other speakers included the UN Under-Secretary General and High Representative for Disarmament Affairs, US Undersecretary of State for Arms Control and International Security and Russian Ambassador to the IAEA. Almost 300 participants from 54 countries and international organisations took part in the well-organised and well-received conference.

Author: Eran Negan, Chair of COARM, EEAS

First Preparatory Meeting for the 6th Conference of States Parties (CSP6) to the Arms Trade Treaty (ATT)

4-7 February 2020, Geneva (Switzerland)

The first Preparatory meeting for the 6th Conference of States Parties (CSP6) to the Arms Trade Treaty (ATT) took place, including three working groups (on Effective Treaty Implementation, on Treaty Universalization, and on Transparency and Reporting). Several Latin-American and Asian States expressed gratitude for the EU support through its ATT Council Decision, while some African states seemed more critical of the benefits. Under Belgian chairmanship a closed meeting on diversion took place with the aim of setting up a forum for States Parties in order to exchange information on specific cases. The US was present as observer and participated actively in some sessions, despite president's Trump decision to 'withdraw' the US signature from the ATT.

Author: Eran Negan, Chair of COARM, EEAS



International Export Control Regimes

Wassenaar Arrangement Plenary Meeting

4-5 December 2019, Vienna (Austria)

The annual Plenary Meeting of the Wassenaar Arrangement (WA) for export controls in conventional weapons and dual-use technologies was chaired by Greece. The Plenary adopted the Control Lists, both the Military and Dual Use Lists which paves the way for the EEAS to update the EU Common Military List and for the Commission to update the EU Dual-Use Control List. Regional issues were discussed. On membership, no progress was made on the nine pending applications, one of which is Cyprus. On the selection of the next Head of the WA Secretariat (HoS), polls were conducted to determine if any of the 5 candidates could attract consensus. In the end, the Plenary agreed to extend the term of the current HoS, Phillip Griffiths (NZ), for 2 and a half years, to 1 January 2023. As in previous years, the Plenary did not reach agreement on a Public Statement due to differences of opinion on the language on arms transfers to regions of conflict. Instead the Chair issued a statement under her own authority.

Author: Eran Negan, Chair of COARM, EEAS

Export-Control Events in the EU and Beyond

EU –US Export Control Capacity Building Working Group

10-11 December 2019, Brussels (Belgium)

The meeting is a traditional bi-annual EU-US event reviewing the status of export control capacity building projects in third countries of common interest, where in-field coordination and collaboration among EU and US actors is envisaged. DG DEVCO, DG JRC and the “EU Partner to Partner Programme” (EU P2P) implementers reported on the progress achieved in the about 30 countries where the EU implements export control technical cooperation activities.

The US Department of State “Export Control and Related Border Security Program” (EXBS) reported on their activities in about 60 countries, complemented by the US Department of Energy “International Nonproliferation and Export Control Program” (INECP).

An important session was dedicated to methodologies for the definition of priority countries and impact assessment.



JRC presented the status of the new EU methodology, which will be tested by the Belgian Nuclear Research Center (SCK-CEN) in the next months. The US presented their new threat-vulnerability based approach focusing on countries of concern and identification of potential suppliers.

The EU presentation included also reference to the JRC Strategic Trade Atlas, developed in collaboration with the US DOE, and the TIM tool where a dual-use search space is in development.

The next meeting of the successful series of EXCBWG discussions was envisaged for May 2020, in the premises of JRC , in Italy. However, given the current coincidence with the pandemic, the meeting will be conducted in virtual mode.

Author: Filippo Sevini, Project Leader, EC– Joint Research Centre and,
Christos Charatsis, Project Officer, EC– Joint Research Centre



EU P2P: Dual-Use Trade Control Activities

CoE Project No 64 (Global Themed Events)

Study Visit in the Netherlands for Prosecutors

*5-7 November 2019, Rotterdam/Amsterdam/The Hague
(The Netherlands)*

In November 2019, the EU P2P Programme organized a study visit for prosecutors, in the Netherlands. A total of 12 participants from partner countries attended the event, including 3 persons from Malaysia and Lao PDR, 2 persons from Philippines and Thailand, and 1 person from Armenia and Belarus.

The aim of the event was to familiarise participants with export control related investigation and prosecution practices.

Part of the study visit was a one-day training in Rotterdam by the Netherlands Customs with a special focus on presenting the work of the specialist team responsible for the enforcement of strategic trade controls and sanctions. This team is enforcing exporters' compliance, conducts also (criminal) investigations and works closely together with the prosecutor's office.

The second day consisted in a visit to the National Public Prosecutors Office for serious fraud, environmental crime and asset confiscation in Amsterdam. This office is responsible for supervising criminal investigations carried out by other authorities, amongst them the above mentioned Customs enforcement team. The role of the

prosecutor specialised in export controls and sanctions was highlighted and the experiences of this prosecutor was shared with the participants. A representative of Eurojust also presented the organisation.

The study visit finally included a one-day conference on export controls and sanctions in The Hague. Relevant topics of discussion were, amongst others, the following: "American Sanction Regulations", "Defence &

Strategies for Criminal Prosecution", and "Iran Sanctions, Criminal prosecution & Prosecutor's Office Policy".

Author: EF Outreach Team



Study Visit in the Netherlands for Prosecutors group picture



Dual-Use Industry Mapping Thematic Event

Dual-Use Industry Mapping Thematic Event

26-27 November 2019, Brussels (Belgium)

The EUP2P Programme organised a global thematic event on dual-use industry mapping for Customs and licensing officials, which took place in Brussels, Belgium on November 26-27, 2019.

The meeting gathered 29 participants from 14 partner countries, including Albania, Armenia, Belarus, Cambodia, Indonesia, Lao PDR, Malaysia, Morocco, Myanmar, Philippines, Thailand, Tunisia, Ukraine and Viet Nam. The training was carried out by King's College of London with the support of experts from the International Atomic Energy Agency (IAEA), the Joint Research Center (JRC), the Organisation for the Prohibition of Chemical Weapons (OPCW), the Slovenian Customs, the University of Liege, and the World Custom Organization (WCO).

Welcome remarks were made by representatives from DEVCO and Expertise France.

This thematic workshop aimed at presenting the tools and techniques for industry mapping. This included the presentation of the CN codes classification system and the EU correlation table, the role of the Harmonized

System (HS) of tariff nomenclature, the EU export control handbook for chemicals, the Strategic Trade Atlas and other relevant topics. An introduction was made to governmental data sources such as Customs, licensing, and business registry data, as well as to other available sources of information. The event also included presentations of national approaches from Ukraine, the Philippines and Malaysia. Finally, table-top exercises were organised to give participants an opportunity to explore some of the tools and data sources presented during the workshop.

Author: EF Outreach Team

CoE Project No 64 (South East Europe)

Training Session on Customs Audits for Serbia

27-28 November 2019, Belgrade (Serbia)

Within the framework of the EU P2P Export Control Programme for Dual-Use Goods, a Training Session on Customs Audits took place in Belgrade, Serbia.

The purpose of this two-day themed event, which is the second activity envisaged in Serbia's national roadmap



EU P2P

export control programme
for dual use goods

under this second phase of the EU P2P, was to describe how effective Customs audits can be organised and implemented. The training provided best practices for planning and implementing audits including collaborating with investigators in case where sound evidence of possible illegal activities is gathered during an audit. In addition, investigation techniques as well as the specialised knowledge required for an export control related investigation of dual-use items were addressed.

Two experienced EU experts in the field of strategic trade control enforcement supported the mission with their expertise. A delegation of twelve representatives from the Serbian Customs and Police Administration attended the event.

The training was successful and revealed similarities between the approaches followed by Serbia and the EU Member States. The participants were satisfied with the practical exercises proposed as well as the technical discussions that took place and expressed their wish to organise such a workshop again to further elaborate on possible areas where the auditing and investigation systems could be enhanced.

Author: EF Outreach Team

Training Session on Licensing in Kosovo*

*11-12 December 2019, Pristina Kosovo**

A two-day themed Training Session on Licensing was conducted in December 2019, in Pristina, Kosovo*. The objective of this second national activity was to respond in targeted manner to the assistance needs expressed by Kosovan partners. For this purpose, the licensing of transit and transshipment activities was addressed as well as commodity identification and classification practices.



Training Session on Licensing in Kosovo*

Two experienced EU experts shared national practices with respect to the above-mentioned topics and provided practical exercises and cases in order to enable the interactive character of this event.

In total 11 representatives from authorities involved in strategic trade controls in Kosovo* participated in this event.

This designation is without prejudice to positions on status, and is in line with UNSCR 1244 and the ICJ Opinion on the Kosovo declaration of independence.

Author: EF Outreach Team

CoE Project No 64 (Eastern Europe and Caucasus)

Study visit in French for Ukrainians

12-13 December 2019, Paris (France)

In the framework of the EUP2P Programme on Export Control of Dual-Use Goods, Expertise France organised a study visit for Ukraine in France, in December 2019.

During this visit, experts from France and Ukraine provided their expertise in effective dual-use implementation and exchanged on their countries' national system methods and best practices for evaluating applications,



Study Visit in France for Ukrainian Authorities

managing licences and exercising control. This activity was dedicated to Ukrainian licensing, Customs and other enforcement officials from the Ministry of Foreign Affairs, Ministry of Economic Development, Trade and Agriculture, State Service of Export Control, Security Service, State Customs Service and the Institute for Nuclear Research of National Academy of Sciences of Ukraine.

This two-day visit provided the relevant stakeholders with the opportunity to engage in discussions with French experts and learn first-hand about licensing, Customs and enforcement procedures. The Ukrainian delegation expressed their satisfaction with the visit which provided valuable insights into how French administrations control the export of strategic goods on a daily basis.

Author: EF Outreach Team

EU and Belarus Export Control Best Practices and New Challenges Workshop

20-21 February 2020, Minsk (Belarus)

In the framework of the EU P2P Programme on Export Control of Dual-Use Goods 2017-2020, the first national workshop for Belarus took place in February 2020 in Minsk.

The focus of the seminar was on the presentation of the export control system of Belarus and of the EU paradigm, the exchange of good practices, as well as the challenges faced by supervisory authorities with regard to intangible transfers of technology and the rapid development of new technologies that can be used in WMD proliferation. The seminar gathered 17 representatives from the relevant authorities involved in strategic trade controls in Belarus such as the Ministry of Foreign Affairs, the State Military Industrial Committee, the State Customs Committee, the State Security Com-



EU and Belarus Export Control Best Practices and New Challenges Workshop

mittee, the State Secretariat of the Security Council, the Ministry of Emergency, the Ministry of Health and the National Academy of Science.

The participants were very engaged throughout the workshop, and shared a number of questions during the discussions following each session.

Active participation and the opportunity for in-depth discussions with colleagues and EU experts increased participants' knowledge of both systems and of possible ways of preventing and detecting illegal intangible transfer of technology.

The representative of the Delegation of the EU to the Republic of Belarus and the EU P2P experts from Belgium, Slovenia and United Kingdom supported this event by presenting relevant topics and moderating the discussions.

Author: EF Outreach Team

CoE Project No 64 (South East Asia)

Awareness Workshop for Licensing officers on Setting Up a Licensing Office and Licensing Processes and Procedures

27-29 November 2019, Manila (Philippines)

In November 2019, an awareness workshop for licensing officers took place in Manila as the second activity of the Philippines under the current phase of the EU P2P Export Control Programme. The Philippines is currently making preparations for the implementation of its Strategic Trade Management Act, aimed for 1 July, 2020.

The objective of the training was to raise awareness and prepare licensing officers with regard to the challenges that they could encounter when implementing controls in strategic trade. 22 representatives from the Strategic Trade Management Office (STMO), custodian of the law and main licensing agency, attended the workshop.



Participants to Awareness Workshop on Setting Up a Licensing Office and Licensing Processes and Procedures in Manila

ticipants joined this training, of which 12 were from the Department of Foreign Trade and 8 from other agencies that will be involved in licensing and/or enforcement of the dual-use control law.

The objective of the event was to train the Department of Foreign Trade officers and other relevant agencies on dual-use export controls. This training event is held at a critical time for Thailand to ensure the licensing office is prepared to effectively navigate through the hurdles it might experience in implementing and enforcing the Trade Control on Weapons of Mass Destruction Act (TCWMD).

The EU experts shared their personal experiences and provided the participants with practical examples of cases they could be faced with. Thus, the participants gained valuable insights into effective practices for preventing the proliferation of WMD and its delivery systems.

As the EU P2P assistance carries on, Philippines' experience could be an inspiration for other countries in the region that struggle to make headway in adopting modern strategic trade control legislations.

Author: EF Outreach Team

Awareness Workshop for Licensing Officers on Setting Up a Licensing Office and Licensing Processes and Procedures

11-13 December 2019, Bangkok (Thailand)

The second of the planned activities foreseen for Thailand in this phase of the EU P2P Export Control Programme, a licensing awareness workshop, was held at the Davis Hotel in Bangkok, in December, 2019. 20 par-

The Department of Foreign Trade of Thailand is, Indeed, currently making preparations for the implementation of the TCWMD aimed for partial enforcement on 1 July, 2020. Among the preparations undertaken is the setting up of a licensing office and the drawing up of the Implementing Rules and Regulations.

This training contributed to the better understanding of the process and procedures involved in licensing. It also contributed towards building capacity of Department of



Workshop Participants in Bangkok



EU P2P

export control programme
for dual use goods

Foreign Trade officers who will license the exports of dual-use goods and assist in the effective implementation and enforcement of the TCWMD. This, coupled with other activities planned for Thailand, would put the country in a better footing to carry out the task of preventing the proliferation of WMD and its delivery systems.

Author: EF Outreach Team

An Embedded EU Expert for Thailand

20-24 January 2020, Bangkok (Thailand)

Following a specific request expressed by Thailand, the EU P2P Export Control Programme on Dual-Use Goods organised the embedment of an EU expert to the Thai Department of Foreign Trade (DFT), for one week. The objective of the mission was to provide first hand assistance to the DFT in view of the its preparations towards the implementation of the new dual-use trade control provisions.

DFT is the Thai licensing authority, in charge of the implementation of “Thailand’s Control on Weapons of Mass Destruction related items Act, A.D. 2019” (TCWMD). This Act stipulates controls on the export, re-export, transit, transshipment and brokering

of dual-use items.

During a week, the EU expert acted as a first hand and direct personal support to the licensing staff and together, they discussed further additional recommended steps that could be taken to pave the way for a smooth initiation of the implementation of controls. A final round of discussion took place at the very end of the mission, in which the DFT staff assessed the topics they, in particular, consider as necessary for the preparation of the authority. An example of an area where capacities could be enhanced concern understanding better the technical language used in the control list and utilising technical reach back in the context of overall assessment of an application.

Although the TCWMD entered into force in 1 January, 2020, its implementation will take place on the basis of certain secondary legislation, whose drafting will soon be completed.

Author: EF Outreach Team



The EU Embedded Expert along with the DFT Officers in Thailand



CoE Project No 64 (North Africa and Sahel)

Legal Workshop for Tunisia

10-13 December 2019, Tunis (Tunisia)

The implementing consortium of the EU P2P Export Control Programme on Dual-Use Goods organised together with the Tunisian Ministry of Foreign Affairs and the Ministry of Commerce an activity on the management of dual-use trade items and technology, in Tunis, from 10 to 13 December 2019.

The objectives of the workshop were to raise the awareness of border security frontline personnel on the challenges of controlling the international trade of dual-use goods through presentations, discussions and a table-top exercise on the needs of interagency coordination in enforcement. Discussions also took place on the architecture of a possible national legal framework for these controls.

The event was coordinated with the national inter-ministerial Working Group and experts of the US-led EXBS Programme who exchanged with European experts on international practices in this area and studied the possibilities for enhancing specific controls on trade of dual-use items that can be diverted to proliferation and non-peaceful purposes. Participants from the ministries of Foreign Affairs, Commerce, Nation-

al Defence, Finance (Customs), Interior, Industry, Justice, Transports and the Civil Protection shared their experiences and views on the existing legislation, and contributed to defining ways forward for the national dual-use trade control system in accordance with the international best practices.

While a mandate is expected to enable soon the Working Group to formally initiate the work on the enhancement of a national dual-use trade control system, the experts expressed their commitment to continue engaging with interested parties in Tunisia, the EU and the US, to move this process forward with the EU P2P Programme.

Author: EF Outreach Team



Legal Workshop in Tunisia



Legal Workshop in Morocco group photo

28

Legal Workshop for Morocco

15-16 January 2020, Rabat (Morocco)

The implementing consortium of the EU P2P Programme for Dual-Use Goods organized, together with the Moroccan Ministry of Trade, Investment, Industry and Numerical Economy, a legal workshop on the regulatory framework in relation to dual-use trade controls, in Rabat, in January 2020.

The objective of this workshop was to discuss and amend draft regulations that shall implement the law that was submitted in December 2019 to parliamentary review for adoption. Exchanges took place between

Moroccan, European and American experts on international best practices in managing the trade of dual-use items and technology in order to detail the implementing measures and main principles to be set in the relevant Law.

participants from the Ministries of Trade, Investment, Industry and Numerical Economy, Interior (including Police, Intelligence, Civil Protection), Finances (Customs), Defence (including Gendarmerie Royale), Health (National Centre of Radioprotection), Energy and Mines, the Financial Intelligence Unit, the Nuclear and Radiological Safety and Security Moroccan Agency, the Tanger Med Port, the National Centre of Scientific and Technological Research, the National Agency for Telecommunications, as well as the United States EXBS programme shared their experiences and views on the drafts' amendments of a decree organising the national control system and an "arrêté" establishing the list of dual-use goods and technology.

The general consensus is to continue working, in parallel to the parliamentary review and adoption of the provisions of the Law, on the elaboration of the Decree and other implementing regulations such as the differentiated national lists of control of dual-use goods and technology for export and for import. All the stakeholders



are committed to working with interested parties in the EU and in coordination with other international donors, to move this process forward.

Author: EF Outreach Team

EU Programme on Dual-Use Items for Jordan, Lebanon and Iraq (CoE Project No 38)

Workshop for Jordan Customs

2-3 December 2019, Amman (Jordan)

In December 2019, a workshop was held for Jordan Customs to discuss the progress regarding the amended (2019) version of the Instructions on Export and Re-export of dual-use items. The event was organised by the implementing entity BAFA following a request of the Jordan Customs Department.

The reason that led the Jordan authorities to submit this request was a comprehensive reshuffle of personnel in all government authorities that took place in 2019. As a consequence, all former technical focal points of the project within the Customs Department were sent to retirement. The newly appointed staff is neither aware of the issue nor received sufficient information about the work done so far under the project. This situation could have an impact on the control over the cross-border movement of strategic items.

This mission had the aim of clarifying the Customs procedures with regards to dual-use items, the proper use of the correlation table and to introduce the topic of risk management for dual-use trade. The event was used to give the Ministry of Industry, Trade and Supply (MIT) the opportunity to present the revised legislation and the new forms and documents to the Customs, elaborated with support of this project.

19 participants from Customs were present, from the headquarters, regional Customs offices and border offices of Jordan, besides Customs at the Amman International Airport.

The activity was supported by technical, legal and Customs experts from the Netherlands, the United Kingdom, Germany and Hungary.

Author: BAFA Outreach Team

Second Management Team Meeting

14 January 2020, Frankfurt am Main (Germany)

In January 2020, the Second Management Team Meeting took place in Frankfurt, organised back-to-back with a workshop for Iraq. In this meeting, the progress of the project in the partner countries with respect to the implementation of the action plans was discussed jointly with the key experts, as well as general developments such as the political situation in Lebanon and the cooperation with the United Arab Emirates under the project.

The team meeting was attended by the assigned key experts from Hungary, Germany and Jordan as well as by four representatives of the implementing entity BAFA.

Author: BAFA Outreach Team

Workshop for Iraq – Introduction into Strategic Dual-Use Trade Controls

15-16 January 2020, Frankfurt am Main (Germany)

Following the Second Management Team Meeting, on 15 – 16 January 2020, the implementing entity BAFA



Workshop for Iraq – Introduction into Strategic Dual-Use Trade Controls group photo

conducted a workshop for Iraq. Due to the security situation in Iraq and visa procedures in neighbouring countries, the most feasible option was to hold the event in Germany.

The EU initiated the cooperation with Iraq in the area of dual-use trade controls in 2019. This first workshop introduced the participants in the scope of controls as well as the respective tools and basic procedures of a Strategic Trade Control system. It addressed all ministries and government agencies of Iraq that have or will have in future, responsibility in the control of trade in dual-use goods.

During the two days of the workshop, the scope of controls and the EU dual-use control list were depicted, before the presentation of Iraqi trade control system by the Iraqi delegation. Furthermore, the Jordanian dual use trade control system was presented as a possible model for Iraq, and as an additional example the German approach was illustrated. One important element of the workshop was to assess the state of play of controls in Iraq and, further identify possible topics for activities until the end of the project phase in July 2020. Together with the participants it was agreed that one workshop should focus on commodity identification and the use of the dual-use control list, while another should discuss the outcomes of a legal review of the legislation on export controls in Iraq.

As Iraq applies the consolidated list of dual-use items (as published as Annex to the EU dual-use regulation 428/2009), it was agreed to provide a translation into Arabic of the latest update of the dual-use control list (as amended in December 2019).

13 participants from Iraq attended the workshop, which was supported by experts from Hungary, Jordan, Germany and the United Kingdom.

Author: BAFA Outreach Team

Information Exchange on the Jordanian Trade Control System

4 February 2020, Amman (Jordan)

In February 2020, an information exchange meeting between the implementing entity BAFA, EU experts, representatives of the Jordanian Ministry of Industry, Trade and Supply (MIT) and some of the Jordanian ministries and government agencies representing the secu-



rity apparatus in Amman, took place at the National Centre for Security & Crisis Management (NCSCM).

The discussion focused on having the views of the Jordanian representatives concerning required activities after July 2020 (expiration of the CoE#38) and the need for a continuation of the engagement of the EU with Jordan possibly in a next phase of the project.

The meeting was attended by 20 participants, including the Head as well as the Coordinator of the Regional CBRN Secretariat, the CBRN National Focal Point and representatives of 9 ministries and government agencies, inter alia the Customs Department, that are involved in dual-use trade controls in Jordan. Most of them had already participated in events and activities organised under the project in the past.

Following an overview by MIT of the achievements so far and the challenges ahead, subsequent discussions revealed that there is a broad consent, that further co-operation/support is necessary in order to amend or update and operationalise the Jordanian trade control system. This covers legislative and organisational aspects as well as support in enhancing the capacity of concerned staffs (trainings). The latter aiming at, in particular, making the control system operational and improve it constantly.

Activities/areas that were mentioned included:

- Continuation of trainings for licensing and enforcement personnel (mainly Customs) in implementation of the control provisions
- Support in hardware and software procurement in order to establish a fully fledged electronic licensing system
- Organisation of technical (engineering) expertise

for applying the dual-use control list in day-to-day practice

- Amendment of the legislation with respect to include catch-all and brokering controls
- Update of the dual-use control list
- Improvement and streamline the cooperation between the different ministries and government agencies that are involved in implementing the legislation in day to day practice.

The implementing entity BAFA stated that, apart from some requests mentioned which are not covered by the project contract, the expressed needs could be addressed through future project activities.

A second topic of interest concerned the establishment of a penal system, enabling to penalize infringements against dual-use trade control restrictions. The participants requested support in this area as well. This should start by making a proper assessment of the existing legislation, which might be laid down in different legal acts, establishing different responsibilities.

It was agreed to start with a legal workshop aiming at elaborating the particular requirements for setting up or amending the related legislation.

Author: BAFA Outreach Team

Workshop on Implementation of the Amended Instructions of the year 2019 on the Export and Re-Export of Dual-Use Items

3-4 March 2020, Amman (Jordan)

The rationale of this workshop was to bring together representatives from those Jordanian ministries and



Workshop on the Implementation of the Amended Instructions of the Year 2019 on the Export and Re-Export of Dual-Use Items group photo

concerned agencies that – according to the upcoming amended legal instructions – will form the Export Control Committee (ECC). First, the participants were introduced into the upcoming legislation by representatives of the Jordanian Ministry of Industry, Trade and supply (MIT). Then, four EU experts confronted the audience with several case study scenarios which intended to point the attention to potential disputes and shortcomings that might arise during the licensing process and start a discussion on possible solutions. Moreover, EU experts presented the scope, structure and logic of the EU dual-use control list and tested the level of knowledge of participants through interactive and practical exercises concerning the of the important steps that ought to be taken in the licensing process.

An important target of this workshop was to assess whether the governmental institutions forming the ECC are already prepared for the launch of the amended instructions on the export and re-export of dual-use goods in July 2020 and, if they know which role they will have to play within the subsequently adapted trade control system of Jordan.

22 participants of 14 different Jordanian governmental authorities attended the workshop, which was supported by four experts from Hungary, France and the United Kingdom and one project manager from the implementing entity BAFA.

Author: BAFA Outreach Team



EU P2P

export control programme
for dual use goods



Promotion Event in the Republic of Moldova

Other Dual-Use Trade Control Activities

Targeted Initiatives (TIs) for GUAM and Central Asian Countries

Promotion Event for the Moldovan Guide on export, re-export, import and transit of strategic goods

21 February 2020, Chisinau (Republic of Moldova)

In February 2020, an important event on Chemical, Biological, Radiological and Nuclear (CBRN) security issues dual-use export controls was held in Chisinau, in the Republic of Moldova. The event gathered participants from the ministries and public agencies having a stake in export controls as well as representations from Moldovan scientific institutes, the USA Embassy, economic operators and the project's technical advisor on export control of dual-use goods and technologies. The main purpose of the event was the promotion of the Guide

on export, re-export, import and transit of strategic goods. The Guide was developed by the specialists from the National Center for Nuclear Safety Support of the Technical University of Moldova and the Customs Service of the Republic of Moldova within the project funded by the EU and implemented under the supervision of the STCU, in the framework of the "EU Targeted Initiative (TI) on CBRN Export Controls of Dual-Use Materials and Technologies". The Guide identifies and highlights international and EU best practices and provides information on Moldovan laws and practices with regards to the regulation of export, re-export, import and transit of strategic goods in the country.

The STCU is an intergovernmental organization created by the EU, US, Canada and Ukraine in 1993, with the aim of promoting global peace and prosperity by reducing CBRN risks, preventing proliferation of ex-Soviet scientific know-how related to WMD, supporting scientific



and technological partnerships and international cooperation that addresses threats to global peace and security.

The Targeted Initiative focuses on:

- Mitigating the risks of misuse of items, software and technologies related to CBRN risks and weapons programs;
- Raising of awareness among both the academia and industry of these risks and,
- Facilitating the collaboration between State and non-State actors involved in export controls.

Export controls are implemented to comply with international obligations and to build a safer region. In addition to this, export controls promote national security and foreign policy objectives the Republic of Moldova.

The Guide aspires to become a reference document for Customs Service employees, economic entities, licensing officers and other responsible authorities for export, re-export, import and transit of strategic dual-use goods, and CBRN items. Further, the Guide is written in a language that can allow to academic and research communities or any other interested professional to familiarise with export control objectives.

In order to facilitate the access to information and improve the implementation of dual-use export control procedures, the Guide is available free of charge in Romanian and English in the National Library, the AŞM Library, the university libraries of UTM, USM, ASEM, as well as in electronic format on:

customs.gov.md/ro/content/ghid-privind-controlul-exportului-reexportului-importului-si-tranzitului-de-marfuri

Author: Alfreda Rosca, STCU

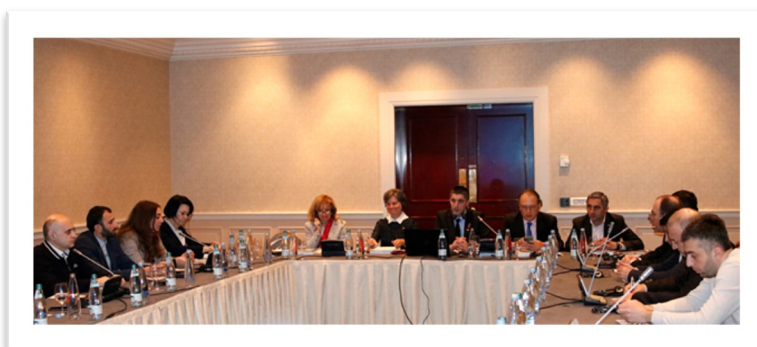
Handbook on Georgia's Export Control System

2-4 March 2020, Tbilisi (Georgia)

In March 2020, a presentation of the "Handbook on Georgia's Export Control System" was held at the Tbilisi Marriott Hotel, Georgia. The event was organized by the STCU and supported by the Revenue Service, Ministry of Finance of Georgia. The event took place in the framework of the TI funded by the EU with the aim to raise awareness in member countries of the STCU, and enhance the effectiveness of export control systems for dual-use items and related CBRN materials, equipment and technologies through the provision of the necessary training and educational programs.

The event was attended by the Deputy Head of the Revenue Service of the Ministry of Finance Vladimir Khundadze, the Head of the International Relations Department of the Revenue Service Samson Uridia, and representatives from the Customs department, scientific institutions, and industry.

The Handbook was developed by the Customs Department on Non-Tariff Control Division in both Georgian and English, and it is intended for those engaged in the foreign trade of strategic goods which includes export, import, transit, and transshipment of such goods. The main objective of the Handbook was to raise awareness



Presentation of the Handbook on Georgia's Export Control System

and spread information among different professional communities about the importance of non-proliferation of weapons of mass destruction and their delivery systems and relevant laws that might apply to economic and scientific activities.

An electronic version of the Handbook can be found on the Revenue Service website.

This event news item was originally published on the Revenue Service, Ministry of Finance of Georgia website.

Author: Elena Taberko, STCU



EU P2P: Arms Trade Treaty Activities

Third Roadmap Activity on ATT reporting in the Republic of Côte d'Ivoire

22-23 October 2019, Abidjan (Ivory Coast)

Expertise France organised its third workshop in Côte d'Ivoire (Abidjan), in October 2019. Bringing together twenty-one participants (from the administration and civil society), this workshop was dedicated to reporting on exports and imports of conventional arms submitted each year, by State parties, to the ATT Secretariat. As Côte d'Ivoire has not yet submitted an annual report to the ATT Secretariat, the activity was primarily focused on the following objectives:

- A reminder of the Treaty's transparency obligations;
- The presentation of an example of the process of preparation of the annual report (from data collection to dissemination of the document);
- The preparation, as part of a collective exercise, of a draft report (based on the template available to the States Parties to the ATT).

Selected by Expertise France, the speakers particularly stressed the importance of respecting ATT obligations regarding transparency and reporting, and establishing an effective process to prepare the annual report (to collect the relevant data, fill out the document and send it to the ATT Secretariat).

After two days of cooperative work, the workshop achieved the following results:

- A proposition of a new inter-ministerial coordination framework for the preparation of the

annual report (to be submitted to the authorities for approval);

- A first draft of the report to be transmitted by 31 May 2020 (based on the template available on the official ATT website).

The participants had the opportunity to define, with the support of the experts, a new inter-ministerial coordination process for the preparation of the annual report on conventional arms exports and imports from Côte d'Ivoire. This process provides in particular for the initial submission of the report to the authorities, reinforces the central role of the Secretariat of the National Security Council (responsible for collecting and summarizing the data), and identifies the specific role of each entity involved in the preparation of the report.

Author: EF Outreach Team



Third Roadmap Activity on ATT Reporting in the Republic of Côte d'Ivoire group photo



Third Roadmap Activity on Interagency Coordination and Information Sharing in Senegal

30-31 October 2019, Dakar (Senegal)

Expertise France organised its third workshop for Senegal, in October 2019. Dedicated to interagency coordination and information-sharing in the control of conventional arms transfers, this meeting brought together 11 representatives of the main Senegalese authorities involved in conventional arms transfer controls, as well as a team of three experts.

Through an exercise simulating coordination between two groups (representing licensing and border control services), facing an illegal transfer of arms, the participants had the opportunity to:

- Put into practice communication and information sharing mechanisms in controlling arms transfers and combating illicit activities;
- Discuss the current status and expected developments of the Senegalese system to control conventional arms transfers (pending the adoption of a law implementing the ATT);
- Make recommendations aimed at strengthening the capacities of officers in charge of administrative and in the field investigations.

Based on comments and observations gathered during the exercise, the experts proposed several recommendations with a view to strengthening the capacity of the officials in charge of administrative and in the field investigations.

As participants regularly referred to their own professional experiences throughout the exercise, the experts were able to observe the expertise of the entities currently in charge of controlling transfers and combating

the illicit trade in conventional arms.

Author: EF Outreach Team



Third Roadmap Activity on Interagency Coordination and Information Sharing in Senegal group photo

Fourth Roadmap Activity for Zambia

4-5 November 2019, Lusaka (Zambia)

In November 2019, the Fourth Roadmap Activity for Zambia took place in Lusaka, within the framework of the EU Arms Trade Treaty Outreach Project II (EU ATT-OP II) based on the EU Council Decision 2017/915/CFSP. This was the last roadmap activity for Zambia in the second project phase.

This fourth roadmap activity was organised by the implementing entity BAFA, back-to-back to an event by Small Arms Survey, who implements a project on the draft of a national control list for Zambia under the assistance of the ATT Voluntary Trust Fund (VTF). In order to create synergies between the two projects, it was agreed that representatives from Small Arms Survey would attend the EU workshop and vice versa.



Fourth Roadmap Activity for Zambia group photo

The focus of the event was laid on customs-related topics such as targeted risk assessment and risk management, national control lists for military items and commodity identification as well as interagency cooperation. Furthermore, the events, which were organised under the project were evaluated and Zambia's next steps regarding the implementation of the Arms Trade Treaty identified. Approximately, 20 Zambian State officials from relevant authorities attended the roadmap activity.

During the first day, a representative of the Ministry of Defence presented the current state of play for the ATT implementation in Zambia, the outcomes of previous roadmap activities as well as the next steps for Zambia. Further, he emphasized that Zambia will submit their Initial Report to the ATT Secretariat during the next weeks and would start the drafting process for the national control list that week. The remaining sessions of

the day, focused on risk assessment and risk management, introducing the participants into the topic as well as practical tools and instruments. Also, there were several case studies assessing risks and identifying risk factors for Zambia.

The second day started with a comparison between the EU Common Military List and the UN Register of Conventional Arms, followed by practical case studies for commodity identification. Subsequently, interagency cooperation with respect to risk assessment and risk management was discussed using the examples of ECOWAS countries. The workshop closed with a round table discussion evaluating the events conducted during this phase of the EU ATT-OP II for Zambia and further identifying the next steps for the ATT implementation.

The activity was supported by four experts in the area



of arms export controls, and organised by the implementing entity BAFA (Federal Office for Economic Affairs and Export Control), Germany.

Author: BAFA Outreach Team

Ad Hoc Assistance Workshop for Kazakhstan *13-14 November 2019, Nur-Sultan (Kazakhstan)*

An Ad Hoc Individual Assistance Workshop was conducted by BAFA in Nur-Sultan, Kazakhstan, in November 2019, in the framework of the EU Arms Trade Treaty Outreach Project (EU ATT-OP II).

Kazakhstan ratified the ATT on 8 December 2017. The European Union supports partner countries that seek assistance for a successful implementation of the ATT based on EU Council Decision 2017/915/CFSP. Against this background, this workshop aimed to strengthen the arms transfer control capacities of Kazakhstan to meet the ATT requirements in a targeted and relevant manner.

The event was attended by 17 participants from Kazakhstan. The participants represented the Ministry of Industry and Infrastructure Development, National Security Committee, Ministry of Finance, Ministry of Defence, Ministry of Foreign Affairs, Ministry of Economy, National Chamber of Entrepreneurs, Ministry of the Interior as well as Customs Services. Moreover, the EU Delegation to Kazakhstan attended the seminar.

The first session of the day introduced the goals, obligations and requirements of the ATT. Furthermore, the current situation concerning strategic export control and the ATT was presented from Kazakh side. The second session covered the scope and the implications of the ATT presented through practical examples and ex-

periences of experts. In the third session, the contents of the EU Common Military List were presented. A roundtable discussion and a wrap up of the day closed the first day of the workshop.

The second day started with presentations concerning the export licensing system and interagency cooperation. The fifth session contained risk assessment and risk management for licensing decision making as well as for enforcement, accompanied by a roundtable discussion. In the sixth session, the basic record keeping and reporting requirements of the ATT were presented. The seventh and last session focused on the prevention of the diversion of arms exports and included practical examples. The closing remarks, held by the representatives of Kazakhstan and BAFA, contained the next common steps within the EU ATT-OP II as well as a summary of the outcomes of this activity.

Overall, this workshop served as a platform for discussions on challenges and exchange of expertise and experiences concerning the successful implementation of the ATT.



Ad Hoc Assistance Workshop for Kazakhstan



Five ATT experts from Austria, Czech Republic, Georgia, Hungary and Lithuania supported the activity.

Author: BAFA Outreach Team

Third Roadmap Activity on Implementing ATT and Linked Sanctions in Burkina Faso

20-21 November 2019, Ouagadougou (Burkina Faso)

Expertise France organised the third roadmap activity in Burkina Faso, in November 2019, in cooperation with its local partner, the High Authority of Import Control of Arms and their Use (HACIAU). The workshop brought together 20 officials from the Burkinabe Ministry of Justice (prosecutors, deputy prosecutors, judges and court clerks).

A team of four experts and two representatives of the HACIAU discussed the following main topics:

- The objectives and main provisions of the ATT, as well as its complementarities with other regional/international instruments;
- Implementation of the Treaty in Burkina Faso (including via its dedicated national law ratified by the President on 31 May 2019);
- The prevention and fight against diversion of conventional weapons in West Africa;
- Cooperation between officials from the administration and the justice system to enforce sanctions.

The exchanges between participants and experts on the control of arms transfers, highlighted the following difficulties: The distinction between weapons of war and



Third Roadmap Activity on ATT Implementation in Burkina Faso

civilian weapons based on the user (defence and security forces or individuals), and not based on technical cri

teria, could lead to uncertainties in classification (particularly for SALW). Managing national stockpiles of weapons by defence and security forces was also raised as an issue.

Finally, the participants underlined the difficulty of controlling the circulation of certain dual-use goods such as explosives used in mining operations. Such goods could be used for the manufacture of improvised explosive devices following their loss, theft or diversion to terrorist groups.

This workshop contributed to increasing awareness about the ATT among officials working in the Justice system. It offered an opportunity to address the current steps taken to strengthen the arms transfer control system in Burkina Faso as well as some difficulties encountered.

Author: EF Outreach Team



Fourth Roadmap Activity for Georgia

27-28 November 2019, Borjomi (Georgia)

In November 2019, the Fourth Roadmap Activity for Georgia within the framework of the EU ATT-OP II, based on the EU Council Decision 2017/915/CFSP, took place in Borjomi, Georgia.

The focus of this event was on the topics of risk assessment and risk management, transit and transshipment as well as interagency cooperation. Since customs officers from the southern part of Georgia had not been able to participate in previous roadmap activities of the last two phases, the seminar was conducted in Borjomi to also involve them.

This workshop was organised by BAFA as the implementing entity.

The fourth roadmap activity for Georgia was attended by 20 participants from the Ministry of Defence and the Customs Departments from Tbilisi, Kartsakhi, Ninotsminda and Vale.

The first day kicked-off with welcome remarks held by

the EU-Delegation and the Georgian Ministry of Defence. The first day was fully dedicated on the topic of risk assessment and risk management. First, an introduction on risk assessment and risk management provided an overview on the topic and clarified relevant information under the ATT framework. Subsequently, the participants learned about practical tools and instruments for risk assessment and risk management, and then they were split into smaller breakout groups to work on practical exercises on risk assessment. The day closed with a presentation by a representative from Georgian customs, who explained their national system and shared information on some past cases.

The second day started with a short recap of the first day before addressing transit and transshipment matters. After an overview of processes and approaches in this respect, practical cases and experiences from a customs perspective were depicted. Further, Georgian customs officials presented practical examples regarding transit and transshipment. Following that, the next session focused on interagency cooperation between licensing and customs officials, mentioning ex-



Fourth Roadmap Activity for Georgia group photo



amples from Albania and Mexico.

The workshop concluded with a roundtable discussion on the evaluation of the event and the identification of further topics requiring attention within the outreach programme. The activity was supported by four experts in the field of export controls. Furthermore, the Trade Officer from the EU Delegation to Georgia attended the event.

Author: BAFA Outreach Team

Sub-Regional Seminar in Ghana

3-4 December 2019, Accra (Ghana)

Expertise France organised a sub-regional seminar in Ghana, in December 2019, in cooperation with the National Commission on Small Arms and Light Weapons (NCSALW). This seminar, which was held in Accra, brought together delegations from Gambia, Ghana, Liberia, Sierra Leone and Zambia, as well as representatives from the ECOWAS Commission and BAFA (the implementing agency for Ghana's roadmap).

Each delegation presented an overview of its country's past, current and projected efforts to execute the provisions of the ATT as well as the main challenges faced. Furthermore, the Executive Secretary of the NCSALW stressed that the effective implementation of the ATT is a national responsibility, helping to strengthen arms transfer controls but also to promote economic development, reduce human sufferings and protect people. In his conclusions, the Secretary highlighted the importance of reinforcing national control systems and developing a control list for conventional arms.

In addition, through two group exercises and presentations, the team of experts exchanged views and experi-

ences with the participants on the control of arms transfers and specifically on: the establishment of a national list of controlled arms and military equipment, the control of the re-export of military equipment, the role of the ECOWAS Commission in controlling the import of SALW by its Member States, and the control of brokering activities.

This seminar also offered an opportunity to promote the ATT and the EU ATT OP to potential new partners (especially Liberia and Gambia, the latter having not yet joined the Treaty). Following this event, the rest of Ghana's assistance roadmap will continue to be executed by BAFA in coordination with the NCSALW.

Author: EF Outreach Team



Participants to the Sub-Regional Seminar in Ghana

Second Roadmap Activity for Peru

11-12 December 2019, Lima (Peru)

The Second Roadmap Activity for Peru took place in Lima, in December 2019 in the framework of EU ATT- OP II and was organized by the implementing entity BAFA. Peru has been a long-term partner within the EU ATT OP since its first phase, back to 2014.

The activity was titled "Towards the establishment of a



Participants to the Second Roadmap Activity for Peru

national control system, with special focus on experiences from the region of Latin America". The main objective was to discuss the obligations of the ATT as well as best practices for implementation, with the aim to support and set new impulses for the ongoing implementation process in Peru. The workshop was attended by a total of 35 representatives from the Ministry of Foreign Affairs, Ministry of Defence, SUCAMEC, National Police, National Intelligence Agency, Customs as well as the Ministry of Justice and Human Rights.

The first day kicked off with welcome remarks held by representatives of the Delegation of the EU to Peru, the Ministry of Foreign Affairs of the Republic of Peru and BAFA. The first session addressed key requirements of the ATT and their implementation. This was followed by a session, where the classification and typology of arms according to Art. 2 of the ATT were discussed. The third session was dedicated to the key requirements of the establishment of a national control system and a national control list, with experiences from Mexico, Costa

Rica and Spain.

The second day began with a session dealing with the importance of the control of conventional arms trade for the prevention of diversion, which is a key issue for Peru. This was followed by a round table discussion which gave opportunity to stakeholders from the Peruvian key agencies, the Ministry of Defence as well as SUCAMEC, to present on the state of implementation and current projects such as the draft law regulating arms transfers and control list. Afterwards, in session 5 and 6, Articles 6 – 10 of the ATT were analysed in detail and with particular attention to their implementation. Session 7 addressed the role of customs in the implementation of the ATT. The Second Roadmap Activity was concluded by a roundtable discussion addressing next steps and suggestions for the next roadmap activities.

The activity was supported by four international experts from Colombia, Costa Rica, Mexico and Spain.

Author: BAFA Outreach Team



Third and Fourth Roadmap Activities for Togo

6-7 and 8-9 January 2020, Lome (Togo)

Partners of the ATT- OP II in Togo had already organized two activities in February 2019 on the Treaty basics and the annual reporting obligations as well as interagency cooperation and transit/transshipment controls.

The objective of the third activity was to keep on working on the draft law based on an exchange of expertise and experiences on legislations on arms trade as well as operationally initiating the elaboration of the national control list(s). The objective of the fourth activity was to capitalize on the outcomes of the third one for elaborating first drafts, on the basis of propositions of structures prepared by the contributing European experts, of the regulations that would implement the future law.

Remote assistance was foreseen to take place for consolidating the drafts once the partner has amended it on the basis of the outputs of the two workshops.

The partner-country and the participants expressed, formally and informally, their high appreciation for the design and the delivery of the activities.

Togo national authorities expressed their strong hope to continue the collaboration with the EU in the field, notably for accompanying the implementation of the forthcoming national legal

framework. The National Commission for the fight against the proliferation of light weapons (CNLPAL) committed to fine tune the draft law and further elaborate, in parallel, the drafts of the implementing regulations (control list and decree establishing the modalities of the controls on the arms) as identified and initially elaborated in the course of these activities.

The CNLPAL expressed its intent to have finalised the draft law in the first half of 2020 before sending it to the Government drafting committee and, subsequently to the Government in the second half of 2020. The review and adoption by the Parliament could be expected to take place in 2021, together with the adoption of its implementing regulations.

Author: EF Outreach Team



Participants to the Third and Fourth Roadmap Activities in Togo



Sub-Regional Workshop in Costa Rica

22-23 January 2020, San José (Costa Rica)

A Sub-Regional Workshop was organised by the implementing entity BAFA, in Costa Rica, in January 2020, in the framework of the EU ATT- OP II. This was the last out of the three sub-regional workshops envisaged for the Latin American region in the current project phase; the first one took place in Iquitos, Peru (May 2018) and the second one in Leticia, Colombia (April 2019).

The Sub-regional Workshop gathered a total of 60 participants from the different authorities involved in ATT implementation in Costa Rica, Colombia, Panama, Belize, Haiti, Peru and Trinidad and Tobago. While the majority of participants came from the countries Costa Rica, Panama and Colombia, following a proposal of Costa Rica also a smaller number of participants from Peru, Belize, Haiti and Trinidad and Tobago were invited, which allowed to extend the perspective from Central America into both geographical directions: South America and the Caribbean (CARICOM states).

The agenda focused on the exchange of experiences on ATT implementation and best practices among the invit-

ed countries and included a number of roundtable discussions and presentations as well as case studies shared by the invited countries' experts.

The first day kicked off with welcome remarks held by the Vice-Minister of the Ministry of Public Security (Costa Rica), a representative of the Ministry of Foreign Affairs and Worship (Costa Rica), a representative of the Delegation of the EU in Costa Rica and a staff member of BAFA.

The first session underlined the importance of the ATT for the region of Latin America and the Caribbean and included short presentations on the state of play of ATT implementation by each of the invited countries. In the afternoon, a session about the regulation of brokering activities (Art. 10 of the ATT) and a session about instruments to combat diversion and illicit trafficking of conventional arms (Art. 11 of the ATT) formed part of the agenda. This included a short summary of the results of the first two sub-regional workshops in Latin America, that were focused on preventing diversion in the bordering zones of the Amazon, provided by representatives from Peru and Colombia, and a case study discussed by a representative of the Colombian State com-



Group picture of the Sub-Regional Workshop in San José



pany INDUMIL.

The second day started with a session about the role of customs in arms transfer controls, with a particular focus on transit and transshipment activities (Art. 9). This was followed by the presentation of the new Guidelines on Procedures related to the National Control System for ATT implementation by a representative from the Ministry of Public Security, Costa Rica. The last session was dedicated to regional and international cooperation (Art. 15 of the ATT), including a presentation of the experiences in the region of CARICOM and of best practices in Latin America from the perspective of civil society.

Overall, the sub-regional workshop in San José was met with numerous and active participation and offered a forum for open debates about the different agenda items and challenges that the invited country face when implementing the ATT. This way, the event managed to foster the exchange on best practices and common challenges at the crossroads of Latin America and the Caribbean.

The activity was supported by five international experts from Chile, Mexico, Uruguay, CARICOM IMPACS and the Small Arms Survey.

Author: BAFA Outreach Team

Third and Fourth Roadmap Activities for Jamaica

27-30 January 2020, Kingston (Jamaica)

A four-day combined workshop (Third and Fourth Roadmap Activities) was organised by the implementing entity BAFA in Kingston, in January 2020, in the framework of the EU ATT- OP II.

Jamaica has been a partner country of the EU outreach project since its first phase.

The activity was attended by a total of 21 representatives from the Ministry of Foreign Affairs and Foreign Trade, the Ministry of National Security, the Jamaican Defence Force, the Office of the Commissioner of Police, the Firearm Licensing Agency, the Office of Director



Group picture of the Third and Fourth Roadmap Activities in Kingston



of Public Prosecutions, the Attorney General Chambers, the Civil Aviation Authority, the Shipping Authority, the Trade Board Limited as well as the Ports Security Corps Ltd. of Jamaica.

The agenda was arranged in a way that each day focused on one or two particular aspects of ATT implementation which allowed to invite partly specific audiences in addition, such as customs officials on the second and third day, prosecutors on the third day and industry representatives on the fourth day. Furthermore, an interactive, practical and applied approach was sought by providing a mix of presentations by Jamaican authorities as well as case studies; interactive exercises and roundtable discussions.

The first day kicked off with welcome remarks by the First Counsellor and Head of Cooperation of the Delegation of the EU to Jamaica, Belize, Turks and Caicos Islands, Bahamas and the Cayman Islands; a Policy Advisor at the Jamaican Ministry of National Security; a Deputy Head of Mission from the German Embassy in Kingston; and BAFA.

In the following, a representative from the Jamaican Ministry of Foreign Affairs and Foreign Trade provided an update of the state of play of the implementation of the ATT in Jamaica. This was followed by a session on preventing diversion, including the requirements of the ATT, case studies, an interactive exercise and a roundtable discussion to share best practices and link them to the situation of Jamaica.

The second day was dedicated to the role of customs in the implementation of the ATT and included sessions about risk assessment and profiling as well as on the classification of military items according to ATT categories. Both sessions comprised a number of case studies

from the practice of EU MS.

The third day addressed customs investigations as well as the implementation of prosecutions and sanctions, both enriched by practical training and case studies.

The fourth day concentrated on the cooperation between government authorities and the industry/ private sector to ensure compliance with ATT obligations. The agenda of this day included presentations and roundtable discussions about industry outreach, audits as well as Internal Compliance Programmes (ICP).

The activity was supported by four international experts from Germany, Sweden and the United Kingdom.

Author: BAFA Outreach Team

Fifth Roadmap Activity and Second Sub-Regional Seminar in the Philippines

28-29 and 30-31 January 2020, Manila (Philippines)

Sixteen months after the entry into force of the Implementing Rules and Regulations giving full effect to the Strategic Trade Management Act (STMA), the fifth roadmap activity for the Philippines and second sub-regional seminar of the EU ATT- OP II co-implemented by EF and BAFA took place in Manila, on 28-29 and 30-31 January 2020, respectively. The events were organized jointly with the Office of the Special Envoy on Transnational Crime. Although the Philippines has not ratified the ATT yet, its national control system on strategic trade (founded on the 2015 Strategic Trade Management Act, its Implementing Rules and Regulations, and the 2013 Comprehensive Firearms and Ammunitions Act -CFAA- and its Implementing Rules and Regulations) implements, for the most part, the principles and



Group picture of the Fifth Roadmap Activity and Second Sub-Regional Seminar in the Philippines

the obligations contained in the Treaty.

The fifth activity was dedicated to an exchange of experiences and views among Philippine and European experts on the implementation of the national legal framework and identification of potential amendments for ensuring that the system is in full compliance with the provisions of the ATT. It allowed for identifying possible adjustments aimed at clarifying the role and competences of those authorities involved in the management of controls.

The second sub-regional seminar was attended by Philippine, Cambodian, Malaysian and Thai delegations and consisted in an exchange of expertise on incentives for countries of the ASEAN region for ratifying the ATT and implementing it with the support of all the stakeholders concerned by the controls. The participants elaborated together a docu-

ment addressing the most frequently asked questions in relation to both ratification and implementation of the Treaty. The seminar allowed for sharing good practices of control of the arms transfers and highlighting gaps in the legal frameworks of the participating countries with regard to the expected full implementation of the ATT provisions in the national legislations. It also helped creating the necessary environment for working together on the identification and addressing of the challenges in common faced by the authorities of ASEAN countries in the ratification and implementation process of the ATT. These events were met with high levels of satisfaction from all national and regional actors, who committed to continuing their efforts to promote implementation of the ATT in their countries. To this end, the continuation of European support in the future was generally hoped for.

Author: EF Outreach Team

Fourth Roadmap Activity on Interagency Coordination in the Republic of Côte d'Ivoire

12-13 February 2020, Abidjan (Ivory Coast)

Accompanied by Expertise France throughout the second phase of the EU ATT OP, the Republic of Côte d'Iv-



Group picture of the Fourth Roadmap Activity on Interagency Coordination in the Republic of Côte d'Ivoire



oire is committed to implementing a roadmap which was defined following a visit by experts to Abidjan on 6 and 7 March 2018. This document provides in particular for the strengthening of coordination between the administrations involved in the control of conventional arms transfers, the strengthening of the national legislative and regulatory apparatus, and the training of enforcement agents.

Expertise France organised its fourth workshop in Côte d'Ivoire, on 12 and 13 February 2020, in cooperation with the national point of contact, the National Commission on Small Arms and Light Weapons (ComNat-ALPC). Held in Abidjan, this workshop brought together 21 representatives of the main Ivorian ministries involved in arms transfer controls as well as experts from the High Authority of Import Control of Arms and their Use (Burkina Faso), Conflict Armament Research and the French Ministry of the Interior.

During the two days of the activity, the speakers shared advice and experiences with the attendees on topics such as:

- The progressive implementation of the provisions of the ATT to strengthen a national arms transfer control system;
- The work of licensing and enforcement officials in arms transfer controls (from the entire licence application process to field checks, post-authorisation monitoring and cases of noncompliance with the regulations);
- The contribution of the ATT

to the fight against the illicit trade and the diversion of conventional arms.

The workshop ended with a group exercise for which the attendees performed a risk assessment on two fictitious applications regarding the import/re-export of military equipment to/from Côte d'Ivoire. This activity concluded the execution of the national roadmap. The ComNat-ALPC expressed its interest in receiving further assistance from the EU.

Author: EF Outreach Team

Ad Hoc Activity in Guyana

12-13 February 2020, Georgetown (Guyana)

The workshop on the “reinforcement of the national capacities in the management and enforcement of international arms transfers” was an ad hoc event organized on the demand of Guyana by its Ministry of Public



Group picture of the Ad Hoc Activity in Guyana



Security and EF, under the EU ATT - OP II.

Although Guyana is a State Party to the ATT since its entry into force in 2014, its national control system on conventional arms trade is not fully in line with the principles and provisions contained in the Treaty. This event was the first national event in relation to arms transfer controls.

For this first event, in the framework of the EU OP II, Guyana expressed the wish to exchange experiences and expertise with European experts on several topics and to confront ideas and opinions on the enhancement of the structure and contents of the national legal framework with a view to fully implement the Treaty and make use of the Model Law developed by the CARICOM.

The EU Delegation in Guyana was associated to the event and provided an opening statement on the first day.

Debates and discussions at technical level demonstrated the commitment of the Guyanese authorities for initiating a process aimed at consolidating its transfers control system and fully implementing the Treaty. That said, due to the March 2020 elections and expected change of Minister of Public Security, it is uncertain whether this implementation will remain a national priority in the near future.

The event allowed for sharing international best practices on the control of the arms transfers and highlighting gaps in the current legal framework of Guyana with regard to the expected full implementation of the ATT provisions in the national legislation, and with the support of the regional works on that matter, notably the CARICOM Model Law for implementing the ATT. It also helped to create the necessary environment and build

upon confidence, for possibly taking up the possibility for Guyana to benefit from a longer-term partnership with the EU, in the framework of the EU ATT OP. The Minister of Public Security and the participants to the workshop expressed a strong interest for such a collaboration in the future.

Author: EF Outreach Team

Third Roadmap Activity for Peru

25-26 February 2020, Lima (Peru)

The Third Roadmap Activity for Peru took place in Lima, in February 2020, in the framework of EU ATT- OP II. The activity entitled as “Bilateral Meeting Peru - Costa Rica - Exchange of Experiences and Best Practices” and counted with the participation of a delegation from Costa Rica. Both Peru and Costa Rica have been long-term partners within the EU ATT- OP II, since 2014.

The workshop organised by the implementing entity BAFA was attended by a total of 33 participants, among them 25 representatives from the Ministry of Foreign Affairs, Ministry of Defence, SUCAMEC, National Police, National Intelligence Agency, Customs as well as the Ministry of Justice and Human Rights of the Republic of Peru. The 8 additional participants from Costa Rica represented the Ministry of Foreign Affairs, Ministry of Public Security, Ministry of the Presidency, Ministry of Finance as well as the Judicial Investigations Agency.

The main objective of the bilateral meeting was to encourage the exchange between both countries about the progress made and lessons learnt with ATT implementation.

Welcome remarks were provided by the Head of the Political, Press and Information Department of the Dele-



Group picture of the Third Roadmap Activity for Peru

gation of the EU to Peru, the Director of Security and Defence of the Peruvian Ministry of Foreign Affairs, a representative of the Ministry of Public Security of Costa Rica, a representative of the German Embassy in Lima and BAFA.

The first day included sessions about the relevance of the implementation of the ATT and recent developments; the current national regulation of arms transfers; the general implementation of the ATT including the establishment of a national control system, a national control authority and a national control list (Art. 5) as well as prohibitions and export assessment (Art. 6 and 7).

The second day was fully dedicated to discussions about actions to prevent and combat illicit trafficking and diversion of arms (Art. 11), which included case studies from Spain and Latin America.

All the sessions were flanked by presentations held by representatives of the two participating countries reflecting their own national experience with the different aspects of ATT implementation.

The meeting was concluded with a roundtable discussion about national ATT implementation and regional/bilateral cooperation.

The international expert team included four experts from Costa Rica, Mexico and Spain.

Author: BAFA Outreach Team

Fourth Roadmap Activity on ATT communication in Burkina Faso

25-26 February 2020, Ouagadougou (Burkina Faso)

The national point of contact for the ATT matters in Burkina Faso chose to dedicate this fourth roadmap ac-



Participants to the Fourth Roadmap Activity on ATT Communication in Burkina Faso



tivity, with Expertise France, to journalists and communication officials (each equally represented), since they are considered the best relay to explain and to promote, to the general public, the objectives of the ATT as well as the steps taken by the authorities to implement its provisions in Burkina Faso.

Hence, the purpose of the workshop was to provide the participants with relevant information so that they can then write and talk, with more knowledge, about the ATT and its application by the Burkinabe authorities.

Overall, the participants to the workshop received accurate and comprehensive answers to all their queries on topics related to the ATT and arms transfer controls.

Comprehensive information on the ATT was shared with the participants, including regarding the adoption process of the Treaty, provisions, challenges, institutions and subsidiary bodies involved, and complementarities with other instruments (e.g. the UN Programme of Action to Prevent, Combat and Eradicate the Illicit Trade in SALW, the International Tracing Instrument and the ECOWAS Convention on SALW, their Ammunition and Other Related Materials).

The progress towards the full implementation of the ATT in Burkina Faso was also discussed (several presentations focused on the dedicated national law ratified by the President on 31 May 2019 and on the current national system to control arms transfers).

Furthermore, classified information and transparency in conventional arms transfers, notably the possibility for a Government to withhold commercially sensitive and/or national security-related data but also to reveal some elements as part of transparency efforts (such as with the annual report to the ATT Secretariat on exports and imports of conventional arms) were raised and debated

openly.

Lastly, participants exchanged their thoughts and analyses regarding major trends in international arms transfers and the universalisation of the ATT.

Author: EF Outreach Team

Third Roadmap Activity for Cambodia

26-27 February 2020, Phnom Penh (Cambodia)

In the framework of the EU ATT- OP II, BAFA conducted the Third Roadmap Activity for Cambodia in February 2020, in Phnom Penh.

This workshop was the first activity conducted with the new focal point from the Cambodian Ministry of Interior.

On the first day, welcome remarks by the EU delegation, the German Embassy and the new focal point from the Cambodian Ministry of Interior were held as an introduction. Afterwards, the BAFA outreach team presented the scope and history of the project as well as the status quo regarding ATT implementation. This was followed by a presentation on the progress achieved so far, by the Cambodian Ministries of Interior and National Defence. The second half of the day was dedicated to several presentations by international experts on the obligations of the ATT.

The second day started with presentations about transit and transshipment followed by case studies on transit to exemplify points raised during the presentations. Following that, a presentation on regional cooperation in Southeast Europe and relevant case studies were provided. The workshop finally closed with a presentation on possible steps towards full ATT implementation and



Group picture of the Third Roadmap Activity for Cambodia

recommendations for the preparation of the ratification of the Treaty, followed by a final open discussion.

The participants engaged very actively in the discussions. The discussions on the legal framework and reporting issues appeared to have drawn the highest interest.

The workshop organised by the implementing entity BAFA, was supported by four international experts from Albania, Croatia, the Netherlands and Sweden.

Author: BAFA Outreach Team

Fourth Roadmap Activity on Enforcement Controls in Cameroon

11-12 March 2020, Yaounde (Cameroon)

In the course of its ongoing cooperation with the EU, Cameroon expressed an intent to design training activities specifically aimed at border security personnel. A first workshop was organized jointly with the Ministry of

External Relations of the Republic of Cameroon, with the contribution of the Autonomous Port of Douala and the Directorate General for Customs of the Ministry of Finance, in March 2020.

This fourth activity was dedicated to the enforcement of controls on international arms transfers by frontline personnel. It consisted in exchanges of experiences between national authorities on the management of the risks of diversion of international arms transfers to illicit trafficking, in accordance with the ATT, and it took also the form of a table-top exercise and a visit to the facilities of the Autonomous Port of Douala.

During the two days of the activity, the participants were able to exchange on the roles and competences of the different national ministries and agencies involved in the management of controls in international arms transfers, to perform simulations of scenarios requesting interagency cooperation, and to exchange with European experts on arms detection techniques at bor-



EU P2P

export control programme
for arms-ATT



Group picture of the Fourth Roadmap Activity on Enforcement Controls in Cameroon

ders.

Several thematic discussions, in plenary sessions or through bilateral talks, gave the opportunity to identify areas for improvement of the national arms transfer control system and of the cooperation with European and other international partners.

The event met high levels of satisfaction from all stakeholders. Strong expectations were formulated by the national actors for continuing the collaboration with the EU, with a view to consolidating Cameroon's implementation and enforcement of controls over international arms flows.

Author: EF Outreach Team



EU P2P: Conventional Arms Export Control Activities

Individual Assistance Workshop for Bosnia and Herzegovina

10–11 December 2019, Sarajevo (Bosnia and Herzegovina)

In December 2019, an Individual Assistance Workshop for Bosnia and Herzegovina organised by BAFA, in the framework of the EU Project on the Promotion of Effective Arms Export Control (COARM). The project, which is currently in its fourth phase is based on the EU Council Decision 2018/101/CFSP.

In October 2019, Bosnia and Herzegovina hosted a cross-regional seminar for South Eastern Europe, Eastern Europe and Caucasus. Outreach to industry and the role of Internal Compliance Programmes (ICPs) were among the topics that were discussed at this seminar and for which Bosnia and Herzegovina requested to speak about more thoroughly.

The first day focused on outreach to industry. At the beginning, a representative from the Ministry of Foreign Trade and Economic Relations (MOFTER) from Bosnia and Herzegovina presented the government's initiatives for engaging with industry. Following that, strategies for outreach to industry and academia as well as practical examples regarding challenges and recommended approaches were depicted. In the last session of the day, further practical examples were discussed, this time, from the perspective of the industry.

The second day of the workshop ad-

ressed the topic of ICPs. After a presentation on the communication channels with the military industry by a representative from Bosnia and Herzegovina, an overview on EU approaches regarding the legal provisions for implementing ICPs was provided. Furthermore, industry perspectives in implementing ICPs and practical examples on EU standards and practices were discussed. The workshop concluded with a roundtable discussion in order to identify the next steps for Bosnia and Herzegovina regarding outreach to industry and ICPs as well as topics they would be interested for upcoming activities.

Approximately 10 participants from relevant ministries of Bosnia and Herzegovina attended the event.

The event organized by the implementing entity BAFA, was supported by three experts from Germany and Belgium.

Author: BAFA Outreach Team



Participants to the Individual Assistance Workshop for Bosnia and Herzegovina



Group picture of the Individual Assistance Workshop for Montenegro

Individual Assistance Workshop for Montenegro

11-12 December 2019, Podgorica (Montenegro)

An Individual Assistance Workshop was conducted by BAFA in Podgorica, in December 2019 in the framework of the EU Outreach Programme on the Promotion of Effective Controls on COARM.

This activity was initiated at the request of Montenegro, with the objective to strengthen Montenegrin arms export control system in line with the EU standards in this field.

The event was attended by 13 participants from Montenegro. The participants represented the Ministry of

Foreign Affairs, Ministry of Defence, Customs Administration, Ministry of Economy, National Security Agency, Ministry of Interior as well as Police Directorate. Moreover, the EU Delegation to Montenegro attended the activity.

The first day kicked off with welcome remarks held by a representative of the Ministry of Economy of Montenegro, by a representative of the EU Delegation to Montenegro as well as by BAFA. An overview of the current status of the COARM Programme, the agenda as well as a 'tour de table' completed the welcome session. The agenda of the two-day activity was divided into six sessions, each dealing with a particular issue of



arms export controls.

The first session of the day provided an overview of the current status of the Montenegrin arms export control system, presented by Montenegrin authority from the Ministry of Economy. The second session discussed the usefulness of a risk based approach for licensing and included the perspective of the Ministry of Economy. Short case studies as well as a roundtable discussion completed the session.

The second day started with a presentation about the Montenegrin approach in the field of transit and transshipment controls offered by the National Security Agency. The session continued with an overview of on the same topic from the Romanian licensing perspective as well as a case study to highlight further practical points. The next session covered the implementation of sanctions in Montenegro as well as the Polish approach to the application of sanctions and compliance with recommendations on arms exports.

The fifth session focused on internal procedures concerning the current EU Common Military List as well as international instruments on arms and ammunition, and it was accompanied by a roundtable discussion. The last session shed some light on outreach to industry. The approaches of Montenegro and Romania were discussed. The roundtable discussion as well as the closing remarks held by the representatives of Montenegro and BAFA summarised the results of the workshop.

Overall, the Montenegrin authorities used the Individual Assistance Workshop as a platform to ask questions, lead discussions and exchange knowledge in the framework of the arms export controls.

Three EU experts from the Czech Republic, Poland and Romania supported the event organized by the implementing entity BAFA.

Author: BAFA Outreach Team

Study Visit for Bosnia and Herzegovina, North Macedonia and Ukraine

5-6 February 2020, Bratislava (Slovakia)

A Study Visit for Bosnia and Herzegovina, North Macedonia and Ukraine took place in Bratislava, in February 2020, in the framework of the EU Outreach Project on COARM.

The Study Visit aimed to facilitate the exchange of national export control practices and it was organised by



Group picture of the Study Visit for Bosnia and Herzegovina, North Macedonia and Ukraine



the implementing entity BAFA in cooperation with the Financial Directorate of the Slovak Republic. 11 representatives from Bosnia and Herzegovina, North Macedonia and Ukraine attended the event. The study visit provided participants with practical insights into the export control systems and customs procedures of an EU Member State, including risk management at the point of entry, and it also provided a platform for information exchange on the respective national processes.

The agenda of the two-day event was divided into six sessions, each dealing with a particular aspect of export controls. The first day began with a detailed presentation of the Slovak arms export control system by representatives of the Ministry of Economy and the Financial Directorate of Slovakia. Following that, the attendees from Bosnia and Herzegovina, North Macedonia and Ukraine provided presentations on their customs procedures with respect to arms export controls. The day concluded with a session on risk management at the point of entry.

On the second day, an on-site visit to the Customs branch office Devínska Nová Ves, in Bratislava, took place during which Slovak Customs provided practical examples of their daily working procedures. The second half of the day addressed information sharing processes at Customs and, instru-

ments on a regional and global level. In this session, a case study regarding brokering was jointly discussed with the participants.

The study visit ended with a roundtable discussion including the review and feedback of the event as well as the identification of future topics and activities in the field of arms export controls.

The Study Visit by BAFA was supported by two EU experts from Croatia and Germany.

Author: BAFA Outreach Team

Cross-Regional Workshop for Central Asia, Eastern Europe and Caucasus

26-27 February 2020, Bishkek (Kyrgyzstan)

A Cross-Regional Workshop was conducted in Kyrgyzstan, in February 2020, in the framework of the EU Outreach Project on COARM.

This workshop was the second regional activity orga-



Group picture of the Cross-Regional Workshop for Central Asia, Eastern Europe and Caucasus



nized by BAFA for the Central Asian partner countries under the current COARM project. 37 representatives from Armenia, Belarus, Kazakhstan, Kyrgyzstan, Moldova, Tajikistan, Turkmenistan and Uzbekistan attended the event. Moreover, the German Embassy and the EU Delegation to Kyrgyzstan attended the workshop. The aim of the workshop was to enable participants to continue a dialogue between these regions and the EU for strengthening national and regional measures regarding arms export controls.

The agenda of the two-day event was divided into six sessions, each dealing with a particular aspect of export control. The first day began with a presentation of the current status of the COARM project by a BAFA representative. Following that, the attendees from the eight participant countries provided presentations on their national approaches in the area of export controls for conventional arms. The day concluded with a session about risk assessment and risk management from licensing perspective, including a case study, and a session on transit and transshipment.

The second day started with a presentation concerning the EU Common Military List followed by presentations of the countries on their national control lists. The second half of the day addressed inter-agency cooperation as well as a case study providing the EU MS perspective. Session six condensed the general requirements for effective arms control systems and regional cooperation. The event ended with a round table discussion with review and feedback on the event.

The Cross-Regional Workshop was supported by five EU experts from the Czech Republic, Germany, Greece, Latvia and Lithuania.

Author: BAFA Outreach Team



EU P2P

EU P2P Export Control website

The EU P2P Export Control Programme is managed by the European Commission's Directorate General (DG) for International Cooperation and Development (DEVCO) and the European External Action Service (EEAS).

<https://export-control.jrc.ec.europa.eu>



Legal Notice

Neither the European Commission nor any person acting on behalf of the Commission is responsible for the use which might be made of this publication, nor for any errors which may appear despite careful preparation and checking.

Disclaimer

This publication does not necessarily reflect the view or the position of the European Commission. The list of countries published in this publication does not prejudice the status of these countries and territories now or in the future.

Authors

This Newsletter is produced by the European Commission's Joint Research Centre based on contributions from EC services and the results provided by the implementers of the EU P2P projects.

Editor: Christos Charatsis

Style Editor: Andrea De Luca

Picture Credits

Cover illustration: © ftotti1984 / Fotolia

Logos and pictures: All rights reserved European Commission, Expertise France, German Federal Office for Economic Affairs and Export Control.

Photos: © BAFA, France Expertise.

About the P2P Programme

The Programme's objectives are to promote and reinforce international cooperation in the field of dual-use export controls, Arms Trade Treaty implementation

and arms export controls by strengthening national and regional capacity, taking into account the balance between security and economic considerations.

In line with the EU Global Strategy and the EU Strategy against the Proliferation of Weapons of Mass Destruction (WMD), the EU P2P Programme for Dual-Use Goods aims to enhance the effectiveness of export control systems of dual-use items so as to combat the proliferation of WMD and related materials, equipment and technologies.

For more information visit the EU P2P website:

<https://export-control.jrc.ec.europa.eu>

or send us an e-mail at:

eu-export-control-support@ec.europa.eu

How to obtain EU publications?

Via the EU Bookshop <http://bookshop.europa.eu>

Luxembourg Publications Office of the European Union

EU P2P Export Control Newsletter

EUR Number 27773 EN

ISSN 2467-0731 (online)

ISSN 1831-9424 (online collection)

KJ-AD-20-002-EN-N (online)

© European Union, 2020



**Publications Office
of the European Union**