



EU P2P

Partner to Partner Export Control Programme



NEWSLETTER

Issue 13 | December 2023



Dual-Use Trade Control



Arms Trade Treaty



Conventional Arms Trade Control

The EU P2P Export Control Programme is managed by the European Commission's Service for Foreign Policy Instruments (FPI) and the European External Action Service (EEAS).



Welcome from the European Union

We are pleased to welcome you to Issue No. 13 of the EU P2P Newsletter, which covers the year 2023 in full including some selected activities from 2022. The European Union's EU P2P (Partner to Partner) Export Control Programme continued furthering cooperation and capacity building activities under all main areas of its activity, namely dual-use and conventional arms trade controls and Arms Trade Treaty implementation.

The EU P2P Programme continues delivering technical assistance and promoting cooperation with partner countries and other donors in an unstable geopolitical environment characterised by increasing tensions and armed conflicts.

In parallel with the EU P2P, the EU also continues working together with its international partners to further erode Russia's capabilities to wage war against Ukraine and to ensure the effective implementation of the European sanctions regime. The latest package of sanctions (no. 12) expands restrictions in the trade of dual-use items and advanced technologies and introduces new controls for dual-use items such chemicals, thermostats, DC motors and servomotors for Unmanned Aerial Vehicles (UAV).

As for EU P2P activities pertaining to dual-use trade controls, 2023 saw several positive developments. For instance, countries in Eastern Europe and the Caucasus benefited from regional conferences concerning recent developments in EU legislation and from individual activities tailored to their national requirements. In Southeast Asia, cooperation with Brunei Darussalam has effectively started with the adoption of its national roadmap and the conduct of the first activities to assess its current legal framework and potential future orientations. It is also likely that Cambodia and Vietnam will adopt national roadmaps in the near future.

Work continues steadfastly with Lao PDR after the official adoption and planned implementation of its national system for strategic trade controls established with substantial legal support from the EU P2P, while close cooperation with other partner countries such as the Philippines and Thailand has equally intensified. Malaysia became the first country that benefited from the use of the new EU P2P e-learning course on dual-use trade controls.

The Newsletter takes also a close look at the progress achieved under cooperation with countries from the Middle East and the Gulf Cooperation Council (GCC), including the Regional Conference that kicked-off the EU P2P engagement in the GCC region in November 2022, in Dubai. Jordan, Iraq and the UAE remain active partner countries under this Project while cooperation with Lebanon has also resumed.

The next period is expected to be eventful for the EU P2P Export Control Programme for Dual-use Goods with the kick-off of new engagements enlarging the scope of the Programme to several African countries, the Americas, Central and South Asia.

Concerning EU P2P activities on conventional arms trade controls (COARM), the EU's actions aimed at strengthening controls of military technology and equipment in third countries continued under two projects:

1. Council Decision (CFSP) 2020/1464 of 12 October 2020 on the promotion of effective arms export controls (COARM Outreach Project, phase V), which by Council Decision (CFSP) 2023/2539 of 13 November 2023 entered its sixth phase, to last until January 2025.
2. Council Decision (CFSP) 2021/2309 of 22 December 2021 on Union outreach activities in support of the implementation of the Arms Trade Treaty (ATT Outreach Project, phase III). That project also covers assistance to States that are not yet parties to the ATT, thereby encouraging and preparing for political decisions to join the Treaty.

In addition to these two projects, the EU also supports the tasks of the ATT Secretariat, in line with its commitment to strengthen multilateral institutions and frameworks. Council Decision (CFSP) 2021/649 of 16 April 2021 on Union support for activities of the ATT Secretariat in support of the implementation of the Arms Trade Treaty was succeeded by the Council Decision (CFSP) 2023/2296 of 23 October 2023, establishing the second phase of the project for further 24 months, focusing on national capacity building for compliance and reporting.

The yearly highlight, as usual, was the publication of the 25th EU Annual Report on Arms Exports on 11 December 2023, covering the licenses authorized by EU Member States in 2022 and all denials. The EU Common Military List, last updated on 20 February 2023, is about to receive technical updates, following the adoption of amended Munitions List by the plenary meeting of Wassenaar Arrangement on 1 December 2023.

Finally, the EU Member States are progressing towards another periodic review of the EU Common Position 2008/944 on the common rules governing control of exports of military technology and equipment, with the adoption of a Council Decision amending the Common Position and the User's Guide envisaged for the final days of 2024.

We hope that you will find this 13th edition of the Newsletter informative and resourceful.

Maria-Rosa Sabbatelli, Head of Unit, Stability and Peace – Global and Transregional Threats, European Commission, Service for Foreign Policy Instruments (FPI)

Michał Karczmarsz, Chair of the Working Party on Conventional Arms Export (COARM), European External Action Service (EEAS)

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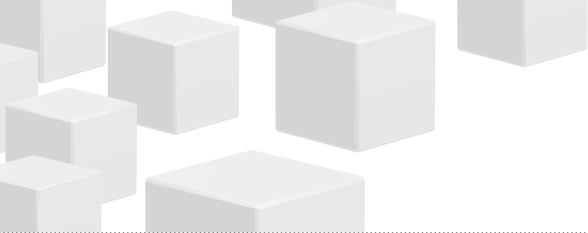
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EXPORT CONTROLS NEWS FROM THE EU AND BEYOND

Dual-Use Trade Controls News

The Annual Update(s) to the EU List

On 15 December 2023, the Official Journal of the EU published the Delegated Act (EU) 2023/2616 updating the EU dual-use export control list in Annex I to Regulation (EU) 2021/821.

The update brings the list in line with decisions taken in the multilateral Export Control Regime's Wassenaar Arrangement (WA), Missile Technology Control Regime (MTCR), and Nuclear Supplier Group (NSG) in 2022. The decisions taken in the Australia Group (AG) in 2022 have been introduced in the control list in Annex I by means of the Commission Delegated Regulation (EU) 2023/996 of 23 February 2023.

This update mainly pertains to the control parameters of manufacturing equipment (NSG), technology for high-performance computers and lasers (WA), the addition of propulsion motors for submersible vehicles, and of technology for the development of gas turbine engines for aircraft (WA), as well as the adjustment of technical definitions, notes and descriptions, and editorial changes.

The '[Comprehensive Change Note Summary – update 2023](#)' provides a detailed overview of all changes compared to the 2022 Exceptional Update of the EU Dual-Use Control List across all 10 categories.

Author: [DG TRADE website and Xavier Arnes-Novau, European Commission - Joint Research Centre](#)

Webinar for Third Countries on the Updates to the EU Control List

11 January, 2023, online

On 11 January 2023, the EU P2P programme, under its projects 64 and 90 and the European Commission organised the first edition of a webinar on the "Presentation of the changes in the European Union dual-use goods list".

The dual-use strategic trade control list adopted by the EU has become an international reference for countries across the globe. About 30 countries, in all continents, have already made the choice to adopt and maintain a list with similar structure and scope for their national strategic trade control systems on dual-use goods.

Other countries have opted to follow the EU control lists in terms of contents but with different structures.

The EU list, also, essentially evolves, grows and adjusts to its environment: i.e. the technical and technological developments and the perceptions EU Members States have of the proliferation threat.

Therefore, it wouldn't be an exaggeration to say that the single list of control as compiled, codified and updated by the EU constitutes a good practice and it sets a solid basis for exchanging about existing proliferation trends and ways to enhance controls; always with the double objective of facilitating legitimate trade and ensuring a level-playing field at international level.

For the first edition of this webinar, 260 participants from 34 countries were introduced to the technical changes that occurred between 2019 and 2022 in the EU dual-use list and exchanged with European Commission Joint Research Centre experts Filippo Sevini and Xavier Arnés-Novau.

The webinar was also supported by the Directorate



The Foreign Direct Investment Forum, 20-21 April 2023, London

General for Trade (DG TRADE) and the Service for Foreign Policy Instruments (FPI.1).

Author: **EF Outreach Team**

The Foreign Direct Investment Forum

20-21 April 2023, London

On 20-21 April 2023, the EU P2P Project 64 contributed to the organisation of the Foreign Direct Investment Forum, with the Centre for Science and Security Studies (CSSS) at King's College London.

The conference, which was also part of the King's Port Governance Project, addressed senior officials from Cambodia, Egypt, Kenya, Malaysia, the Philippines, Türkiye and Ukraine working in the sanctions and export control sectors.

The speakers originated from academia, the EU P2P Export Control Programme for Dual-Use Goods and experts of the US Export Control and Related Border Security (EXBS) Program.

The agenda featured a series of presentations, panel

discussions and interactive exercises covering the risks associated with predatory foreign direct investments and screening legislation and processes for containing such attempts. A range of FDI relevant topics were discussed including EU foreign direct investments-related legislation, potential issues around investments in critical infrastructure, and risk factors related to FDI, more broadly.

The event owed its success partly to the close collaboration among the King's College London, EU P2P, and EXBS experts.

Author: **EF Outreach Team**

Conference of Partnership Programmes on Strategic Trade Controls of Dual-use Goods in South-East Asia

7- 10 March 2023, Tokyo

The week of 7 to 10 March 2023 has been intense and productive for the EU P2P Export Programme for dual-use goods and its international partners in Tokyo.

First, the United Nations Office for Disarmament Affairs (UNODA) and the government of Japan organised a useful event, the UNSC Resolution 1540 Stakehold-



Group picture of the Conference of Partnership Programmes on Strategic Trade Controls of Dual-use Goods in South-East Asia, 7-10 March 2023, Tokyo

ers' Meeting. This was a most appreciated opportunity for dialoguing with South-East Asian countries and other international providers of technical assistance allowing to identify solutions and match assistance possibilities with countries' expressed needs.

Second, a follow-up meeting to the Conference of Partnership Programmes was co-organised by the U.S. Department of State's EXBS Programme, Japan and the EU P2P and with the UNODA's support the on Strategic Trade Controls of Dual-use Goods in South-East Asia initiative.

The meeting gathered representatives from the U.S. Department of Energy (DOE), the Japanese Ministry of Economy, Trade and Energy (METI), the Centre for Information on Security Trade Controls (CISTEC) of Japan, the Korean Security Agency of Trade and Industry (KOSTI), the World Customs Organization (WCO), the Ministry of Foreign Affairs of Singapore, Singapore Customs (MoF), the German BAFA, the UK government, the UN Regional Centre for Peace and Disarmament in Asia and the Pacific (UNRCPD), and the UN Interregional Crime and Justice Research Institute (UNICRI).

The overall objective was to explore and agree on opportunities for future coordination and collaboration

in technical cooperation activities in dual-use trade controls aiming at facilitating international trade and technology transfers while preventing their diversion to non-peaceful uses.

Last, the EU P2P actions and role in preventing harmful uses of CBRN materials were presented during the G7 Global Partnership Working Group Meeting (9-10 March), presided by the Ministry of Foreign Affairs of Japan. The EU's delegation included representations from the European Commission and EEAS and it promoted coordinating efforts with the G7 Global Partnership members for global CBRN threat reduction.

Author: **EF Outreach Team**

Catch-All Controls Seminar organised by US EXBS Programme

14-16 March, 2023, Brussels

From 14 to 16 March 2023, the EU P2P Programme for dual-use goods (Projects 64 and 90) contributed to the Catch-All Controls Seminar organised by the US DoS EXBS programme, the U.S. Department of Energy (DOE) and supported by the European Commission.



Catch-All Controls Seminar organised by US EXBS Programme, 14-16 March, 2023, Brussels

Along the 3 days, representatives of governments from 45 countries and several EU Member States as well as from the private and academic sector exchanged about the importance of complementing strategic trade control frameworks on dual-use goods and technology with the possibility to apply catch-all (end-use controls) on items that are not listed, notably by the multilateral export control regimes.

A catch-all mechanism allows for imposing an authorisation requirement to the export of non-listed goods when there is a high risk for these items to be misused. Usually such items have technical parameters close to the controlled ones and make part of a sensitive transaction in terms of end-use, end-user, trade route etc.

The usefulness of catch-all controls is multiple as they can help preventing sensitive exports of items falling just below technical thresholds or relating to emerging technologies. However, the application of this type of control poses certain challenges for exporting organisations, licensing and customs officers.

The interventions/exercises offered by governments, practitioners and experts allowed for debating on the benefits of catch-all controls, exchanging experienc-

es as well as identifying challenges and possible solutions.

Author: **EF Outreach Team**

[EU Enables Coordinated Export Controls by Compiling National Lists under Article 9\(4\) of the Dual-Use regulation](#)

New 'autonomous' controls at EU level were made possible by the publication of a first compilation of EU Member States' national export control lists, on 20 October 2023. This list means that Member States are now able to impose authorisation requirements on exports of items included in other Member States' control lists, as long as these are included in the Commission's own compilation.

This first list includes Dutch controls on machines to make semiconductors, as well as Spanish controls on quantum computing, additive manufacturing and other emerging technologies. The publication opens the door for other Member States to coordinate their actions on export controls at a time of increased aware-



Group picture of the 9th Edition of the EU P2P Summer University on Strategic Trade Controls, 3-7 July, 2023, Barcelona

ness of essential security concerns.

The compilation will be updated whenever Member States notify new or amended national export control measures to the Commission.

Background

Prior to the entry into force of the EU's Dual Use Regulation in 2021, EU export controls had been exclusively based on multilateral controls decided internationally in Multilateral Export Control Regimes (MECRs). MECRs constitute voluntary and non-binding agreements between countries designed to regulate the transfer of certain military and dual-use technology and prevent the proliferation of Weapons of Mass Destruction – such as the Nuclear Supplier Group or the Wassenaar Arrangement.

Under the recast EU's Dual-Use Regulation, Member States have the opportunity to coordinate their export controls on items for which export controls have not been agreed multilaterally. The EU's recent Economic Security Strategy calls for the full implementation of the regulation as part of a comprehensive approach to protect the Union's economic security.

For more information, consult the [Compilation of national control lists](#).

Author: **DG TRADE website**

The 9th Edition of the EU P2P Summer University on Strategic Trade Controls 3-7 July, 2023, Barcelona

The EU P2P Summer University on Strategic Trade Controls is a flagship EU initiative bringing together each year more than 40 civil servants from non-EU countries and EU experts to discuss topical issues in trade controls and reinforce communities of practice.

The University constitutes a one-week intensive course aimed at offering a deep insight into EU and international best practices for effective implementation of export controls through practice-oriented plenary sessions and interactive breakout discussions. In the end of the week, the participants are requested to take an exam, following which they receive a certification based on their performance.



Group picture of the 13th Chaudfontaine Seminar, 1-3 October, 2023, Chaudfontaine

The EU P2P Summer University counts already with 9 editions, it is managed by the EU Commission's Service for Foreign Policy Instruments (FPI) and it is organised by JRC with the support of the University of Liege, in the framework of the EU P2P Programme for dual-use goods.

Its latest edition took place in Barcelona with the participation of 50 export control practitioners representing licensing and customs authorities from 25 non-EU partner countries.

The lectures covered a plethora of topics such as main principles for adopting and operationalising an export control system, export control requirements for technology transfers including the use of cloud computing services, practices for effective licencing as well as risk-based approaches for customs enforcement including investigation and prosecution aspects.

In 2023, for the first time, the US-led Export Control and Related Border Security (EXBS) Program and specifically its Women in Strategic Trade (WiST) initiative supported the activities of the University. The 10th edi-

tion will take place in Budapest, Hungary, in July 2024.

Author: C. Charatsis, Technical Advisor to the European Commission (External Service Provider)

The 13th Chaudfontaine Seminar 1-3 October, 2023, Chaudfontaine

From 1 to 3 October 2023, the XIII Chaudfontaine Seminar took place in Chaudfontaine, Belgium. It was organized by the ESU- University of Liège, in the framework of the EU P2P Export Control Programme for dual-use goods.

In light of the recent geopolitical events and challenges stemming from export controls on dual-use goods and technologies, the 'Chaudfontaine Group' explored the potential consequences of the ongoing geopolitical transformations and fragmentations on the future of Multilateral Export Control Regimes (MECRs).

Taking into account these elements, participants from



The Latest Edition of the Export Control Handbook for Chemicals (2023 release)

academia, industry, and EU Member states' authorities backgrounds were invited to prospectively scrutinize and design potential scenarios for the evolution of the MECRs and the dual-use items' trade controls in general.

The publication of a summary report, shedding light on the outcomes of the seminar, and an article addressing these instrumental discussions will follow soon on the [ESU website](#).

Author: **European Studies Unit - University of Liège**

[The Latest Edition of the Export Control Handbook for Chemicals \(2023 release\)](#)

We are thrilled to announce the recent publication of the Export Control Handbook for Chemicals (Edition 2023), an essential guide for companies and individuals involved in the global chemical industry. This comprehensive tool aims to simplify the complex procedures of chemical exports, ensuring compliance with both domestic and international export regulations.

The Export Control Handbook for Chemicals is a result of meticulous research by a team of JRC experts of the Strategic Trade Control team (Xavier Arnes-Novau and Filippo Sevini). It provides an in-depth understanding of the regulatory frameworks, and procedures

required for the export of chemicals. This handbook is a valuable resource for exporters, manufacturers, distributors, and regulatory authorities to navigate through the intricacies of the global chemical trade.

One of the key benefits of the handbook is its extensive coverage of international laws and regulatory standards. It highlights the importance of compliance with these regulations to avoid legal complications, penalties, and potential damage to the reputation of businesses.

The JRC's Export Control Handbook for Chemicals (edition 2023) and the online dataset provide quick guidance for exporters and customs officers involved in the export and distribution of chemicals.

Several EU instruments regulate the export of chemicals. These include the EU Dual-Use Regulation, the Common Military List of the European Union, the Regulation on the marketing and use of explosives precursors, drug precursors control, EU regulations concerning trade restrictive measures (i.e. sanctions against Russia, Iran, North Korea and Syria), and hazardous chemicals regulation (PIC regulation).

The full list of regulations in force mapped by the handbook, is the following:

- Dual-use regulation, (EU) No 2021/821
- The Chemical Weapons Convention (International

treaty)

- Chemicals with military applications, 2008/944/CFSP
- Hazardous chemicals, (EU) No 649/2012
- Chemicals included in the anti-torture regulation, (EU) No 2019/125
- Chemicals subject to sanctions for certain countries (Russia, Syria, DPRK and Iran)
- Chemical precursors of explosives, (EU) No 2019/1148
- Chemical precursors of psychotropic and narcotic substances, (EC) No 111/2005

Under these legislative instruments, many chemicals used daily in industrial processes or by persons are subject to export controls, because they can also be used to create explosives, nuclear weapons or other Weapons of Mass Destruction, and thus present a potential threat to global security, but also affecting safety or public health.

The JRC's Export Control Handbook for Chemicals enables exporters and customs officers to verify rapidly which chemicals require an export authorisation and to check exported products' compliance with the EU export control legislation.

The handbook lists all controlled chemicals by their name, EU reference codes, CAS number, and CN codes used for customs declarations, and indicates the EU Regulation under which they are controlled.

The Handbook was published in report format in 2019 for the first time. A second version was released in 2021, and in 2023 the latest version which includes not only chemicals included in EU export control regulations, but also the update of the CN codes, taking into account that in 2022 there was a major change on the Harmonized System.

The underlying dataset is now also available in the JRC Data Catalogue. It enables national customs offices to make use of digital applications and to identify more efficiently possible violations of the EU export legislation. But it also helps economic operators to improve their export compliance by limiting the risk of mistakes and penalties by orienting themselves within the collection of export regulations in force.

In conclusion, the Export Control Handbook for Chemicals (click here for access) is an indispensable tool for economic operators involved in the chemical industry. It offers a check list and correlations of chemicals subject to export controls to ensure safe, legal, and efficient chemical export processes.

Author: **Xavier Arnes-Novau,**
European Commission - Joint Research Centre

The Export Control Forum (2022)

The 2022 Export Control Forum, organised by the European Commission and the Czech Presidency of the Council, took place on 6 December 2022, with hundreds of participants representing Member States, industry and research organisations, as well as partners and allies.

Panellists discussed latest developments in the area of export controls as well as challenges within the current geopolitical framework and the role of multilateral export control regimes.

The Forum witnessed for the first time in Europe a discussion between the EU and some of its main partners in the coalition on sanctions –US, UK and Japan-, showing unprecedented cooperation against Russia's war of aggression against Ukraine.

Ukraine also joined the Forum with the participation of Deputy Minister of Economy, Ihor Fomenko, who welcomed the EU's proactive role in helping Ukraine and shared a frontline view of the war.

The Export Control Forum closed with a discussion on transatlantic cooperation, where the EU and the US recognised work under the Trade and Technology Council as instrumental to address challenges on export controls.

The speakers' presentations are available [here](#).

Author: **DG TRADE website**

Arms Trade Control (COARM & ATT)

The 25th EU Annual Report on Arms Exports and the update of the EU Common Military List

The 25th EU Annual Report on Arms Exports was adopted by the Council on 11 December 2023, informing in detail on arms sales authorised by EU Member States in 2022.

The report is prepared by the EEAS based on data provided by the EU Member States.

31.327 licenses were issued by Member States for a combined value of over € 176 billion, following similar values for 2021. With that, the EU remains an important exporter of military equipment and technology, sharing about a quarter of global international trade in arms. Military weapons have an indispensable role in the preservation of security, freedom and peace, provided they are used in accordance with International Law, including Human Rights Law and International Humanitarian Law, and provided they are traded in a responsible manner. The EU's legal framework, including the Council Common Position 2008/944/CFSP, EU and UN arms embargoes, together with the Arms Trade Treaty to which all EU Member States are parties, is designed to pursue such goals.

Decisions on issuing export licenses for military equipment remain a national competence, following an assessment against the eight criteria of the Common Position 2008/944/CFSP which includes the respect for human rights in the country of final destination as well as respect by that country of international humanitarian law.

123 licenses in 2022 were denied by Member States, following case-by-case assessments of license applications, on the following grounds:

- Criterion 1: Respect for the international obligations and commitments of Member States, in particular sanctions adopted by the UN or the EU (34 cases);
- Criterion 2: Respect for human rights and IHL (38 cases);
- Criterion 3: Internal situation in the country of

final destination, as a function of the existence of tensions or armed conflicts (26 cases);

- Criterion 4: Preservation of regional peace, security and stability (35 cases);
- Criterion 5: National security (2 cases);
- Criterion 6: Behaviour of the buyer country, in particular its attitude to terrorism, the nature of its alliances and respect for international law (4 cases);
- Criterion 7: Risk of diversion or re-export under undesirable conditions (52 cases);
- Criterion 8: Compatibility of exports with the technical and economic capacity of the recipient country (2 cases).

Note: the above numbers do not add to 123 since a denial decision could have been made on multiple grounds at the same time.

The report also contains, for the second time, a separate part dedicated to assistance measures under the European Peace Facility, adopted and implemented in 2022.

The present report in the form adopted by the Council is available at the Council's website, and in addition to numerical data, it also covers activities undertaken by the EU in the framework of outreach to third countries, helping those in setting up arms export control systems and promoting the universalisation and implementation of the Arms Trade Treaty.

The values for 2022 have also been uploaded to the public online database run by the EEAS since 2021, which contains the annual arms export data of all EU Member States since 2013. The database offers various graphic representations and analysis tools to all those interested in further details.

Author: **Michał Karczmarsz, Chair of COARM, EEAS**

The EU Common Military List update

The latest update of the Common Military List of the European Union was adopted by the Council, on 20

February 2023 (equipment covered by Council Common Position 2008/944/CFSP defining common rules governing the control of exports of military technology and equipment). It updates and replaces the previous Common Military List of the European Union adopted by the Council on 21 February 2022. The updated Common Military List of the European Union has been published in the Official Journal of the EU ([OJ C 72/2, 28.2.2023](#)).

Another update is under preparation, to reflect changes of the Munitions List adopted by the plenary meeting of Wassenaar Arrangement on 1 December 2023.

Author: **Michał Karczmarz, Chair of COARM, EEAS**

COARM's work in line with the Council's Conclusions on the implementation of the Common Position on arms exports

In early 2022, COARM started the preparations for the next review of the Common Position on arms exports. This review shall be finalised in 2024, and it should take into account, inter alia, relevant developments in the domain of European defence, such as the European Defence Fund, the European Peace Facility and the Strategic Compass.

Three "Focus Groups" in COARM, composed of interested Member States, have prepared working papers: on jointly produced military equipment, on further harmonization, and on the enforcement of the Common Position. A concept was also submitted on the possible role of EU Delegations to support Member States in arms export control.

The EU Non-Proliferation and Disarmament Consortium, as mandated by EEAS, provided research products on lessons learned from the last review (2019) and ways ahead; on strengthening the EU's system of controls on intangible transfers of technology, and on aligning the EU's arms and dual-use export controls with its broader strategic objectives.

In addition, meetings were organized for the Member States to discuss the scope of future review with

external stakeholders, including seminars coordinated by the EU NPD Consortium in June and November 2023, the COARM-NGO Forum, and a meeting Aerospace Security and Defence Industries Association of Europe (ASD), both in July 2023.

Drafting of updates will take place in COARM starting from spring 2024, and the adoption of a Council Decision amending the Common Position and the User's Guide is envisaged for the final days of 2024.

Author: **Michał Karczmarz, Chair of COARM, EEAS**

The 9th Conference of States Parties to the Arms Trade Treaty

21-25 August 2023, Geneva

The States Parties to the Arms Trade Treaty succeeded in adopting several recommendations for the next cycle, including those on raising awareness and re-engaging industry in the ATT implementation. Andorra and Gabon acceded to the Treaty, thus increasing the number of States Parties to 113.

ATT States Parties also endorsed a Voluntary Guide on prohibitions and transit and transshipment, as well as a set of operational measures for the post-delivery co-operation. Re-assessing a new mandate for the Working Group on Treaty Implementation, which should now focus on the practical implementation, was a major success.

In reply to a slowdown of new ratifications, States Parties agreed on a roadmap, proposed by Germany, based on the identification of voluntary regional champions, which could share their own experience with their ratification processes. Dire financial situation prevails, due to accumulation of arrears over last 5 years. In this regard, Ukraine submitted request to resolve its outstanding arrears from 2015, as the first and so far the only State.

The EU played a key role during the negotiations as well as in the margins of the Conference, delivering 7 statements (general statement, the role of industry, Secretariat, Transparency and Reporting, Treaty Im-



Ninth Conference of States Parties picture, July 2023

plementation, Treaty Universalization, Financial Contributions, [available at the ATT webpage](#)), and speaking at 5 side events, including the one showcasing the existing ATT Outreach Project implemented by German export control authority BAFA and by Expertise France.

Romania was elected as the Presidency of the 10th Conference of States Parties to take place from 19 to 23 August 2024 in Geneva.

Author: **Michał Karczmarz, Chair of COARM, EEAS**

The 12th Annual EU Non-Proliferation and Disarmament Conference

4-5 December 2023, Brussels, Belgium

The 2023 (12th) EU Non-Proliferation and Disarmament Conference took place in Brussels, as an in-person event, open for online participation of registered attendees. It was organized by EU NPD Consortium, and in particular the Istituto Affari Internazionali (IAI), while the EEAS oversaw the agenda and list of speakers.

The conference was inaugurated by a video address of HRVP Josep Borrell, and attended by over 200 participants. The various panels covered:

1. EU NPD agenda;
2. Nuclear NPD challenges;
3. Chemical disarmament after 5th RevCon of the CWC;
4. Outer space as a potential realm of conflict or co-operation;
5. Artificial intelligence and the future of warfare;
6. Diversion of conventional arms;
7. Conflict dynamics and security in South-East Asia;
8. Bio-technology and weaponization risks;
9. War in Ukraine and the future of arms control in Europe, and
10. High-level discussion: Arms control and global power dynamics.

As an accompanying event, the EU NPD Next Generation Workshop took place for over 20 young researchers. More information is available at the [Consortium's website](#).

Author: **Michał Karczmarz, Chair of COARM, EEAS**

International Export Control Regimes

The Wassenaar Arrangement Plenary Meeting

The 27th Plenary Meeting of the Wassenaar Arrangement (WA) for export control for conventional weapons and dual-use technologies took place in Vienna, on November 30 2023.

The Plenary did adopt updates to both Control Lists, for Military and Dual-Use items, - a summary is available on the [WA website](#). The Chair's public statement is available [here](#).

The Nuclear Suppliers Group Plenary Meeting

The Nuclear Suppliers Group Plenary Meeting was held in Buenos Aires, Argentina from July 13 to July 14 2023. No public statement was issued this time.

The Nuclear Suppliers Group (NSG) is a group of nuclear supplier countries that seeks to contribute to the non-proliferation of nuclear weapons through the implementation of two sets of Guidelines for nuclear exports and nuclear-related exports.

More information on the NSG activities can be found [here](#).

The Australia Group Plenary Meeting

The Australia Group (AG), comprising 42 countries and the European Union, met for its 36th AG Plenary in Paris from 5 to 9 June 2023. The statement of the Chair of the 2023 Plenary is available in the [AG's website](#).

The AG aims to ensure that trade in sensitive dual-use goods and technology does not contribute to the production or proliferation of chemical or biological weapons, and that legitimate trade is facilitated.

The Missile Technology Control Regime Plenary Meeting

The Missile Technology Control Regime (MTCR) held its 35th Plenary Week in Rio de Janeiro, Brazil, from October 30 to November 3, 2023. No public statement was issued this time.

The MTCR is an informal regime consisting of 35 participating States, aimed at limiting the risk of proliferation of missile technologies and unmanned aerial vehicles (UAVs) as delivery systems for Weapons of Mass Destruction (nuclear, chemical, and biological).

More information on the MTCR activities can be found [here](#).



EU P2P: DUAL-USE TRADE CONTROL ACTIVITIES



CoE Project No 64 (North-Africa)

Awareness Raising Seminar for Tunisia

6-7 March 2023, Tunis

On 6-7 March 2023, the EU P2P Programme on Export controls of dual-use goods, under its Project 64 and the Ministry of Trade and Development of Exports of Tunisia co-organised an awareness-raising seminar for dual-use strategic trade controls.

The exchange of experience with EUP2P experts allowed the participants to gain a good understanding of the rationale and the advantages of implementing dual-use trade controls including an overview of main

elements that every functional export control system must have in place especially concerning licensing and customs control procedures. This exchange was followed by a discussion on the possible shape and contents of a Tunisian legal framework to found the national dual-use goods and technology control system.

The event was actively attended by delegates of the Ministry of Trade and Export Development, Ministry of Foreign Affairs, Ministry of Justice, Ministry of National Defence, Ministry of Internal Affairs, Ministry of Health, General Directorate of Customs, Ministry of Industry, Mining and Energy, Ministry of Transportation, Ministry of Technology and Communication.



Group picture of Awareness Raising Seminar for Tunisia



Along with sharing views with the EU P2P team of experts on international best practices for dual-use trade controls, the seminar served the purpose of enhancing the partnership between the Tunisia and the EU, for the prevention of the diversion of trade in dual-use goods and technologies and for strengthening international security.

Author: EF Outreach Team

Workshop on Mapping Strategic Dual-Use Trade for Morocco

10-11 July 2023, Rabat

On 10-11 July 2023, the EU P2P programme on export control of dual-use goods, under its EU CBRN CoE Project 64, co-organised with the Moroccan Ministry of Foreign Trade and Industry a workshop concerning methods allowing the mapping of strategic dual-use goods and technology.

Representatives from Morocco's Agency for Nuclear and Radiological Safety and Security, National Centre for Nuclear Energy, Science and Technology, National Agency for the Regulation of Telecommunications, National Centre for Scientific and Technical Research, Ministry of National Defence, Ministry of Finance - Customs and Indirect Taxation Administration and the Ministry of Energy, Transition and Sustainable Development gathered in Rabat and contributed actively to the event.



Group picture of Workshop on Mapping Strategic Dual-Use Trade for Morocco

Together with the EU P2P experts, they discussed qualitative and quantitative analysis methods, using accessible and free public databases, to identify sectors exposed to strategic trade. The presentation of the results of such analyses was followed by a brainstorming session to identify national sources of information that could complete the national industrial mapping, as well as to determine the next steps for raising awareness among the industries concerned with a view to the future entry into force of national Law N° 42-18 on the control of trade in dual-use goods.

This was a successful activity opening up the long standing cooperation of the EU with Morocco to new technical areas and reinforcing the ongoing partnership for preventing the proliferation of WMD and securing the flows of sensitive dual-use goods and technologies.

The EU P2P team extends its warm thanks to all the participants as well as to the U.S. DoS EXBS Program experts for contributing to the fruitful discussions.

Author: EF Outreach Team

Workshop on Industry Outreach Technics for Morocco

27-28 November 2023, Rabat

On 27-28 November 2023, the EUP2P Programme (EU CBRN CoE Project 64) and Morocco (Ministry of Foreign Trade and Industry) co-organised a workshop on outreach techniques targeting industry involved in dual-use trade.

The participation gathered representatives from Morocco's Customs and Indirect Taxation Administration, Royal Gendarmerie, Energy and Mines, General Directorate of National Security and the Directorate General for Civil Protection.

The workshop was supported with experts from the French and the Italian dual-use licensing authorities and representatives from the European industry. The main objective of the discussion was to identify ways to address challenges that are common for both the



Group picture of Workshop on Industry Outreach Technics for Morocco

industry and the national authorities while implementing effective trade controls. The discussions focused on best practices for outreach to industry as well as established instruments for facilitating export compliance by corporations.

The second part of the workshop focused on drafting an Industry Outreach Plan of Action taking into account the imminent entry into force of Law N° 42-18 in April 2024.

The EU P2P is thankful to all the participants, especially the Ministry of Industry and Commerce of Morocco for the long-standing collaboration the EU P2P experts and the U.S. DoS EXBS colleagues for the very active and fruitful discussions.

Author: **EF Outreach Team**

Awareness Raising and Legal Workshop for Tunisia 4-5 December 2023, Tunis

On 4-5 December 2023, the EU P2P programme and the Tunisian Ministry of Trade and Development of Exports co-organised an awareness raising and legal workshop to contribute to the drafting of Tunisia's national law on the control of dual-use items.

Representatives from Tunisia's Ministry of Trade and Export Development, Ministry of Health, General Directorate of Customs, Ministry of Industry, Mining and Energy, Ministry of Justice, Ministry of Internal Affairs, Ministry of Transportation, Ministry of National Defence, Ministry of Technology and Communication contributed actively to the discussions with the EU P2P experts.

The first part of the workshop outlined the main principles of a national law controlling trade in dual-use goods with a particular focus on the licensing process and best practices for procedures. This technical component was followed by the legal analysis of the Tunisian Bill of Law on the control of dual-use trade prepared by the national Working Group, during which the representatives of the various national ministries discussed with European experts the provisions of the draft and anticipated the contents of ensuing implementing regulations.



Group picture of Awareness Raising and Legal Workshop for Tunisia



Group picture of the “Moldova-EU P2P Dialogue on legal aspects of dual-use goods trade control” in Chisinau, Moldova

Thanks to the Tunisian Ministry of Trade and Development of Exports and all various governmental authorities and with the assistance of the EU P2P, Tunisia makes progress with each commitment to elaborate and adopt its first national legislation for controlling the trade of dual-use goods and technologies while raising the profile of Tunisian economic operators as responsible actors in international trade.

Author: **EF Outreach Team**

CoE Project No 64 (Eastern Europe and Caucasus)

Dialogue on Legal Aspects for Moldova
22-23 February 2023, Chisinau

On 22-23 February 2023, the EU and its EUP2P Export Control Programme for Dual-Use Goods, under Project 64 organised together with the Ministry of Economic Development and Digitalization (MoEDD), the “Moldova-EU P2P Dialogue on legal aspects of dual-use goods trade control” in Chisinau, Moldova.

The event constituted an open and productive dia-

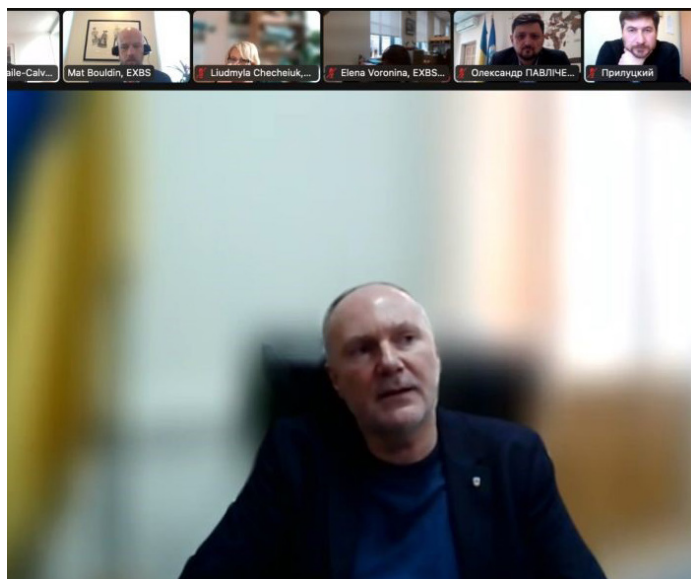
logue that made possible to better understand Moldova’s realities and expectations regarding possible amendments to the national legislation, determine appropriate timeframes and meet with key stakeholders involved in the national control system of trade in dual-use goods. The exchanges used also for shaping future collaboration with Moldova and clarifying the country’s expectations from the EUP2P Programme.

22 participants from the Ministry of Economic Development and Digitalisation, Ministry of Internal Affairs, Ministry of Defence, Customs Service (CSOM), Public Service Agency, Ministry of Foreign Affairs and European Integration and Information and Security Service took part to this dialogue, making it a concrete step forward for the EU P2P partnership between the Moldova and the EU in preventing the diversion of trade in dual-use goods and technology and for strengthening international security.

Author: **EF Outreach Team**

Online Legal Consultation with Ukraine
21 March, 2023, online

On 21 March 2023, the EU P2P, the U.S. Department of State EXBS Programme and the Ukrainian Ministry



Online Legal Consultation with Ukraine

of Economy- State Service of Export Control of Ukraine co-organised an online consultation for exchanging good practices and views on the consolidation of the draft national Law on the State Control over International Transfers of Strategic Goods.

This draft law is to be submitted soon to the National Parliament (RADA) and it makes part of the effort of Ukraine to harmonise the principles and mechanisms of its dual-use trade control system with the with the EU ones.

During these adverse times, Ukraine continues progressing towards its goals and the EU P2P stands by

and supports Ukraine's process towards the EU integration. The event honoured with his participation Mr. Ihor Fomenko, Deputy Minister of Economy.

Author: **EF Outreach Team**

First Legal Workshop for Moldova

4 to 6 October 2023

From 4 to 6 October 2023, the EU P2P Programme, the U.S. Department of State, Export Control and Border Security Program (EXBS) and the Moldovan Ministry of Economic Development and Digitalization organized jointly the first consultative workshop on Moldova's national dual-use trade control legislative framework.

Together, they reviewed the draft "Act on the control regime of dual-use operations" and exchanged views on different legislative solutions. The discussions resulted in experts' dialogue on the roles and necessities of strategic trade controls on dual-use goods and technologies and a series of concrete recommendations for amending the draft text. At the end, the participants also exchanged opinions and recommendations on the actions and steps needed to complete the preparation of this legislative proposal.



Group picture of the First Legal Workshop for Moldova



Group picture of the Enforcement Workshop for Ukraine

Author: EF Outreach Team
Enforcement Workshop for Ukraine
17-18 October 2023, Warsaw

On 17-18 October 2023, the EU P2P Export Control Programme for Dual-Use Goods and the State Service of Export Control of Ukraine co-organised a training on “Strategic Trade Enforcement - Audit” in Warsaw, Poland.

Presentations case-studies and exercises allowed the participants to upgrade their mastery of practices and techniques utilised by authorities to operate a national management system for dual-use trade controls focusing especially on post-clearance activities for export controls.

16 participants from the National Security and Defence Council of Ukraine, Ministry of Economy of Ukraine, State Service of Export Control of Ukraine, State Customs Service of Ukraine and the Security Service of Ukraine took part in this training animated and supported by EU P2P experts who shared their practical experience.

The EU P2P team is firmly engaged and committed to partner with Ukraine and its authorities for preventing all forms of diversion of trade routes to malevolent misuses.

Author: EF Outreach Team

Workshop on Technology Transfers for Armenia
14-15 November 2023

Another insightful event this time for Armenia was organised by EU P2P Export Control Programme for Dual-Use Goods and its international partners in Yerevan.

The EUP2P and the Department of Energy’s International Nonproliferation Export Control Program (IN-ECP) organized a “workshop on technology transfers” for the Government of Armenia and its national industry.

Modern strategic trade control (STC) systems require regulating sensitive dual-use technologies and this brings unique challenges for governments, corporations and research organisations. Although industries are familiar with the need to control the export of commodities, they may underestimate the challenge posed by technology and software transfers. This workshop introduced key technology transfer concepts and equipped participants with tools to better safeguard dual-use technology.

40 participants from the Ministry of Foreign Affairs, Ministry of Defence, Ministry of Economy, Ministry of High-Technology Industry, National Security Service, State Revenue Committee, Military-Industrial Committee, as well as from the private sector took part in this workshop animated and supported by EU P2P and IN-ECP experts who shared best practices and their own practical experience in these areas.

Author: EF Outreach Team



Group picture of the Workshop on technology transfers for Armenia

Legal Consultation for Ukraine

21 November 2023, online

On 21 November 2023, the EUP2P Programme, the U.S. Department of State EXBS Program and the Ukrainian Ministry of Economy - State Service of Export Control of Ukraine hold an online consultation for exchanging views on the consolidation of the draft national Law on the State Control Over International Transfers of Strategic Goods. The meeting took place under the chairmanship of Deputy Minister of Economy, H.E. Mr. Ihor Fomenko.

This draft law is part of the process of the new EU candidate country to fully align its principles and mechanisms for the control of dual-use items with the EU framework.

During adverse times, its international partners stand alongside Ukraine's dedication, commitment and engagement in the fight against the dissemination of WMD in Europe and in the world.

Author: **EF Outreach Team**

Legal Workshop for Ukraine

5- 7 December 2023, Warsaw

Another successful event was co-organised by Ukraine, the EU P2P Export Control Programme for Dual-Use Goods and the U.S. Department of State and its Export

Control and Border Security Program (EXBS).

The event constituted a 3-day drafting workshop on Ukraine's national dual-use trade control legislative framework led by the Ukrainian Ministry of Economy and it took place in Warsaw, from 5 to 7 December 2023.

The partners reviewed the draft "Law on the State Control over International Transfers of Military Goods and Dual Use Goods" and exchanged views on technical amendments and potential impacts on the national system in case of adoption of the proposed law.

Intensive discussions on the importance and necessities of strategic trade controls for dual-use goods today, inter alia the need to ensure a level-playing field for all exporters, resulted in a set of recommendations for amending the draft text. Ultimately, the participants exchanged opinions about the actions and steps needed to complete the preparation of this legislative proposal.

The EU P2P is thankful to all participants, international experts and the government of Ukraine for teaming up and making progress for the adoption of the law.

Author: **EF Outreach Team**



Group picture of the Legal Workshop for Ukraine



Group picture of the Enforcement Workshop for Ukraine

CoE Project No 64 (South-East Europe)

Conference on the EU Recast Regulation for Western Balkans

21-22 June 2023, Sarajevo

On 21-22 June 2023 in Sarajevo, the EU P2P, always under its Project 64, and the Ministry of Foreign Trade and Economic Relations of Bosnia and Herzegovina organised a conference on the EU recast dual-use regulation and the convergence of national and EU approaches.

Delegates from the government authorities of Bosnia and Herzegovina, Albania, Georgia, Kosovo*, Montenegro, North Macedonia and Serbia, including representation of the U.S. Department of State,



Conference on the EU Recast Regulation for Western Balkans

Export Control and Border Security Program (EXBS), exchanged among themselves and with the EU P2P experts about the best ways to implement the most recent additions of the EU legislation in relation to principles and mechanisms for the control of dual-use goods and technologies.

Throughout four thematic roundtables, the participants shared their experiences, their expertise and their views concerning the practical implementation of the new types of authorisations, the controls on technical assistance, the human rights catch-all provision as well as the internal compliance programmes and due diligence.

This experts' dialogue allowed for exchanging on the challenges encountered and lessons learnt from implementing trade controls in the EU and the participating partner countries. It included also discussions on possible next steps and future orientations for their respective trade control frameworks such as adoption of amendments and updated implementing regulations, and the elaboration of guidance for the benefit of all sectors of industry, trade and knowledge-based economy.

The workshop demonstrated that there is a wealth of ideas for enhancing a level-playing field for economic actors across the European continent.

Author: **EF Outreach Team**

* This designation is without prejudice to positions on status, and it is in line with UNSCR 1244 (1999) and the ICJ Opinion on the Kosovo Declaration of Independence.



Group picture of the Enforcement Workshop for Albania

Enforcement Workshop for Albania

27-28 September 2023, Tirana

On 27-28 September 2023, the EU P2P, the Authority of the State Export Control in Albania (AKSHE), the General Directorate of Customs and representatives from local customs offices of Albania as well as the EU Delegation in the country organized together a workshop on the roles of the customs and licensing authorities in a strategic trade control system.

The workshop touched on issues of risk management, interagency cooperation and the classification of dual-use items. The EU P2P experts and their Albanian authorities exchanged experiences and increased their common understanding about how Albania can further adapt to the current international challenges and reinforce its trade management practices for the monitoring and control of dual-use goods and technologies.

The discussions allowed to work out new steps for the future collaboration between the EU P2P and the country. The future prospects of cooperation with the country look bright.

Author: **EF Outreach Team**

Legal Workshop for North Macedonia

4- 5 October 2023, Skopje

A fruitful legal workshop between North Macedonia and the EU P2P Export Control Programme for Dual-Use Goods took place in Skopje, on 4-5 October 2023.

The participation included civil servants from the Ministry of Economy, Customs and the export control committee as well as the EU Delegation in Skopje. The objective of the workshop was to review the draft for a new national law on the international trade of dual-use goods.

With the support of well-regarded experts from North Macedonia and the EUP2P, the workshop centred around legislative challenges in relation to the licensing, enforcement and the engagement of the economic actors of the country. Together, they shared



Group picture of the Legal Workshop for North Macedonia

valuable experiences and lessons learnt from practice, making the workshop an opportunity to learn for all those involved. North Macedonia came one step closer to harmonising its legislation with the control principles and mechanisms set forth by the EU.

Finally, aspects and forms of the future cooperation between the EU P2P and North Macedonia were worked out and identified. The prospects of future cooperation activities are promising.

Author: **EF Outreach Team**

Legal Workshop for Bosnia and Herzegovina

October 25- 26, 2023, Sarajevo

A successful and constructive legal workshop between Bosnia and Herzegovina and the EU P2P Export Control Programme for dual-use goods took place on October 25-26.

Representatives from the Ministry of Foreign Trade and Economic Relations (MoFTER), the Ministry of Foreign Affairs, and the Ministry of Civil Affairs collaborated with the EU P2P experts and discussed options and challenges for revising the export legislation related to dual-use goods in Bosnia and Herzegovina.

With main orientations given from both experts from Bosnia and Herzegovina and EU experts, the workshop primarily addressed legislative matters concern-

ing licensing, enforcement, and stakeholders' engagement. Both parties shared experiences and insights, making the workshop a learning opportunity for all involved, and bringing Bosnia and Herzegovina one step closer to harmonizing its dual-use trade control system with those applied in the EU.

Plans for future collaboration between the EU P2P and Bosnia and Herzegovina were also discussed. This is yet another fruitful partnership of the EU in Balkans.

Author: **EF Outreach Team**

Legal Workshop for Kosovo

15-16 November 2023, Pristina (Kosovo*)

An eye on the future with Kosovo and the EU P2P Export Control Programme for Dual-Use goods (EU CBRN CoE Project 64).

On 15-16 November 2023, the EU P2P, together with the Ministry of Industry, Entrepreneurship and Trade, the Ministry of Defence and the Kosovo Customs held a review of the current national Law on Strategic Goods in relation to the management of the dual-use goods and technologies.

The workshop allowed for presenting and commenting the changes brought by the 2021 recast Regulation on the EU trade control system and practices while considering their relevance for inspiring amend-



Group picture of the Legal Workshop for Bosnia and Herzegovina



Group picture of the Legal Workshop for Kosovo



ments to other systems in EU P2P partner countries. The EU P2P and Kosovo remain firmly engaged in their common endeavour to enhance controls on strategic goods in general and dual-use items in particular.

Author: **EF Outreach Team**

Transit and Transshipment Workshop for Montenegro 15-16 November 2023, Podgorica

Another successful collaboration between Montenegro and the EU P2P Export Control Programme for Dual-Use Goods took place on 15-16 November 2023. For this event, Project 64 joined forces with the EU P2P Export Control Programme for Arms (COARM Outreach Project V).

The two sectoral EU P2P programmes engaged key stakeholders including the Ministry of Economic Development and Tourism, Ministry of Interior, Ministry of Foreign Affairs, Customs, Civil Aviation Agency, and the Port of Bar to conduct a workshop addressing transit and transshipment challenges in controlling strategic goods trade.

Guided by the expertise of Montenegrin and EU P2P specialists, the workshop delved into matters of enforcement, licensing, interagency cooperation, and the combat against illicit trade making use of transit and transshipment routes.

The exchange of valuable experiences enhanced the understanding of all important issues linked to the topic of the workshop, and illustrated ways that Montenegro can use to respond to current international challenges by adjusting its trade management practices.

The fruitful discussions paved the way for formulating new steps in future collaborations between the EU-P2P and Montenegro.

Author: **EF Outreach Team**

CoE Project No 89 (Middle East)

Working Meeting and Workshop on Licensing for Jordan

24- 26. January 2023, Amman

As Jordan is in process of taking important steps prior to launching its control system for exports/re-exports of dual-use goods and amending its dual-use control list, these activities aimed to assess the progress achieved and prepare follow-on actions.

The meeting with representatives of the Ministry of Industry, Trade and Supply (MIT), on 24th was meant to review the progress Jordan has made in the past months (especially concerning its task as the main licensing authority and the new electronic licensing platform) and to assess the feasibility of 1 March as the starting date for the Jordanian licensing procedure for the export of dual-use goods.

The workshop on 25th January with the Export Control Committee had the aim of briefing the members of the Export Control Committee on the next steps as well as about their roles and tasks within the Jordanian licensing procedure.

Moreover, invited representatives from the Jordan Chambers of Commerce and Industry were introduced into the Jordanian licensing procedure for the export/re-export of dual-use goods (in particular the electronic platform provided by MIT) and discussed



Working Meeting and Workshop on Licensing for Jordan



an intended series of “outreach-to-industry” events to be organized in the subsequent months in various regions of Jordan. This roadmap workshop was attended by 34 representatives from various Jordanian ministries and authorities that form Jordan’s Export Control Committee. Project 89 was represented by two key experts from Hungary and Jordan, one Short-Term senior expert and one regional manager from BAFA.

Outreach-to-industry and cooperation with the Jordan Customs Department were the main topics of the meeting on 26th. It took place in the premises of MIT, in attendance of three representatives from the Jordan Customs Department.

Author: **BAFA Outreach Team**

Workshop to Assess the e-Licensing System of Jordan

27- 28 February 2023, Amman

On 27-28 February 2023 a licensing workshop for staff members of the Jordanian Ministry of Industry, Trade and Supply (MIT), the Ministry of the Interior (MoI) and the Public Security Directorate (PSD) took place in the premises of MIT in Amman.

During the two-day seminar, experts of Project 89 had a chance to take a closer look at the electronic licensing system of MIT which is supposed to be applied for the processing of applications for the export and re-export of dual use items, including issuing the licences.

On the first day, Mr Fayez Al-Shbaikatt, Head of the Import/Export Department at MIT, briefly introduced the audience into the underlying legislation, notably the Instructions N° 1 of 2019 with regards to dual-use licensing. He then gave the opportunity to the company responsible for developing the e-licensing module to present the functions of the system. By means of test cases, the IT system was presented to the participants. Representatives of the Export Control Committee were engaged in lively discussions on their roles,

tasks and procedures.

Mr Eyad Abusaif, Director of the Trade Department at MIT, thanked everyone participating and highlighted MIT’s determination to start implementing the system.

This workshop was attended by 10 representatives from various Jordanian ministries and security authorities. Project 89 was represented by two key experts from Hungary and Jordan plus one Short-Term senior expert.

Author: **BAFA Outreach Team**

Study Visit to BAFA and Customs Investigation Office for UAE

28 February- 2 March 2023, Eschborn/Frankfurt

From 28 February to 2 March 2023, representatives from the United Arab Emirates attended a study visit to BAFA and the regional Customs Investigations Office, in Frankfurt. Participants from the UAE represented the Federal Executive Office for Control and Non-Proliferation (EOCN), the Security Industry Regulatory Agency (SIRA) of the Emirate of Dubai and Dubai Customs. This event was organized as a follow-up to an initial visit to Dubai in September 2022.

The study visit introduced the participants to the approach of BAFA in applying strategic trade controls of dual-use goods in the administrative procedure. Furthermore, a representative of EOCN gave an overview about interagency cooperation in the UAE’s control system. Furthermore, the event was combined with a visit to the nearby Customs Investigations Office in Frankfurt/Main.

The three-day visit served as a valuable opportunity to gain insight into the different systems of strategic trade controls presented and, it offered the partner country an opportunity to hear about best practices from the EU. During the sessions, the participants from the UAE asked a multitude of questions, indicating their interest in the topics presented by the experts. The latter ranged from licensing to enforcement and



Group picture of the Study Visit to BAFA and Customs Investigation Office

interagency cooperation. The participants' feedback regarding the study visit was exclusively positive. This study visit was attended by 12 representatives from various UAE ministries. BAFA was represented by 12 national experts. This workshop was also supported by an EU expert and three additional customs liaison officers from Germany.

Author: **BAFA Outreach Team**

Workshop for Applying Control Lists in Iraq

14- 15 March 2023, Dubai, UAE

On 14 and 15 March 2023, BAFA implemented a workshop on applying control lists in Strategic Trade Controls (STC) for the partner country Iraq, which – due to security concerns and related travel restrictions for EU project experts- took place in Dubai, UAE. In addition to experts from the EU, this workshop also counted with the involvement of several representatives from the UAE's main strategic trade control authority, the Executive Office for Control and Nonproliferation (EOCN).

In their respective welcoming remarks, Dr. Laszlo Stefan (EU expert), Dr. Riad Al Tamimi (Iraqi NFP) and H.E. Talal Alteneiji (Director of EOCN) all underlined the importance and their strong commitment to foster transnational cooperation in STC and to support

capacity building activities in that area. Dr. Riad welcomed the involvement of the UAE to this workshop and expressed Iraq's interest in closer cooperation with the EU and the UAE, including exchange of information. He furthermore pointed out that Iraq views any progress in its national STC capabilities as a contribution to the country's combat against terrorism, because Iraqi authorities are concerned that terrorist groups might acquire and use dual-use items to pursue their malicious activities. The latter consideration explains Iraq's ongoing focus on the implementation of import controls for dual-use goods.

The first day of the workshop kicked off with an introduction of the structure, contents and application of the consolidated EU dual-use control list, which serves as a model for Iraq's national dual-use control list. The subsequent session was supposed to offer a forum for exchange and discussion about the respective national frameworks for applying control lists in STC by presenting the examples of Germany, Iraq and the United Arab Emirates. The presentations of the respective national frameworks and especially the ensuing discussions were quite lively and comprehensive.

Moreover, the representatives from the EOCN presented their newly adopted approach to enforce targeted financial sanctions, which was met with great interest by both the experts and the participants from Iraq.

The second day focused on practical case studies on



Group picture of the Study Visit to BAFA and Customs Investigation Office

the technical assessment of export license applications. In addition, the EOCN presented the licensing procedure of the UAE. In this context, EOCN representatives also mentioned the EOCN's homepage and the comprehensive set of information and tools, which the EOCN offers for companies on its websites.

The final session was dedicated to a discussion on future activities for Iraq under P89. Here, the Iraqi NFP proposed to try to organize future activities from the jointly agreed roadmap back-to-back to each other, if possible, in order to reduce the travel burden and the related number of visa application procedures for Iraqi representatives.

This workshop was attended by 12 representatives from various Iraqi ministries. Project 89 was represented by two key experts, two short-term experts and two regional managers from BAFA. In addition, this workshop was also supported by four experts from the EOCN (UAE).

Author: **BAFA Outreach Team**

Roadmap Workshop for Lebanon

3-5 May 2023, Beirut

A workshop for the elaboration of a roadmap for future cooperation with the partner country Lebanon took place in Beirut. The event was held in the Grand

Serail, in attendance of the members of the CBRN National Committee. The workshop was set up to tailor the future cooperation under Project 89 to the needs and priorities of Lebanon. It was also used to resume cooperation after a period of three years, in which the difficult political, social and economic situation in the country deteriorated a fruitful collaboration.

In their welcome remarks, Mr. Werner Knapp, the project leader of the EUP2P Export Control Programme for Dual-use Goods - Project 89, and Dr. Bilal Nsouli, the Lebanese CBRN National Focal Point, reiterated the importance of effective strategic trade controls in Lebanon and the region. Dr. Nsouli thanked BAFA and the EU for their ongoing support and emphasized the significance of the workshop considering the port explosion in Beirut in 2020.

In light of the explosion, the first priority for Lebanon is the control of dual-use chemicals, including their storage. In a step-by-step approach, other items and categories of goods shall be included into the scope of controlled dual-use goods. All concerned agencies are aware of existing fragmentation in the licensing process and are ready to harmonize procedures and further improve their efficiency.

Dr. Nsouli also highlighted the importance of reaching out to the private sector. Mr. Knapp assured Dr. Nsouli and the Committee of the ongoing support of the EU and BAFA and promised to uphold the process of an approach tailored to Lebanon's needs and priorities.



Besides, he highlighted the significance of a strong regional cooperation. In the following introduction into the work of the CBRN National Committee and its members, the Lebanese Atomic Energy Commission, the Lebanese Armed Forces and the Internal Security Forces held several insightful presentations. During the presentations and elaborations of Dr. Nsouli, it became clear that the Committee has four control lists for chemicals.

The second day of the workshop focused mainly on the Lebanese control lists. To start of the discussion on control lists, the EU Expert, Roger Cucchietti, introduced the audience into general criteria commonly applied in a strategic trade control system. A special focus was put on the Chemical Weapons Convention and its export requirements/restrictions. After that, the participants went through all control lists presented by the Lebanese side and debated what needs to be amended or updated to prepare them for endorsement by the Prime Minister's Office.

The discussion about the Lebanese control lists continued on the third and last day of the workshop. On this half-day, the amendments that were agreed upon on the previous day were put into writing in an action plan, which was consequently accepted by all representatives.

In total, 20 participants from Lebanon attended this roadmap workshop. Project 89 was represented by the project leader, two key experts, one additional EU expert from France and two regional managers from BAFA.

Author: **BAFA Outreach Team**

Workshop for the Introduction into the e-Licensing Platform of Jordan

16- 17 May, Amman

A workshop for the Jordanian Export Control Committee took place in Amman, Jordan. During the two-day seminar, Project 89 experts and Ministry of Industry, Trade and Supply (MIT) staff members familiarized the Export Control Committee (ECC) members with MIT's

electronic licensing system. This system is designed to facilitate the processing of applications for the export and re-export of dual-use items, including the issuance of licenses. Effective from October 1, 2023, it is planned that Jordan will adopt this system for its operations. Part of the workshop was for the EU Experts to assess the practicability and usefulness of the system and the level of preparedness of MIT.

The initial day of the workshop encompassed an overview of the legal framework governing the export and re-export of dual-use goods in Jordan, along with an exploration of the electronic licensing platform's significance and role within this context. Additionally, the concept and purpose of a correlation list in dual-use trade controls were explained.

On the second day, the workshop focused exclusively on a practical exercise involving the simulation of a genuine application submitted through the electronic platform by a Jordanian applicant or company. The case study demonstrated the licensing authority MIT's proficient understanding and utilization of the system, reflecting their commendable expertise in its operation.

The workshop was attended by 35 representatives from various Jordanian ministries. Project 89 was represented by two key experts and two short-term experts as well as one regional manager from BAFA.

Author: **BAFA Outreach Team**

Study Visit to Hungary for Iraq

10- 13 July 2023, Budapest

On 10-13 July 2023, BAFA implemented a study visit on strategic trade controls (STC) of dual-use goods to Hungary for a delegation from the partner country Iraq. The objective of this study visit was to familiarize the Iraqi participants from five different government authorities with the implementation of STC applied by the EU Member State Hungary.

To this end, the programme included a visit to the central Hungarian licensing authority – "the Government Office of the Capital City of Budapest (Govern-



Group picture of the Study Visit to Hungary for Iraq

ment Office), the Hungarian National Tax and Customs Administration (NTCA) and the private company Flextronics Kft. Throughout these visits, participants were introduced into the different tasks and responsibilities that State authorities as well as private actors (need to) implement in the EU in order to comply with European and national dual-use export control regulations.

The final day of the visit's programme was dedicated to wrap-up and discuss the findings and key take-aways for the Iraqi delegation resulting from the previous programme days and, on this basis, to discuss potential updates or amendments of the roadmap, which outlines the objectives and future activities with Iraq under P89.

In total, 9 delegates from the Republic of Iraq, representing 6 different Iraqi government authorities, took part in the study visit. Project 89 was represented by two key experts and one regional manager. In addition, a dozen local subject matter experts from the Government Office, Hungarian Customs and Flextronics Kft. supported this study visit.

Author: **BAFA Outreach Team**

First Outreach-to-Industry Event for Companies and Chambers from the Northern and Central Regions of Jordan

25 July 2023, Dead Sea

On 25 July 2023, a workshop for the Jordanian private sector took place at the Dead Sea, in Jordan. The objectives of the event were to familiarize representatives from private companies with the requirements and applied procedures for obtaining export/re-export licenses for strategic dual-use goods, to offer a forum for exchange and questions on these applied procedures between government authorities and the private sector, and to obtain feedback from the industry side for future workshops.

Prior to a scheduled workshop, representatives from BAFA and EU experts met with Mr. Ey-ad Abusaif, Director of Trade at the MIT, and Mr. Fayez Al-Shbaikait, Head of the Foreign Trade Licensing Unit, at the ministry's premises. They discussed organizational matters and the upcoming implementation of control lists on October 1. During the workshop, representatives from Jordanian control authorities presented the legal background and envisaged control procedures, including the electronic licensing platform to the attendees from industry and the chambers of commerce and industry. Participants from the private sector used this opportunity to enter into a dialogue with the govern-



Group picture of the Outreach-to-Industry Event for Companies and Chambers from the Northern and Central Regions of Jordan

ment authorities and EU experts and expressed their feedback and points of view.

The workshop was attended by 34 representatives from various Jordanian government authorities and the private sector. Project 89 was represented by two key experts and one short-term expert and one regional manager.

Author: **BAFA Outreach Team**

Second Outreach-to-Industry Event for Companies and Chambers from the Southern Regions of Jordan 22 August 2023, Aqaba

An outreach-to-industry awareness raising event for members of the Jordanian chambers of industry and commerce as well as for representatives from private companies in the Southern regions of the Hashemite Kingdom took place in Aqaba, Jordan.

This event mirrored a previous awareness raising event that was organised on the 25th of July at the Dead Sea, which addressed chamber and company representatives from the Northern and central regions of Jordan. The aim of both events was to inform the private sector about the upcoming implementation of a licensing requirement for the export and re-export of strategic dual-use goods (mainly chemicals), which will be launched as of the 1st of October 2023. To this end, representatives from the Jordanian key authorities that have competencies in the issuing of export/re-export licenses or the enforcement of trade controls also attended the event.

This event was attended by 55 representatives from various Jordanian government authorities and the private sector. Project 89 was represented by the project leader, two key experts from Hungary and Jordan, a short-term senior expert, plus a regional manager and an events and procurement manager from BAFA.

Author: **BAFA Outreach Team**



Third Awareness Raising Workshop for the Private Sector and Chambers of Industry and Commerce in Jordan

28-29 November 2023, Dead Sea

On 28-29 November 2023, BAFA and the Jordanian Ministry of Industry, Trade and Supply (MIT) jointly organised the third awareness raising event for representatives from private companies, the Chambers of Commerce and Industry. The event was dedicated on the licensing requirements and procedures for exports and re-exports of dual-use goods and it was the final one gathering participation from all over the country, following the two previous similar events that targeted participants from the Northern and the Southern governorates of Jordan.

The event was attended by almost 60 representatives from various Jordanian government authorities and the private sector. Project 89 was represented by the project leader, two key experts from Hungary and Jordan, two short-term senior experts as well as a regional manager and an events and procurement manager from BAFA. Translation was provided from Arabic into English and vice versa.

Throughout these three events, which took place in July, August and November 2023, the competent government authorities (in particular from MIT, Jordan Customs and the security agencies) presented the legal and regulatory framework of Jordan's export control system, the (newly established electronic) licensing procedure and the applicable two new national lists of dual-use items. Each event offered a forum for discussion between the government and the private sector. Consequently, the authorities took up the feedback expressed by the private sector representatives and assured them to take this into consideration for the further preparation of the start of the implementation of the control legislation and for its future amendments.

Besides the joint organisation of these three awareness raising events, Project 89 assisted Jordan's competent authorities with the drafting and reviewing of legal texts (related to the amendment of the Instructions on export and re-export of dual-use goods and

the control lists) and drafted a template for Jordan's new End-Use Certificate (EUC). On the 30th of November, one day after the third awareness raising event organised by BAFA, Jordan's two new national control lists were published in Jordan's Official Gazette as an amendment to the Instructions on the export and re-export of dual-use goods of 2019. After its publication, Jordan's Ministry of Industry, Trade and Supply intends to officially notify the private sector via the Chambers of Industry and the Chambers of Commerce about the start of the implementation of the controls on the export and re-export of these listed items. Moreover, Customs will start targeting cross-border trade based on these control lists, the customs declarations and the related Customs Tariff Code numbers.

It is expected that the full functionality of the control system and procedures will be given one month after the start of its implementation.

In the margins of this third awareness raising event, Project 89 and Jordanian counterparts agreed to organise a joint workshop to assess the first experiences with the implementation of the controls, the licensing procedure as well as to discuss potential updates or amendments, which is tentatively scheduled for the end of February 2024.

Furthermore, representatives of the Aqaba Special Economic Zone Authority (ASEZA) asked Project 89 to assist them with the alignment of the required zone's special legal framework with the Instructions on export and re-export of 2019, which are not directly applicable to the zone. Moreover, ASEZA also asked for the possibility to organise related trainings for its (future) licensing officers.

In addition, Project 89 was asked to support and organise possible trainings regarding the control of the export of armoured vehicles, which Jordan is applying since October 2023, based on a decree of the Cabinet of Ministers. Here, an exchange of experience with the export control authorities of the United Arab Emirates could be envisaged for the 1st quarter of 2024, as the UAE is applying national controls on the export of armoured vehicles as well. Finally, BAFA proposed to continue along the agreed objectives of the roadmap



Group picture of the Advancing Strategic Partnership with Indonesia

from 2022, which includes cooperating in setting up a penal system, including investigation and prosecution capacity, related to export control restrictions. As Jordan committed itself to constantly consider updating and amending its export control system based on the experiences gathered and the feedback from the private sector, moreover, as mid-term objective the elaboration of provisions and procedures on catch-all and brokering controls was discussed.

Author: **BAFA Outreach Team**

CoE Project No 90 (South East Asia)

Advancing Strategic Partnership with Indonesia

27 February 2023, Jakarta

On 27 February 2023, the EU P2P Export Control Programme for Dual-Use Goods, and its Project 90 implementing team participated in a “Coordination meeting to advance strategic partnership within the framework of the EU CBRN CoE”, which was organised by the EU Chemical, Biological, Radiological and Nuclear Risk Mitigation Centres of Excellence initiative (CBRN CoE Initiative) and the Indonesian Ministry of Trade.

46 representatives from the Ministry of Industry, Co-

ordinating Ministry for Maritime Affairs and Investment, Ministry of Foreign Affairs, Ministry of Defence, Ministry of Trade and the Indonesian National Police, together with representatives of the EU, UNICRI and EU P2P exchanged in presence and online on the potential future areas of partnership between Indonesia and the EU for CBRN risk mitigation, including for dual-use trade controls.

Author: **EF Outreach Team**

Enforcement Workshop for Cambodia

15-16 March 2023, Phnom Penh

On 15-16 March 2023, the EU P2P (Project 90) and the Secretariat of National Counter-Terrorism Committee of Cambodia co-organised a workshop on the principles and enforcement mechanisms for managing a strategic trade control system for dual-use items.

Participation included representatives from the Cambodia Ministry of Foreign Affairs and International Cooperation, Ministry of Mines and Energy, Ministry of Commerce, Ministry of Justice, National Authority of Chemical and Weapons, General Commissariat of National Police, and the General Department of Customs and Excise.

The workshop offered an introduction to the role of trade controls for preventing WMD proliferation and



Group picture of the Group picture of the Enforcement Workshop for Cambodia

responding to international security challenges while engaging in international trade.

The participants exchanged views and were exposed, many of them for the first time, to national, European, and international good practices in managing trade flows of sensitive dual-use goods, notably at the border.

The discussions also delved into the most appropriate balance between trade development and security to be found by Cambodia in the current international context. Together with the EU P2P, EU CBRN CoE and other international support programmes, Cambodia recognises the importance of elaborating a national goods trade management system for dual-use.

Author: **EF Outreach Team**

Second Legal Workshop for VietNam

23-24 March 2023, Hanoi

On 23-24 March 2023, the EU P2P Export Control Programme for Dual-Use Goods, under Project 90, the U.S. Department of State's Export Control and Border Security (EXBS) Program and VietNam's Ministry of National Defence organized jointly a workshop on the "Dual-use trade control legal framework and structures for VietNam's prevention of Weapons of Mass Destruction (WMD) proliferation".

The workshop allowed the EU P2P team to present and offer explanations concerning the review of VietNam's legal framework for trade controls and non-proliferation. Most importantly, the main objective was to identify a formal and substantive basis for founding a national dual-use trade control system for VietNam.

25 participants from the Ministry of Defence, the



Group picture of the Enforcement Workshop for Cambodia

Ministry of Foreign Affairs, the Ministry of Justice, the Ministry of Public Security, the Ministry of Industry and Trade (MOIT), the Ministry of Health (MOH), the Ministry of Agriculture and Rural Development, the Border Guard Command, the Coast Guard Command, the VietNam Chemical Agency, the VietNam Customs, the State Bank of VietNam and the Agency of Foreign Trade contributed to this exchange of practices and views.

Together with the U.S. Department of State's Export Control and Border Security (EXBS) Program and its Legal Support Team, all participants made use of this consultative workshop for laying out the preparatory work on a comprehensive legal framework and an organisational structure for VietNam's system.

Interactive discussions and cooperative work have allowed for fruitful conversations and conclusions, addressing further steps to be taken in developing VietNam's undertakings against the WMD proliferation and unlawful trade of sensitive items.

Author: **EF Outreach Team**

Licensing Workshop for Lao PDR

March 28-30, 2023, Vientiane

On 28-30 March 2023, the EUP2P Project 90 and the Ministry of Industry and Commerce of Lao PDR co-organised a workshop on the principles and mechanisms for the licensing of dual-use items. Representatives from the Ministry of Foreign Affairs, Ministry of National Defence, Ministry of Justice Ministry of Technology and Communication, Ministry of Public Works and Transport, Ministry of Health, and the Bank of Lao PDR participated in the discussions.

The workshop focused on the key components of a licensing system, including licensing procedures, compliance, implementing powers, and international co-operation as well as the licensing authority's organisational structure.

The discussions were supported with presentations of European and Malaysian practices and lessons learnt from other partner countries in setting-up a licensing authority.

Furthermore, the EU's e-licensing software for dual-use goods trade management was demonstrated by the European Commission Directorate General for Trade to the participants as an example.

Following these overviews and discussions, Lao PDR actors further exchanged with the EU P2P team on the formulation of licensing modalities taking into ac-



SEMINAR/WORKSHOP ON SETTING UP A DUAL-USE GOODS TRADE LICENSING OFFICE Lao Plaza Hotel, Vientiane, Lao PDR March 28-30, 2023



Group picture of the Licensing Workshop for Lao PDR

count the consolidation of implementing regulations of the national legislation.

Author: **EF Outreach Team**

Awareness Raising Event for Brunei Darussalam

9-10 May 2023, Bandar Seri Begawan

On 9-10 May 2023, the EU P2P Programme and Brunei Darussalam Prime Minister's Office co-organised an "Awareness Raising Seminar/Workshop for Mid Level Technical Officials on Drafting Regulations for the Licensing of the Trade in Dual-Use Goods."

The event, which took place in Bandar Seri Begawan, counted with the participation of representatives from the Royal Customs and Excise Department, the Department of International Organisation of the Ministry of Foreign Affairs, Attorney General's Chambers, the National Security Committee Secretariat, the Internal Security Department, and the Royal Brunei Police Force. The Ambassador of France in Brunei Darussalam, H.E. Bernard Regnaud-Fabre, the Ambassador of Germany, H.E. Mrs. Gerda Winkler, and

the EU CBRN COE South-East Asia Regional Secretariat contributed to the opening of the event alongside the Prime Minister's Office. The Singapore Customs and the Singapore Ministry of Foreign Affairs contributed to the dialogue with their expertise and experience.

The participants were introduced to the international legal frameworks and regimes, trends and methods of illicit trade and more specific issues such as control lists, the licensing process and assessment procedures. All these exchanges fed into the discus-



Group picture of the Awareness Raising Event for Brunei Darussalam



Group picture of the series of seminars for Philippines

sions about drafting a national dual-use trade control law which will allow moving forward towards a legal framework for strategic controls.

The discussions focused on the best way to formalize the adoption of an efficient and effective dual-use control system in Brunei in the current international context. Together with the EUP2P and EU CBRN COE, Brunei recognizes the importance of elaborating a national trade management system for dual-use items.

Author: **EF Outreach Team**

A series of seminars for Philippines

22-24 May, 2023, Manila

Another intensive and productive week for the EU P2P Export Control Programme for Dual-Use Goods and its international partners took place in Manila, from 22 to 24 May 2023.

The EU P2P, in association with the EU Chemical, Biological, Radiological and Nuclear (CBRN) Risk Mitigation Centres of Excellence Initiative (CoE) and, the Philippines Strategic Trade Management Office (STMO) held a 1,5 day event entitled “The EU dual-use export controls - An overview of recent developments.”

The workshop, which addressed Philippines national authorities responsible for managing trade in dual-use goods and technologies and related legislation, focused on amendments introduced in the recent EU Dual-Use Regulation and how these could inspire other countries for their own needs.

The provisions related to emerging technologies, technical assistance and human rights, as well as their practical implications for the national authorities and companies were thoroughly debated.

Later in the week, the EU P2P and the U.S. EXBS Program, in partnership with the Strategic Trade Management Office of the Philippines (STMO), and with support of the Center for Policy Research (CPR), University at Albany (UALbany), State University of New York (SUNY) and the James Martin Center for Nonproliferation Studies (CNS), Middlebury Institute of International Studies at Monterey (MIIS), held a 1,5-day event on strategic trade management of intangible transfers of technology and emerging technologies.

The workshop addressed 25 participants from the Philippines national authorities and institutions such as the STMO - Department of Science and Technology, Information and Communication Technology, National Defence, Health, Environment, and Natural Resources, and the Philippine Space Agency.

Author: **EF Outreach Team**



Group picture of the Export Controls Outreach to Academia Event for Malaysia

Export Controls Outreach to Academia Event for Malaysia

30 May- 1 June 2023, Kuala Lumpur

Another successful dialogue event for Malaysia, the EU Export Control Programme for Dual-Use Goods (Project 90- Southeast Asia) and their international partners took place in Kuala Lumpur.

The EU P2P, the U.S. EXBS Program and US Department of Energy's International Nonproliferation Export Control Program (INECP), jointly with the Strategic Trade Secretariat (STS) of Malaysia, organized a 2,5-day event on the role of academia and research actors in strategic trade controls.

Through presentations and discussions, 50 participants from STS and academia exchanged experiences and views about the role of academic and research organizations in preventing the diversion of scientific research and technological development to the proliferation of Weapons of Mass Destruction (WMD).

They confronted views on how strategic trade management reduces the risk of technology transfer con-

tributing to the proliferation of WMD, described the areas of research that can be affected and emphasized that the principles of academic freedom and export controls can reconcile. The discussion went further to identify the roles and clarify the responsibilities of research organizations and their administrative and research personnel.

The debates reflected on experiences, legal requirements and best practices from the EU, US, Singapore and others international partners.

Author: **EF Outreach Team**

A Series of Events for Thailand

13-15, June, Bangkok

The EU P2P, always under its Project 90 for South-East Asia, and Thailand's Department of Foreign Trade (DFT) organized jointly a "Seminar on the Authorization of the International Trade of Dual-Use Goods" and a "Legal Workshop to Review the Nuclear Energy



Group picture of the series of events for Thailand

for Peace Act”, counting on the expert contribution of the U.S. Department of State’s Export Control and Border Security (EXBS) Program, the Ministry of Economy, Trade and Energy of Japan (METI), and the United Nations Office for Disarmament Affairs (UNODA) 1540 Regional Coordinator for Asia.

The first 2 days of the activity, which took place in Bangkok, saw over 25 participants from the Department of Foreign Trade of the Ministry of Commerce, Office of Atoms for Peace, Defence Industry Department, Department of Medical Sciences, and the Food and Drug Administration debating and working on the significance of dual-use goods and their role for facilitating and promoting lawful international trade.

The seminar provided also an overview of the laws governing the trade in dual-use goods at both, national and international level and addressing export controls, sanctions, and embargoes.

In conclusion, the event functioned as a first class opportunity for encouraging cooperation and collaboration among participants from the various Thai government agencies, which in turn, would help them to effectively regulate the trade of dual-use goods and technologies while enhancing access of national economic operators to the most advanced technologies.

Concerning the legal workshop, this was successful in that it addressed various ways to enhance Thailand’s nuclear framework in relation to the control of dual-use nuclear goods and technologies, including actionable conclusions.

These events proved to be fruitful and of high value as they strengthened cooperation and addressed the next steps required in order to enhance collective non-proliferation efforts, in accordance with the UNSC Resolution 1540.

Author: **EF Outreach Team**



Group picture of the Third Legal Workshop for VietNam

Third Legal Workshop for VietNam

20-21 June 2023, Hanoi

On 20-21 June 2023, the EU P2P, the U.S. EXBS Program and VietNam Ministry of National Defence organized together the third consultative workshop on the “Dual-use trade control legal framework and structures for VietNam’s prevention of Weapons of Mass Destruction Proliferation”, with the participation of the UNODA.

The representatives of the different exchanged about VietNam’s legal and institutional frameworks as well as the country’s prevention and response capacities and identified priority areas. The EU P2P along with its international partners and VietNam continued making progress for enhancing the country’s legislation on WMD-proliferation and dual-use trade controls.

Mutually enriching discussions and collaborative work have allowed for designing further steps in VietNam’s plans for furthering the non-proliferation of WMD.

Author: **EF Outreach Team**

Joint Event with Indonesia on Counter-Proliferation Finance and Sanctions Implementation

17-18 July 2023, Jakarta

The EU P2P, the U.S EXBS Program and the Center for Policy Research, University at Albany- State University

of New York (CPR), organized a 2-day event on counter-proliferation finance and sanctions implementation.

Through presentations, discussions and exercises, 28 participants from various Indonesian actors involved in countering sanctions evasion and proliferation financing exchanged experiences and views on international sanction implementation with a focus on proliferation financing.

Risk indicators, tools and resources for the effective implementation of sanctions, the importance of public-private partnerships and the links between sanctions and strategic trade management were highlighted.

As a result, the participants had the opportunity to deepen their knowledge discuss their national experiences in countering proliferation financing cases and to exchange best practices concerning the control processes.

Author: **EF Outreach Team**



Group picture of the Joint Event with Indonesia on Counter-Proliferation Finance and Sanctions Implementation



Group picture of the Legal Workshop for Lao DPR

Legal Workshop for Lao DPR

18-19 July, Vientiane

Another legal workshop for Lao PDR was organised by the EU P2P and the Ministry of Industry and Commerce of Laos for the elaboration of draft implementing regulations and rules which, together with an overarching decree, will compose, once all adopted, the first national legal framework on the management of the strategic trade of dual-use goods and technology.

Representatives from Lao PDR Ministry of Foreign Affairs, Ministry of National Defence, Ministry of Education and Sport, Ministry of Technology and Communication, Ministry of Public Works and Transport as well as experts of the U.S. EXBS Program participated in the event.

The discussions allowed for reflecting on regional and international experiences in setting an effective legislation for the management of trade in sensitive goods and technologies.

Previous experiences from partner countries including good practices and lessons learnt are used to adjust the draft primary legislation.

Author: **EF Outreach Team**

Conference on Preventing the Exploitation of Sensitive Technologies and Critical Assets in South-East Asia (US EXBS)

1-2 August 2023, Manila, Philippines

On 1-2 August 2023, the EU P2P Export Control Programme for Dual-Use Goods- Project 90 on Southeast Asia actively participated to “the Conference on Preventing Exploitation of Sensitive Technologies and Critical Assets in Southeast Asia”, organised by the U.S. Export Control and Related Border Security (EXBS) Program.

The conference addressed officials from Malaysia, Singapore, VietNam, Thailand and the Philippines, as well as speakers from the authorities and research organisations of these countries.

The conference featured presentations, panels, and interactive exercises discussing the risks associated with predatory Foreign Direct Investments (FDI) and possible mitigation measures.

Discussions included presentations of FDI-related legislation and experiences from the EU, UK, Japan, and the Philippines as well as critical infrastructure investments and broader risk factors related to FDI.

The EUP2P extends its gratitude to its EXBS colleagues for partnering with it, as well as all the experts and participants who made this event a resounding success.

Author: **EF Outreach Team**



Participation to the 11th Joint Industry Outreach Seminar 3 August 2023, Singapore

On 3 August 2023, the EU Export Control Programme for Dual-Use was kindly invited to contribute to the 11th Joint Industry Outreach (JIO) Seminar organised by the Singapore Customs, the U.S. DoS Export Control and Related Border Security (EXBS) programme, the U.S. DoE International Nonproliferation Export Control Program (INECP), the U.S. Bureau of Industry and Security (BIS) and the Japan Ministry of Economy, Trade and Industry (METI), in Singapore.

Participants from industry and authorities, in the room and online, exchanged about the regulatory updates over the past months concerning strategic trade controls in Singapore, Malaysia, the Philippines, Thailand, Japan, the US, Germany and the EU including potential benefits and impacts for the dual-use industry in these countries and internationally.

In times of increased uncertainty and instability, like-minded countries -in South-East Asia and elsewhere- have a further incentive to coordinate approaches and join forces with industry. The 11th JIO seminar was a great opportunity for identifying ways and best practices allowing public authorities and the private sector to jointly further international security and economic prosperity.

Author: **EF Outreach Team**

Legal Workshop for Brunei Darussalam 28-29 August 2023, online

On 28-29 August, the EU P2P Programme organised an online legal workshop with the partner authorities of Brunei Darussalam.

The event, entitled “Drafting Legislation to Control the International Trade of Dual-Use Items” allowed participants to learn about the main international treaties, agreements and arrangements on strategic trade, to familiarise themselves with the key elements and provisions of a legislative framework on the subject and



Online participants to the Legal Workshop for Brunei Darussalam

to learn about relevant case studies.

Furthermore, there was space to internally discuss and identify priorities as well as to reflect about the scope of a national legislative framework on the control of trade in dual-use items for Brunei Darussalam.

The EU P2P expresses its gratitude to the participants -representing the Prime Minister Office, Ministry of Foreign Affairs, Ministry of Finance and Economy and the Customs Department, the Attorney General’s Office, the Royal Brunei Police Forces, the Internal Security Department, and the Maritime and Ports Authority- for their engagement and active participation.

Author: **EF Outreach Team**

Seminar on Proliferation Finance for SEA Countries 6- 7 September 2023, Manila

The EU P2P, the Philippines Strategic Trade Management Office (STMO) and Anti-Money Laundering Council (AMLC) organised a 2-day “Proliferation Finance National Risk Assessment Consultation Meeting”.

The purpose of the consultation was to facilitate the transition to the next phases in preparation of the National Proliferation Financing Risk Assessment (PF-NRA) of the Philippines.

The visit primarily focused on raising awareness on the techniques, analysis and the prioritisation of information gathered by the Philippine authorities during the data collection phase.

The Philippine actors and EU P2P team evaluated to-



Group picture of the Seminar on Proliferation Finance for SEA countries

gether the collected data, assessed their quality, and determined their relevance to the assessment of the risk of proliferation financing. In addition, the visit allowed for initiating the process of the PF-NRA. This writing process will conclude with a second onsite consultation, to finalize the assessment.

The consultations involved 20 participants from the Philippines national authorities and institutions such as the Strategic Trade Management Office (STMO) Department of Science and Technology, Anti-Money Laundering Council Secretariat, National Intelligence Coordinating Agency, National Security Council, Department of Justice, Department of Foreign Affairs, Bureau of Customs, Bangko Sentral ng Pilipinas and PEZA.

The EU P2P is grateful to all these stakeholders for their collaboration and active contributions.

Author: **EF Outreach Team**

Fourth legal Workshop for VietNam

27-29 September, 2023

From 27 to the 29 September 2023, the EU P2P Programme, the U.S. Department of State, EXBS Program and the VietNam Ministry of National Defence organized the fourth consultative workshop on the “Dual-use trade control legal framework and structures for VietNam’s prevention of weapons of mass destruction proliferation”, with the participation of the UNODA.

Together, they reviewed the draft of a national “Law on preventing and combatting the proliferation of weapons of mass destruction and on the control of related items” and exchanged opinions on individual legislative solutions. The outputs of these discussions will be helpful in the further preparation of the draft law for VietNam.

The participants further reviewed and complemented the plan of future partnering activities between Viet-



Group picture of the Fourth legal Workshop for VietNam



Dual-Use Goods “Licensing and Technical Reach-Back” Workshop Bangkok, Thailand, 3-5 October 2023



Group picture of the series of events for Thailand

Nam and its international partners for enhancing the fight against the proliferation of WMD.

Author: **EF Outreach Team**

Licensing and Technical Reachback for Thailand

2- 5 October 2023, Bangkok

From 2 to 5 October 2023, the EU P2P Export Control Programme for Dual-Use Goods (EU P2P) joined forces with its international partners, namely the Ministry of Economy, Trade and Industry of Japan (METI), the U.S. EXBS Program and the U.S. Bureau of Industry and Security (BIS) for organising to the benefit of Thailand's government a workshop on the “Licensing and technical reachback in dual-use items’ trade management”.

Together with a team of international experts, representatives of the Thailand Office for Atoms for Peace (OAP) and the Defence Industries Department discussed the importance and possible modalities of

technically informing the different stages of the management of international transactions for dual-use goods and technologies.

They also discussed and debated of the current national, Japanese, American and European practices and lessons learnt in terms of technical resources, legal, procedural and institutional settings for ensuring the effectiveness of the controls performed by the different authorities intervening in the process while preserving the interests of economic actors.

As Thailand seeks to meet these objectives with the most efficient management architecture for the different categories of dual-use items falling within the scope of controls, its international partners are committed to continue supporting the country, exchange information on techniques, challenges but also methods for identifying national actors that can facilitate the technical reachback process.

Author: **EF Outreach Team**



Group picture of the Study Visit in Singapore for Brunei Darussalam

Study Visit in Singapore for Brunei Darussalam

10-12 October 2023, Singapore

From 10 to 12 October 2023, the EU P2P Export Control Programme for Dual-Use Goods together with the Government of Singapore (Ministry of Foreign Affairs and Singapore Customs) organized a study visit for Brunei Darussalam and its national Working Group for the elaboration of a legislation on the management of the trade in dual-use goods and technology.

Under the leadership of the Brunei Darussalam Prime Minister's Office, 10 delegates from the Royal Customs and Excise Department, Attorney General's Chambers, National Security Committee Secretariat, and the Internal Security Department, discussed Singaporean and European experiences in designing, implementing and enforcing control measures over transfers of dual-use items. Specific experiences were shared and discussed by government authorities, the Attorney General Chambers and EU P2P experts.

The participants had the chance to visit the Tuas Port inspection facilities for exchanging about best practices in the enforcement of trade controls on a daily basis while preserving the seamless continuation of trade and shipments' flows.

The visit was followed by a reflection discussion on

the legislative foundations of a national system of control that would most effectively suit Brunei needs and balance economic development and international security of trade.

The EU P2P team is honoured to support Brunei Darussalam in this process and very grateful to the Government of Singapore, Ministry of Foreign Affairs and Singapore Customs for teaming up in delivering this event and sharing experiences.

Author: **EF Outreach Team**

Seminar on Recent EU Developments in Dual-Use Export Controls for Malaysia

6-7 November 2023, Kuala Lumpur

After having participated in the Malaysia Strategic Trade Summit at the end of October, the EU P2P Export Control Programme for Dual-Use Goods returned to Malaysia, on November for 1,5 day event entitled "The EU dual-use export controls- An overview of recent developments."

The event was co-organised with the Strategic Trade Secretariat (STS) - Ministry of Investment, Trade and



Group picture of the Seminar on Recent EU Developments in Dual-Use Export Controls for Malaysia

Industry (MITI) of Malaysia.

The seminar, which addressed national Malaysian authorities and private sector responsible for managing dual-use goods and technology's trade, focused on amendments introduced in the latest EU Dual-Use Regulation and how these could inspire other countries in their own reflections on the evolutions of their systems.

The provisions related to emerging technologies, technical assistance, human rights and internal compliance programme, as well as their practical implications for the national authorities and companies were thoroughly debated with a team of EU P2P experts.

Later on, representatives from the Royal Malaysian Customs Department (RMCD), Malaysian Communications and Multimedia Commission (MCMC), Department of Atomic Energy Malaysia, Pharmaceutical Services Programme (PSP), Royal Malaysian Police (RMP), Malaysian Maritime Enforcement Agency (MMEA), Marine Department Malaysia (MDM) and Strategic Trade Secretariat - Ministry of International Trade and Industry (MITI), reviewed the work accomplished so far toward the construction of a national curriculum for "newcomer" officers in the dual-use strategic trade control authorities.

In particular, they reviewed together with the EU P2P team a pilot e-learning module tailored to Malaysian

needs and context and discussed other technical challenges for implementing the curriculum in the coming months.

Author: **EF Outreach Team**

Workshop on the Development of a Voluntary National Action Plan for the Implementation of the UNSCR 1540 (2004) for Lao DPR

28-30 November 2023, Vientiane

The EU P2P congratulates Lao PDR Government on the adoption of the Government Decree N°355/GOV of 20 November 2023 on the Management of Dual-Use Goods and is honoured to continue supporting the country in preventing the diversion of dual-use goods trade and strengthening its sound management for international security and economic development.

Against this background, On 28-30 November 2023, the EU P2P Programme contributed to the National Introductory Workshop on the Development of a Voluntary National Action Plan for the Implementation of UNSCR 1540 (2004) organised by the Government of Lao PDR, UNODA, Government of Japan and Government of Korea in Vientiane.

This workshop was an opportunity for the EU P2P



Group picture of the Workshop on the Development of a Voluntary National Action Plan for the Implementation of the UNSCR 1540 (2004) for Lao DPR

Project 90 team to exchange with ministries and government agencies of Lao PDR and its international partners - Cambodia, Mongolia, Malaysia, VietNam, U.S. Department of State EXBS program, BAFA, Global Trade Security Consulting, UNODA, UNODC, World Customs Organisation, Organisation for the Prohibition of Chemical Weapons (OPCW), International Atomic Energy Agency (IAEA), EU CBRN COE – about the elaboration of a national action plan aimed at fully implementing and enforcing the UNSC Resolution 1540.

Author: **EF Outreach Team**

Study Visit to Netherlands for Malaysia and Philippines 28-30 November 2023, Rotterdam

On 28-30 November 2023, the EU P2P Export Control Programme of dual-use goods (Project 90) and the Customs Administration of the Kingdom of the Netherlands (Douane Nederland) co-organised a study visit

on the “management of the trade in dual-use goods” for 14 officials from Malaysia and Philippines.

The purpose of the study visit was to present the Dutch strategic trade management system with an emphasis on the implementation of risk management techniques and the exchange of experiences and good practices with colleagues from Southeast Asia in the area of dual-use trade. The participants had the unique opportunity to discuss their respective practices in profiling, detecting and mitigating the risks of diversion of shipments and malevolent uses of trade routes at two different training centres of the “Douane Nederland” in Rotterdam (maritime) and Amsterdam (air).

Undoubtedly, this study visit will benefit partner countries in multiple ways but above all on reflecting how national system structures and daily practices can be enhanced for improving the risk management process associated to dual-use trade.

This visit demonstrated that close cooperation amongst all actors and continuous training are a key to the successful implementation of effective and effi-



Group picture of the Study Visit to Netherlands for Malaysia and Philippines

cient strategic trade management systems.

Author: **EF Outreach Team**

Highlights from 2022

Roadmap Workshop for Jordan

20-21 July 2022, Amman, Jordan

On 20-21 July 2022, a workshop on the discussion and elaboration of a roadmap for the cooperation with Jordan in the framework of the EU P2P Export Control Programme for Dual-use Goods – Middle East (Project 89) took place in Amman, Jordan. This workshop was organized as the first step after the European Union and Jordan renewed their cooperation in the area of dual use trade control with Project 89. In advance to this workshop, on 19 July, Project 89 team members attended working meetings in the Ministry of Industry, Trade and Supply (MIT) and the Jordan Customs Department, in order to discuss specific fields of possible future collaboration.

The workshop introduced the participants (the Export Control Committee and the concerned agencies) to the scope of Project 89 and offered them the opportunity to express their perspective on the future cooperation between Jordan and the project.

The two-day workshop served as a valuable opportunity to plan future events based on the needs of the concerned agencies. In several roundtable discussions the participants debated expectations and future strategy for the project as well as current changes within the Jordanian legislative framework for the control of dual-use goods. Furthermore, the session was a chance for the members of the Export Control Committee to come together and discuss among themselves.

Based on the results of this workshop, a roadmap for future events has been drawn up and approved by the Export Control Committee and it now serves as the basis for future cooperation.

In total 32 participants from Jordan attended this workshop. Project 89 was represented by the project leader, two further key experts and one regional manager from BAFA.

Author: **BAFA Outreach Team**



Roadmap Workshop for Iraq

30- 31 August 2022, Frankfurt

On 30- 31 of August 2022, a workshop on the discussion and elaboration of a roadmap for the cooperation with Iraq in the framework of the EU P2P Export Control Programme for Dual-use Goods – Middle East (Project 89) took place in Frankfurt/Main, in Germany. This workshop – just like a similar event for Jordan – was organized as the first step after the EU and Iraq renewed their cooperation in the area of dual use trade control with Project 89. Due to the internal security situation in Iraq, the workshop took place in Germany.

While introducing the participants to the scope of Project 89, the workshop aimed at discussing future activities between Iraq and the project representatives and to elaborate a roadmap.

In total 8 participants from Iraq took part in the activity. Project 89 was represented by the project leader, two key experts and one regional manager from BAFA.

Author: **BAFA Outreach Team**

Regional Conference on Strategic Trade Controls for Dual-use Goods in the Middle East

16 – 17 November 2022, Dubai

On 16 – 17 November 2022, a Regional Conference on dual-use trade controls took place in Dubai, United Arab Emirates (UAE). This conference was co-organised between EUP2P Project 89 (P89), Centres of Excellence Project 64 (P64) and the Executive Office for Control and Non-Proliferation (EOCN) of the UAE.

This conference was meant to offer a forum to raise awareness on the importance of strategic trade controls of dual-use goods in the region. Further objectives were to exchange information about the national dual-use trade control systems and about plans for setting up or up-dating/amending existing ones. The conference aimed at creating a space for shaping a common understanding of the international trade and security benefits stemming from setting up and apply-

ing effective dual-use trade control systems. Besides, it aimed at establishing or fostering cooperation and networks amongst decision-makers and practitioners.

Delegations from twelve EU P2P partner countries from North Africa (i.e. Algeria, Morocco, and Tunisia) and the Middle East (Bahrain, Iraq, Jordan, Kuwait, Lebanon, Oman, Qatar, Saudi Arabia and the UAE) were invited to take part in the conference. Finally, no delegates from Algeria or Tunisia attended the event, whereas an additional diplomatic delegation from Egypt took part as observers.

In addition to the delegates from the partner countries in the region, a number of representatives from the four international export control regimes, other international organisations, non-governmental organisations (NGO) as well as from other donors and implementers of STC assistance programmes (especially from the United States) took part in the event. The EU project was represented by the P89 Programme Manager, a representative of the Commission's DG JRC and a number of representatives of the implementing entity BAFA.

The conference was opened with welcoming remarks by H.E. Mr. Talal Al-Teneiji (Director of the EOCN), Brigadier Yaqoub Al-Hammadi from the UAE's Ministry of Defence and by Ms. Natalie Pauwels, Head of Unit FPI.1 of the European External Action Service (EEAS).

In the first session, three keynote speakers introduced the audience into the thematic aspects of the conference:

In his opening keynote speech, Mr. Torsten Safarik, President of the German Federal Office for Economic Affairs and Export Control (BAFA), illustrated the security policy and trade policy related considerations that need to be taken into account when establishing or running a STC system. He pointed out, that the establishment and promotion of fully-fledged STC systems do not hamper, but rather catalyse and increase international trade, because all involved countries can be sure that the traded (dual-use) items and technologies will most likely not end up in the wrong hands. Furthermore, President Safarik underpinned BAFA's commitment to support other countries in the establishment, updating or amending of their national STC



Group picture of the Regional Conference on Strategic Trade Controls for Dual-use Goods in the Middle East

systems, be it in the form of EU or bilateral initiatives.

In the second keynote speech, Dr. Christos Charatsis from the EU Commission's Joint Research Centre (JRC) echoed President Safarik's considerations from an EU perspective and introduced the audience into the legal framework, applied control tools and underlying political considerations of strategic trade controls exercised within the EU. Here, he gave an overview about the EU's dual-use control list, the scope of controlled cross-border movements of dual-use items (ranging from export and transit to intangible transfer of technology), and the applied control mechanisms such as catch-all controls and controls of technical assistance and brokering. Dr. Charatsis also highlighted that trade-related sanctions – no matter if these are based on United Nations (UN) decisions or adopted by the EU – are strongly related to the usual day-to-day export control procedures. Thus, the ability to perform strategic trade controls for dual-use items also enables a state to adopt and effectively enforce restrictive measures such as UN-sanctions.

In the final keynote speech, Mr. Ahmad Al-Falasi and Mr. Mohammed Darwish from the EOCN presented the UAE's approach to implement and effectively enforce targeted financial sanctions (TFS), whose implementation is required under several UN Security Council resolutions, e.g. North Korea embargo.

The subsequent session was meant to present the four international export control regimes, the Australia Group (AG), the Missile Technology Control Regime (MTCR), the Nuclear Suppliers Group (NSG) and the Wassenaar Arrangement (WA) and give them the opportunity to illustrate their work and specific approaches to do outreach to States that are not members to them. Due to a number of conflicting engagements in their calendars, the representatives from MTCR, NSG and WA attended the conference virtually.

As a conclusion to this session, a representative from EOCN emphasised the importance of the high international standards that are developed within the four regimes and pointed out that the UAE therefore have adopted most of the requirements and standards from all regimes, especially including the (consolidated) control lists for dual-use and military goods controls.

In the afternoon session of the first conference day, the two regional states with the most advanced STC systems, the United Arab Emirates and the Hashemite Kingdom of Jordan were invited to give presentations on their national legal frameworks and applied procedures on the control of dual-use goods exports. These regional examples were supposed to provide some practical insights and potential inspiration for the delegations from the other participating countries for setting up, updating or amending their respective



national frameworks.

On the second day of the conference, representatives from Jordan and the UAE presented their country's experience with previous and/or ongoing cooperation with international partners from the U.S. and the EU in the area of dual-use trade controls and described, to what extent this cooperation has been beneficial for the establishment or updating of their national STC systems.

Following the experiences from the two EU P2P partner countries, representatives from the two participating donors of STC related assistance had the opportunity to present their assistance programmes. First, Mr. Balazs Maar from the EU Commission (Service for Foreign Policy Instruments) gave a comprehensive presentation on export control related capacity building support offered by the EU. He illustrated the legal background and political priorities of the EU and emphasised the Union's commitment to strengthen export controls for dual-use goods as well as for arms and military goods worldwide. In that context, Mr. Maar presented the EU P2P Programme and the CoE initiative, also mentioning the two different pillars of projects, one on dual-use goods and one on conventional arms. He also presented the work of the Targeted Initiative and the Chaudfontaine Group.

For the U.S. side, first Ms. Jumana Kavar from the U.S. Department of Energy (International Nonproliferation and Export Control Program- INECP) and second, Mr. William Puff from EXBS presented current programmes and initiatives implemented by the United States. Also, they mentioned a number of recent and planned assistance activities in the region and underlined the United States' readiness to support all countries from the region with tailor-made assistance programmes.

The following session was dedicated to the presentations of the existing national STC systems in the participating partner countries. From all attending delegations, those from Iraq, Lebanon, Morocco, Qatar and Saudi Arabia took the floor and delivered presentations or oral statements.

The closing session of the two-day conference was initiated by Mr. Georg Pietsch, Director General of BAFA. He held a summarising speech about commonly applied and practice-tested tools for balancing a dual-use trade control system, which ensure avoiding undue interference into legitimate trade.

Above all, Mr. Pietsch underlined that export controls for dual-use goods are meant to counter the proliferation of WMD and their means of delivery and thus, contribute to global security. He emphasised that trade controls need to be considered a political priority for governments worldwide. By referring to the example of Germany, whose national economy largely depends on industrial production and exports, Mr. Pietsch illustrated the necessity of balancing security and trade policy interests in the design of day-to-day export control policies and decisions. Mr. Pietsch finally concluded, that the effectiveness of national export control measures and their acceptance among the private sector is highly dependent on the implementation of export controls in all other countries around the world. He therefore underlined the EU's and Germany's commitment to support other countries with the implementation of their national dual-use export control systems, because this is meant to enhance international security and promote transnational trade, not only for Germany, but for the benefit of all.

During the final panel discussion, representatives from the European Commission, the EOCN and BAFA echoed the conclusions by Mr. Pietsch and once again underlined their common view that promoting the establishment or updating of dual-use export control systems in the countries of the region is considered an important task and interest for them. They offered their support to the attending country delegations and encouraged them to seek cooperation with the international partners present (EU, USA, UAE) and to liaise with their counterparts from other countries from the region.

Author: BAFA Outreach Team



EU P2P: ARMS TRADE TREATY ACTIVITIES

Arms Trade Treaty Outreach Project III (ATT-OP III)



Bundesamt
für Wirtschaft und
Ausfuhrkontrolle

Licensing Training Workshop for Chile

14-16 March 2023, Eschborn, Germany

On 14 – 16 March 2023, BAFA organised a Study Visit at BAFA headquarters in Eschborn, Germany for officials and legislators from Chile, within the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP III), based on Council Decision 2021/2309/CFSP.

The study visit workshop was the second bilateral cooperation activity between BAFA and Chile in this new framework. It aimed to contribute to Chile's ongoing efforts to implement a comprehensive export control system with a particular focus on licensing procedures. Chile had ratified the ATT and was in the process of introducing secondary legislation governing the export and licensing of military goods. A corresponding draft bill was submitted to parliament for vote.

In light of this situation, the workshop organisers in coordination with the Chilean focal point for ATT chose to structure the agenda of this workshop as an overview of best practices for effective licensing administrations in both Germany and Spain. Two participating EU experts from Spain and experts from the BAFA presented the German and Spanish licensing system, thereby underlining specific laws, regulations, and tools used to license and regulate the export of military equipment. In doing so, the workshop provided a variety of licensing methods and approaches for Chilean authorities to take into consideration when developing their own national export control system.

Members from ministries and authorities responsible for drafting regulations, supporting Chilean licensing



Licensing Training Workshop for Chile group picture, Eschborn, 14 March 2023

administration processes, and designing the Chilean export control process were invited. Accordingly, 6 representatives from Chilean stakeholder agencies (5 Ministry of Defense officials, 1 Ministry of Foreign Affairs official) took part in the study visit. In addition, 2 invited observers from the United States also participated in the study visit since the US has also been heavily involved in supporting Chile in the technical implementation of ATT.

Overview of the contents covered:

- Setting up an Export Control Authority/Export Control Division: Legal background, responsibilities, competencies
- A detailed overview of the licensing process
- The importance of data collection
- The importance of interagency cooperation in the licensing process



- The role of end-use documents and different licenses
- Practical exercises / case studies on Licensing and Technical assessment
- Lessons learnt and next steps in cooperation

Author: **BAFA Outreach Team**

Study Visit for Thailand to London

21 – 23 March 2023, Bangkok

From 21 to 23 March 2023, BAFA organized a Study Visit to London for Thailand, within the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP III), based on Council Decision 2021/2309/CFSP.

The working language was English and translation from English to Thai and vice versa was provided.

The study visit was the second activity for Thailand under ATT OP III, following a national assistance workshop in Bangkok, in June 2022. It aimed to contribute to Thailand's ongoing efforts to align its export control system with the ATT and to move forward with the ratification of the treaty. The participants received an overview of best practices for effective export control from different UK agencies, including the UK Border Force, His Majesty's Revenue and Customs (HMRC) and the Export Control Joint Unit, which comprises representatives of the Department for Business and Trade (DBT), Foreign, Commonwealth and Development Office (FCDO), and the Ministry of Defence (MOD). In addition, one EU expert from the Croatian Customs Directorate participated in the event to provide the perspective of an EU Member State.

As the UK Border Force hosted the workshop, the discussions were focused mainly on practical customs and enforcement aspects such as risk profiling during transit/transshipment procedures, but also included presentations and exchanges on licencing and inter-agency cooperation.

Eight participants from ministries and authorities responsible for export and arms controls in Thailand



Study Visit for Thailand group picture, 21 March 2023

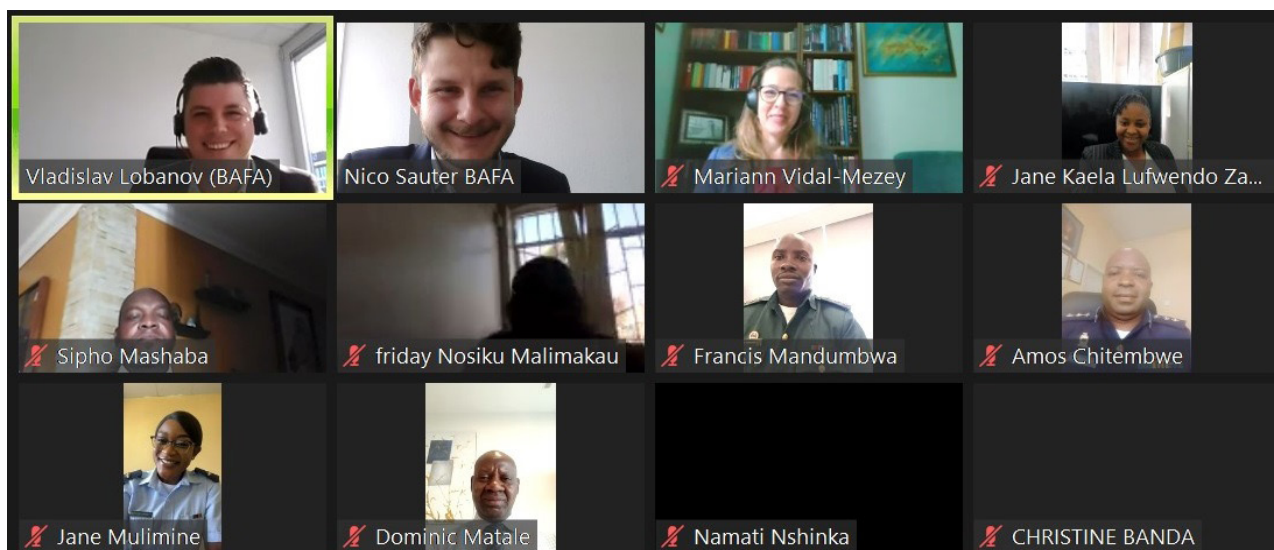
were involved, namely from the Ministry of Foreign Affairs (1), the Office of the National Security Council (1), Thai Customs (2), the Ministry of Defence (2), Ministry of the Interior (1), and the Ministry of Commerce (1).

Author: **BAFA Outreach Team**

Second National Assistance Workshop for Zambia

25-27 April 2023, Online

A National Assistance Workshop for Zambia took place on 25 – 27 April in the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP III), based on the Council Decision 2021/2309/CFSP. This three-day workshop was the second workshop for Zambia in the EU ATT OP III framework. It aimed to discuss Zambia's draft national conventional arms control bill, to raise awareness on important stipulations of the Treaty that are covered by this draft law and to discuss possible further steps to implement this law once it is passed. Three international experts supported the National Assistance Workshop: one from Hungary and one from Germany, and one from South Africa. 17 participants from relevant Zambian authorities participated in the event. In the spirit of donor coordination, one participant from the Small Arms Survey also followed the event.



National Assistance Workshop for Zambia online group picture, 27 April 2023

Overview of the contents covered:

- An update on the progress that has been made in the implementation of the ATT in Zambia, as well as the challenges that remain.
- Matching treaty obligations to Zambia's draft Arms Control Bill
- Good practice examples from other countries
- Development, purpose and functioning of military control lists
- The way ahead and possible future activities for Zambia under the ATT OP III

Author: **BAFA Outreach Team**

The Sierra Leone authorities were keen on starting the internal process aimed at developing their National Control List (NCL), an obligation of the Arms Trade Treaty (ATT) and an essential element for the effectiveness of their national control regime. Overall, the workshop helped sensitise all relevant Sierra Leone authorities, ministries, departments, and agencies involved in ATT implementation to the importance of the establishment and application of a well defined NCL, which can contribute to ensuring that the arms trade is legal and that illicit arms trafficking is prevented in the country and within the ECOWAS subregion.

Author: **EF Outreach Team**

Hybrid Workshop "Towards the Development and Use of a National Control List (NCL) in Sierra Leone"

29- 30 May 2023, Freetown and online

A first activity with Sierra Leone under the 3rd phase of the EU P2P ATT programme was organised following exchanges between the National Commission on Small Arms (SLeNCSA) and Expertise France. Sierra Leone was included as full partner in the Programme on 31 March 2023. The topic of the activity was: "Towards the development and use of a National Control List (NCL) in Sierra Leone".



Hybrid Workshop in Sierra Leone screen picture, 29 May 2023



Latin American regional conference group picture, Livingstone, 2 June 2023

Latin American Regional Conference Under the Auspices of Expertise France

1- 2 June 2023, Mexico City

The conference organised by Expertise France, in the premises of the Ministry of Foreign Affairs, aimed to promote the cooperation, networking and exchange of information among importing, transit, transshipment and exporting State Parties to ATT. Mitigating the risk of diversion of the transfer of small arms and light weapons and strengthening international cooperation is among the key objectives of the ATT.

Discussions on the issue of information sharing on diversion and illicit activities, including corruption, international trafficking routes, illicit brokers and sources of illicit supply were encouraged.

The conference took place over two days and it was attended by delegations from 17 countries from Latin America (including Mexico): Argentina, Belize, Chile, Colombia, Costa Rica, El Salvador, Guatemala, Guyana, Honduras, Jamaica, Mexico, Panama, Paraguay, Peru, Republica Dominica, Saint Kitts and Nevis, Santa Lucia.

The total number of foreign participants was 38, without counting representatives from France, Germany, the UN, and the EU.

Author: **EF Outreach Team**

Regional Conference for Southern Africa

6-7 June 2023, Livingstone

This two-day event was the first regional event for Southern Africa, in the EU ATT OP III framework. It aimed to raise awareness in the region of the importance of the ATT, support its universalisation, and further, to offer assistance to countries in the region with the implementation of their obligations under the ATT. The Zambian side raised the idea for the regional event during last year's national assistance workshop in Zambia, as they expressed their wish to help support the universal implementation of ATT obligations in their region (SADEC) and to serve as a best practice example, as Zambia has already taken significant steps towards setting up a conventional arms export control system.

Five international experts supported the Regional Workshop: one from Hungary, Germany, and Sweden each and two from South Africa. 59 participants from Botswana, Eswatini, Lesotho, Malawi, Mozambique, Namibia, Tanzania and Zambia participated. Angola was invited but unfortunately did not respond. In the spirit of donor coordination, Dumisani Dladla, Head of the ATT Secretariat, was invited to the event but needed to cancel participation on short notice. In addition, the United States participated with three representatives, two from BIS and one from EXBS.



Regional Conference for Southern Africa group picture, Livingstone, 07 June 2023

The Event was held back to back with a regional kick-off event, funded by the Government of Germany under the project “Enhancing Export Control Capacities of Third Countries for Implementation of UNSC resolution 1540 (2004) worldwide”. Scheduling both events back to back aimed at an optimal use of synergies between the two support projects as ultimately both aim at supporting the initiation and implementation of national export control systems.

Overview of the contents covered:

- ATT Objectives, obligations and key elements
- Zambia’s experience with ATT implementation
- ATT Status Overview of participating countries
- Case studies and examples of good practice in arms export control
- ATT reporting obligations
- Conventional Arms and Dual Use Goods single window, interagency cooperation
- Regional Cooperation

Author: **BAFA Outreach Team**

National Assistance Workshop: Reinvigorating ATT Implementation Efforts in Colombia

27 – 29 June 2023, Bogota

From 27 to 29 March 2023, BAFA organised a National Assistance Workshop for Colombian dignitaries and officials, always within the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP III), based on Council Decision 2021/2309/CFSP.

The workshop was the first activity for Colombia under ATT OP III. Colombia has been a signatory party to the ATT since 2013, but it has not ratified the Treaty yet.

The aim of the workshop was to support Colombia in reviewing the essential steps towards comprehensive and effective implementation of the ATT conducted and to look concretely at measures to harmonize and synchronize the various processes that have been put in place at different levels of government.

This workshop was titled “Reinvigorating ATT Implementation Efforts in Colombia”. The workshop was held in Bogotá, at the Hyatt Place Hotel- Convention Centre. In addition to BAFA support staff, the President of BAFA, Mr. Torsten Safarik, was present at the event.

In total, 51 participants attended the workshop, including 40 Colombian dignitaries of different agen-



National Assistance Workshop group picture, Bogota, 27 June 2023

cies. These included the Ministry of Foreign Affairs, the Ministry of the Interior, the National Police- Criminal Investigation Directorate and INTERPOL, National Intelligence Directorate, the Office of the Attorney General, the Fiscal & Customs Police, the Department of Arms, Ammunition & Explosives Trade Control, the General Command of the Military Forces, the Army, the Ministry of Commerce, Industry & Tourism, the Ministry of National Defence, the Directorate of National Taxes & Customs, the Information & Financial Analysis Unit, State-owned weapons producer Industria Militar Colombiana – INDUMIL, the Ministry of Justice, and the Military Counterintelligence Brigade.

Three international experts were invited by BAFA to provide their professional insights as well as share their respective countries' ATT implementation measures: Mr. Ramón Muro from Spain's Ministry of Industry, Commerce & Tourism, Ms. Cecilia Conti from the Argentine Ministry of Defence, and Ms. Katya Cortés, an independent consultant on ATT and former Director for Conventional Disarmament, Arms Control &

Non-proliferation in the Mexican Ministry of Foreign Affairs.

Overview of the contents covered:

- Update on Colombia's ATT Accession Process
- ATT Implementation within National Legal Frameworks
- The Framework for Interagency Cooperation
- Licensing Risk Assessment with Respect to Illicit Use of Military Goods
- ATT Reporting Obligations Pursuant to Treaty Article 13
- Outreach to Industry
- Data Collection Processes
- Military Control Lists

Author: **BAFA Outreach Team**



Political Awareness Meetings group picture, 6 September 2023

First Activity for Madagascar

28- 30 June 2023, Antananarivo

This first activity with Madagascar under the 3rd phase of the EU P2P ATT Programme built on the support provided previously by Expertise France, enabling Madagascar to finalise draft legislation on the general regime for arms and arms transfers. The adoption of the draft text has been on hold since then, which is an obstacle to the effective implementation of the ATT in the island country.

The mainstay of the draft is the creation of a national arms coordination body, which is awaiting a decision from the political authorities. The creation of the National Arms Commission, as provided for and recommended by international instruments, will provide Madagascar with a single coordinating body capable of

controlling all arms flows of all categories, and a single direct point of contact for national and international partners.

The aim of the meetings was to raise awareness of the ATT and its internalisation mechanism among national political authorities, inform them about the provisions of the Treaty and the practical arrangements for applying it at national level, and to create the best possible conditions for resuming the process of revising the law on the general regime for arms and arms transfers.

Author: **EF Outreach Team**

Second National Assistance Workshop for the Republic of Kazakhstan

29 - 30 June 2023, Online

A National Assistance Workshop for the Republic of Kazakhstan took place on 29-30 June 2023 in the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP III), based on the Council Decision 2021/2309/CFSP. Translation was provided into German and Russian and vice versa.

This two-half-day workshop was the second cooperation between BAFA and Kazakhstan in the EU ATT OP III framework, and it was designed to resume cooperation with the partner country According to their



2nd National Assistance Workshop for the Republic of Kazakhstan online group picture, 29 June 2023



wishes the workshops aimed to introduce Kazakhstan to the important topic of interagency cooperation and outreach-to-industry, and to identify topics of discussion for future cooperation in the framework of the project. The National Assistance Workshop was supported by four experts in total while six participants from the relevant authorities of Kazakhstan participated in the event.

Overview of the contents covered:

- Interagency cooperation
- On key elements needed for gaining a technical assessment during licensing
- Overview over the German approach in outreach-to-industry
- The importance of interagency cooperation in the licensing process
- Cooperation between industry and administration in Germany, focusing especially on the German internal compliance program
- Lessons learnt and next steps in cooperation

Author: **BAFA Outreach Team**

First Activity for the Union of Comoros

3 - 4 July 2023, Moroni

The Union of the Comoros has signed the ATT but has not yet ratified it. In order to make progress in its political, strategic and institutional reflection towards ratification of the ATT, the Union of the Comoros has sought assistance from the EU P2P ATT programme. A first activity aimed to support the efforts of the national authorities to fully implement the ATT. The objective was to raise awareness among national political authorities of the ATT as a guarantee of regional and international security. The meetings also sought to inform them about the provisions of the Treaty and to initiate consultation on the modalities for its ratification.

A number of thematic and subthematic presentations, discussions and exchanges of experiences were



Political and technical awareness-raising meetings group picture, 4 July 2023

organised between national, international and European experts. Those tackled, among other things, the importance of the establishment of a National Control List as a key ATT provision and key legal aspect of Treaty implementation.

Author: **EF Outreach Team**

Awareness Raising Activity for Côte d'Ivoire

11 - 12 July 2023, Abidjan and online

The adoption of the Law on arms and ammunition in Côte d'Ivoire remains pending, which constitutes a serious obstacle to the effective implementation of the ATT in the country. The workshop aimed at sensitizing civil society actors to both the draft Law and the ATT.



Côte d'Ivoire ATT meeting group picture, 11 July 2023



Through the strengthening of the expertise of civil society actors, the event aimed at enhancing their capacity to play their advocacy role vis-à-vis national authorities, including all actors involved in the process for the adoption of the draft Law. As such, the workshop should facilitate the adoption of relevant legislation on arms and ammunition which remains the key priority for Côte d'Ivoire with regards to ATT implementation. More broadly, the strengthening of civil society's expertise shall prepare them for their active role in supporting the implementation of both the ATT and the Law, for instance through the monitoring of Côte d'Ivoire's reporting obligations under the Treaty or awareness-raising activities vis-à-vis local populations.

23 individuals counting for 20 civil society organisations participated in the event. It included the country's most important organizations focusing on arms control (i.e., RASALAO Côte d'Ivoire; WANEP Côte d'Ivoire) and others working on related issues such as human rights, gender, reconciliation, or justice (i.e., Amnesty International Côte d'Ivoire, Ligue Ivoirienne des Droits de l'homme, Fondation Serenti, etc).

Author: **EF Outreach Team**

Assessment Event for Botswana

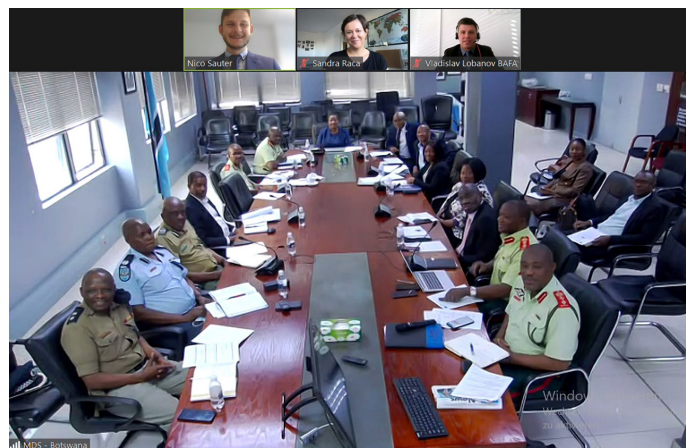
6 - 7 September 2023, Online

This two-day workshop was the first activity for Botswana within the EU ATT OP III framework after the country joined the project on 25 August 2023, becoming a new partner country of BAFA and the EU.

The workshop aimed to introduce the project to a broader range of stakeholders from Botswana and to raise their awareness of the requirements of the Treaty, as well as to start the process of mapping important steps for the national implementation of ATT obligations. 18 participants from relevant Botswanan authorities participated in the event.

Overview of the contents covered:

- Welcome to Botswana as a new partner country
- Core elements needed for implementing a strate-



Assessment Event for Botswana online group picture, 6 September 2023

gic trade control system.

- Principles of the Arms Trade Treaty on the basis of a best practices example from Germany
- Way ahead and possible future activities

Author: **BAFA Outreach Team**

Awareness Raising Workshop for Benin

19 September 2023, Cotonou and online

This event, held in September 2023, organized within the framework EU P2P Outreach Programme III followed four events co-organized from Expertise France and the Beninese authorities (2021 and 2022) on the Arms Trade Treaty. All stakeholders involved in the process in the country attended the meeting (Parliamentarians of the Defence and Security Commission, representatives from the Ministry of Foreign Affairs, Defence, Justice, Interior, and Armed forces).



Awareness-raising workshop group picture, Benin, 19 September 2023



3rd National Assistance Workshop for the Republic of Kazakhstan group picture, Astana, 20 September 2023

Benin's arms law entered into force in 2020 but it does not fully meet the obligations set out in the Arms Trade Treaty. Furthermore, the arrival of new parliamentarians following the legislative elections of January 2023 made it important to hold an event to strengthen the dialogue on this issue, and ensure that domestic law takes full account of the ATT's provisions.

Author: **EF Outreach Team**

Third National Assistance Workshop for the Republic of Kazakhstan

20- 21 September 2023, Astana

This two-day workshop was the third cooperation between BAFA and Kazakhstan in the context of the EU ATT OP III framework. The workshop aimed to introduce Kazakhstan to the important topic of interagency cooperation and outreach-to-industry, and to identify topics of discussion for future cooperation in the

framework of the project.

The National Assistance Workshop was supported by three experts from Romania (2) and Italy (1) while 20 participants from relevant authorities of Kazakhstan participated in the event.

Overview of the contents covered:

- ATT and the latest ATT developments on international level
- Status quo of ATT implementation in Kazakhstan
- The basic operative provisions of the ATT
- Implementation of the basic operative provisions into national legislation
- Case studies on licensing application
- Implementing the scope of control according to the ATT into a national control list
- Technical expertise in the licensing process
- Cooperation with the industry

Author: **BAFA Outreach Team**

Second Activity for Liberia

28- 29 September 2023, Monrovia and online

This second activity with Liberia under Phase III of the Outreach Programme (EU ATT OP III) was organized after the inclusion of Liberia as full partner in the Programme. Around 25 representatives of relevant national institutions actively participated in the two-day discussions with the aim of promoting effective implementation of the ATT. This capacity-building workshop aimed at informing and training Liberian counterparts on specific matters related to the prevention of diversion and the issue of arms trafficking.

The workshop provided the Liberian authorities with the useful examples and knowledge, for instance “lessons learned” in preventing the diversion of arms, ammunition and explosives within and across the borders.

Practical measures to prevent and address diversion were also discussed in detail during these two days, at every stage of any arms transfer (pre-transfer stage, during/in-transfer stage and post-delivery stage).

Author: **EF Outreach Team**

United Nations General Assembly Official Side-Event

23 October 2023, New York City

On 23 October 2023, BAFA together with Expertise France organised an official side event in New York City as part of the 78th United Nations General Assembly (UNGA78) First Committee on Disarmament and International Security, which took place within the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP III), based on Council Decision 2021/2309/CFSP. The working language of this side event was English. As the event essentially coincided with the 10 year anniversary of the EU ATT Outreach Project’s inauguration in 2013, the purpose of the event was to take stock of the project activities that have been carried over the past decade, presenting trends, developments, data, and learnings for the future. This was the first event of its kind at the United Nations, with previous EU ATT OP side events having taken place exclusively within the framework of the ATT Conference of States Parties (CSP) in Geneva on an annual basis. The new setting allowed the implementing agencies to extend the project’s message and offer of assistance to an extended circle of countries and organisations, which otherwise do not regu-



Capacity building workshop, Liberia, 28 September 2023



UN General Assembly Side Event, 23 October 2023

larly attend the CSP. Accordingly, the event was titled “10 Years of EU Support for ATT Implementation and Universalization: Taking Stock and Moving Forward”. In total, 27 participants attended the side event.

Author: **BAFA Outreach Team**

The First National Assistance Workshop for Botswana 2- 3 November, Gaborone

This two-day workshop was the second activity overall and the first in-person activity for Botswana, under the EU ATT OP III framework, following an online workshop held in September. 16 participants from relevant Botswanan authorities participated in the event. This event served to deepen the cooperation launched between Botswana and the EU under the ATT OP III, raise awareness of key considerations when implementing the ATT and it was designed to further elaborate needs, interests and priorities of Botswana,

and to assess jointly the next steps, especially with respect to Botswana’s aim to draft a national conventional arms control bill.

Overview of the contents covered:

- The Five Pillars of Export Controls
- Implementing ATT Obligations
- Example of an Arms Export Control System aligned with the ATT in the Region – treaty obligations in practice
- Scope of Controls
- Size of Export Control Authorities
- Major challenges in implementing ATT in Botswana
- Way ahead and possible future activities

Author: **BAFA Outreach Team**



1st National Assistance Workshop for Botswana group picture, 3 November 2023

Third National Assistance Workshop

6 - 7 November 2023, Lusaka

This two-day workshop was the third national activity for Zambia under the EU ATT OP III, following an on-line workshop in April and a first national assistance workshop in August 2022. In addition, Zambia hosted a regional workshop on ATT implementation in June of 2023. 19 representatives from relevant national authorities participated.

This workshop built on the progress made so far in Zambia and focused particularly on the process of licensing, with the aim to strengthen stakeholders understanding of this process, ahead of their efforts to draft secondary legislation and procedural guidance to implement the draft national conventional arms control bill.

Overview of the contents covered:

- Update on Recent Developments
- Good Practice Examples Licensing Process
- Good practice examples from other countries
- ATT Assessment Criteria
- Interagency Cooperation
- The Way towards Setting up a Licensing Process in Zambia

Author: **BAFA Outreach Team**



3rd National Assistance Workshop, 6 November 2023



National Assistance Workshop for Colombia, Group Picture

National Assistance Workshop for Colombia

5-7 December 2023, Cartagena

From 5-7 December 2023, BAFA organised a National Assistance Workshop for Colombian officials within the framework of the EU Arms Trade Treaty Outreach Project (EU ATT OP III), based on Council Decision 2021/2309/CFSP.

The working language was English and translation to/from Spanish was provided.

The workshop was held in Cartagena in a facility of the Colombian Ministry of Foreign Affairs and focused on the role of customs in arms export controls, on related interagency co-operation as well as on related outreach to industry.

In total, 26 Colombian participants attended the workshop. Two international customs experts were invited by BAFA to provide their professional insights. Mr. Javier Herrán Lafuente, Head of Deputy Regional Unit of the Basque Country of the Customs Surveillance Area from Spain and Mr. Dominik Kozary, Head of Department of the Customs Directorate from Croatia.

The workshop started with opening remarks by the Coordinator of the Disarmament and Non-Prolifera-

tion Group of the Ministry of Foreign Affairs of Colombia, Ms. Milagros Avila Paz and the responsible Project Manager of BAFA's Outreach Division, Mr. Jannis Brosowski.

Thereupon Mr. Germán Calderón of the Disarmament and Non-proliferation Group of the Multilateral Political Affairs Directorate of the Colombian MFA started with a contribution about the "Status Quo of ATT Implementation and Ratification in Colombia", in which he informed about the developments and the progress since the last workshop in June, the current challenges, the national objectives of Colombia for this workshop and the important role of customs in ATT related export control.

This was followed by the first EU expert presentations on the work of a customs authority, as a crucial piece for ATT implementation and on customs risk profiling, and by a subsequent presentation about the Colombian perspective on the work of a customs authority, the status quo and missing pieces for ATT implementation.

The first day of the conference ended with an open discussion about the identification of legal gaps for the implementation of the ATT in Colombia, includ-



Two site visits to the port of Cartagena and to a manufacturer and marketer of conventional weapons (ships) and technologies for military purposes, Contecmar

ing for cooperation and coordination processes with customs, current challenges regarding international trade and institutional interoperability and recommendations on a possible regulatory update and/or development of technical procedures.

The second day of the workshop included two site visits to the port of Cartagena and to a manufacturer and

marketer of conventional weapons (ships) and technologies for military purposes, Contecmar. At both premises additional presentations and discussions were held.

The day began at the regional port society of Cartagena, which made its premises available for the first part of the conference and offered the participants



an insight into the infrastructure and processes of the cargo port of Cartagena. The central Colombian customs authorities Tax and Customs National Direction Office (DIAN) and the Tax and Customs Police (POLFA) gave presentations on their authorities, the customs work processes, the institutional interoperability, the role of customs in the transparent trade of conventional arms, the background on arms trafficking in Colombia, the modus operandi, the regulatory and the institutional framework.

The second part of the day was dedicated to the visit to the Contecmar. Participants learned about Contecmar and its obligations vis á vis the Arms Trade Treaty, the company's work and processes, its production procedures and achievements.

The third and final day of the workshop began with a presentation from the Croatian customs specialist Mr. Dominik Kozary, about Outreach to Industry and the tools needed by licensing and customs authorities. Afterwards, he discussed risk assessment technics with regards to transit/transshipment customs procedures and presented case studies on arms trafficking. The last session of the workshop was dedicated again to discussions of the various entities regarding national development implementation and the respective challenges.

The workshop was organized by the implementing entity BAFA (German Federal Office for Economic Affairs and Export Control).

Author: **BAFA Outreach Team**



CONVENTIONAL ARMS TRADE CONTROL

EU P2P: EU COARM Outreach Project V



Bundesamt
für Wirtschaft und
Ausfuhrkontrolle

Conference for Partner Countries with Advanced Export Control Systems

8-9 February 2023, Wiesbaden

On February 9, 2023, the Conference for Partner Countries with Advanced Export Control Systems (Albania, Georgia and Serbia) took place in Wiesbaden. It was organized as a back-to-back event with the Seventh Wiesbaden Conference and with a subsequent roundtable discussion. The Wiesbaden Conference, which was organized by the German Federal Foreign Office, in cooperation with the German Federal Office for Economic Affairs and Export Control (BAFA), took place on the same and previous day.

The so-called “Wiesbaden Process” provides a forum for discussion on how economic operators engaged in security-sensitive areas can counter proliferation risks and unlawful procurement attempts. In addition to company representatives, representatives from the relevant industry associations were invited to share best practice approaches and developments and to discuss these challenging issues together with the participating State control authorities, non-governmental organizations and international organisations. The general topic of the 7th Wiesbaden Conference was Industry Engagement in Strategic Trade Controls, Recommendations for Effective Approaches to Implementing United Nations Security Council Resolution 1540 (2004).

The objective of the conference for Partner Countries with Advanced Export Control Systems was to allow representatives to receive information on the latest

developments related to the arms trade (e.g. current procurement channels, the impact of new technologies, and security policy-related issues such as hybrid threats), and to exchange views on how recent changes and improvements in arms trade controls can be implemented as part of their own national export control system.

In total, the event was attended by eight persons: four as representatives of the partner countries and four from BAFA. Albania sent two representatives, Serbia and Georgia one each. Participants were offered the possibility to take note of the latest developments in the field of strategic trade controls, with particular focus on the Intangible Transfer of Technology (ITT), due diligence requirements and emerging technologies.

All participants that took part in the first train-the-trainer course of the COARM V Project were present at the event. In addition to the conference, a half day event was organized for these trainers in form of a roundtable discussion, with the objective to discuss future possibilities within the train-the-trainer approach. The train-the-trainer approach developed within the COARM V Outreach Project focuses, in particular, on the process of knowledge transfer and on the skills and competences necessary for a trainer to launch, manage and direct the learning process.

The conference began with Mr. Knapp (BAFA) thanking the representatives for their participation and noting that the implementation of the train-the-trainer approach in the partner countries underlines the maturity of their arms control system. Such partner countries are not considered merely as recipients



of technical assistance but rather as consultants or providers of expertise domestically, regionally and cross-regionally. Since the trainers act as “disseminators” in their own country, it can be assumed that the information received in the framework of the above-mentioned event will be spread within their authorities and other relevant institutions.

In the following roundtable discussion, and after a recap of the prior Wiesbaden Conference, which all participants perceived as fruitful and productive, the partner countries were asked about possible next steps in which the project can assist them. Several points were identified, such as the mapping of relevant actors in the field of Intangible Technology Transfer (ITT) and in industry in general.

The last part of the discussion was dedicated to follow-up activities concerning the train-the-trainer activities the representatives received in the past. A refresh course covering the didactics of the train-the-trainer module was deemed as helpful for continuing the successful engagement in that specific type of training.

Representatives of the COARM-partner countries Albania, Georgia and Serbia attended the roundtable discussion, which was the second part of the event. Taking place in Wiesbaden, Germany, the event was organized and implemented by BAFA within the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on the Council Decision (CFSP) 2020/1464. As all participants were fluent in English, no translation was needed.

Author: BAFA Outreach Team

Study Visit to Armenia for Kazakhstan and Kyrgyzstan 13-15 February 2023, Yerevan

From 13 to 15 February 2023, a Study Visit to Yerevan, focused on the importance of technical expertise in export controls, especially during the licensing process, but also with regards to the work of customs. Expertise and experiences in this regard were shared

by EU experts and representatives of the host country Armenia. Translation was provided into Russian and English and vice versa.

The participants had the opportunity to learn about details of the identification and classification processes of goods in Armenia and to get familiar with the Armenian export control system as a best practice example. During the study visit, participants also visited the Armenian “Research Center for the Problem of Non-proliferation of Weapons of Mass Destruction” (NPC).

Two EU experts supported the study visit – one EU expert from the Lithuanian Republic and another EU expert from Romania.

Twelve participants from Kazakhstan and Kyrgyzstan representing several national authorities (inter alia Defense Department, Ministry of Industry and Infrastructure Development, Ministry of Finance, Ministry of Energy, Ministry of Digital Development, Innovation and Aerospace Industry) took part in the workshop.

The first day commenced with welcoming remarks by representative of the host country, Mr Davit Shahmuradyan (Head of the Licensing Process Assurance Division of the Administrative Department of the Ministry of Defence of the Republic of Armenia), Mr Jan Plešinger (Head of Political, Press and Information Section, Delegation of the European Union to Armenia) and Ms Sandra Raca (Head of Division and Leader of the EU COARM Outreach Project, BAFA).

The first sessions were dedicated to the use of technical expertise during the licensing process and focussed on information exchange between all participants. During the morning, Ms Tatev Balayan from the Ministry of Defence of the Republic of Armenia presented on how technical assessment is conducted within the licensing process in Armenia, followed by both Kazakhstan and Kyrgyzstan presenting their current licensing processes in this regard.

This was followed by presentations and discussions with regards to risks and challenges in technical assessment, which were inter alia demonstrated by Ms Maria Petcu (Ministry of Foreign Affairs of Romania) using case studies. A roundtable discussion about technical assessment concluded the first day.



The Study Visit's second day introduced the customs perspective including the need of technical expertise in their export control processes. Firstly, Armenia presented its customs procedures, before Mr Rolandas Jurgaitis (Customs Department of the Lithuanian Republic) familiarized the participants with the Lithuanian customs procedures as an example originated from the EU. Also, Mr Jurgaitis presented the technical assessment needs at borders in the framework of licensing and customs cooperation and, Ms Petcu, licensing expert from Romania, concluded the second day with a presentation on technical assessment needs for embargo/sanction cases.

On the final third day, an on-site visit to the premises of the NPC was conducted. During the visit, presentations and questions regarding technical assessment processes in the Republic of Armenia were discussed in great detail and the tasks and functions of the NPC were presented.

Author: **BAFA Outreach Team**

Cross-Regional for Eastern Europe and Caucasus and Central Asia

16- 17 February 2023, Yerevan

On 16 – 17 February 2023, a Cross-Regional Workshop for Eastern Europe, Caucasus and Central Asia was organized in Yerevan, Armenia in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V) based on EU Council Decision (CFSP) 2020/1464, implemented by the German Federal Office for Economic Affairs and Export Control (BAFA).

Translation was provided into Russian and English and vice versa.

The two-day event focused on technical assessment during licensing, practical cases highlighting decision-making criteria in the licensing process and on how technical expertise is used and needed throughout national export control processes. It also offered a stage for assistance providers active in the region. Participation to the event was partly co-funded by the

OSCE.

The activity was supported by four experts in the field of export controls – two EU experts and one expert from each the UN and OSCE: Ms Maria Petcu (Ministry of Foreign Affairs, Romania), Mr Rolandas Jurgaitis (Deputy Head of Customs Procedure Division, Customs Criminal Service, Lithuania), Mr Aaron Junhoun Yoo (Deputy Director, Officer-in-charge, Political Affairs Officer UN Regional Centre for Peace and Disarmament in Asia and the Pacific, UN Office for Disarmament Affairs) and Mr Tynchtykbek Israilov (National Border Management Officer from the OSCE Programme Office in Bishkek).

35 participants from the competent authorities from Armenia, Georgia, Kazakhstan, Kyrgyzstan, Moldova, Mongolia and Uzbekistan attended the event.

The first day commenced with welcoming remarks by representative of the host country Mr Davit Shahmuradyan (Head of the Licensing Process Assurance Division of the Administrative Department of the Ministry of Defence of the Republic of Armenia), Mr Jan Plešinger (Head of Political, Press and Information Section, Delegation of the EU to Armenia), Mr Tynchtykbek Israilov (OSCE) and Ms Sandra Raca (Head of Division and Leader of the EU COARM Outreach Project, Federal Office for Economic Affairs and Export Controls (BAFA), Germany).

The first session began with a keynote speech on the importance of technical expertise by Ms Petcu, before Ms Tatev Balayan (Ministry of Defence, Armenia) briefly summarized the Armenian provisioning and use of technical expertise and the role of identification centres. Complemented by an update and review on the EU Common Military List provided by Mr Jurgaitis, the first session concluded with case studies on commodity identification and application of the technical assessment presented by Ms Maia Amonishvili (Customs Department of Revenue Service, Georgia).

For the second session, the focus shifted from application of technical assessment to the national legislation on the decision-making criteria in the licensing process. Hence, Ms Balayan and Ms Baktygul Kainarbekova (Ministry of Economy and Commerce, Kyrgyzstan) presented on the Armenian and Kyrgyz



Cross-Regional for Eastern Europe and Caucasus and Central Asia, group picture Yerevan

legislations, respectively. Breakout groups consisting of participants from at least two countries each and working on a case study on licensing with the focus on technical verification, provided by Ms Petcu, concluded the first workshop day.

The Workshop's second day introduced risk management techniques from customs perspective to the participants with Mr Jurgaitis presenting on the prevention of illicit trade of weapons at the border and once more highlighting the importance of commodity identification. Ms Petcu built on this with a presentation on the new challenges faced by the transfer of intangible technology/software. These topics were picked up by the representatives Ms Nino Akhvediani (Head of Recommendations Development Division, Military-Technical issues Department, Ministry of Defence, Georgia), Mr Sergiu Zabolotnii (Senior officer of control of the import, export, transit of dangerous goods, Ministry of Defence, Moldova) and Ms Balayan, who presented their respective national prevention techniques against illicit trade.

Finally, presentations by Mr Yoo and Mr Israilov on the assistance provided in the region by both the UN and the OSCE and a discussion on the next steps and feedback rounded up the second workshop day. Ultimately, remarks by Mr Shahmuradyan and Ms Raca concluded the Cross-Regional Workshop for Eastern

Europe, Caucasus and Central Asia in Armenia.

Author: **BAFA Outreach Team**

Study Visit to Romania for Azerbaijan, Moldova and North Macedonia

28 February- 2 March 2023, Bucharest

From 28 February to 2 March 2023, BAFA implemented a Study Visit to Romania for Azerbaijan, Republic of Moldova and Republic of North Macedonia within the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on the Council Decision (CFSP) 2020/1464. The study visit was hosted by the Romania Department for Export Control (ANCEX), Romanian Ministry of Foreign Affairs. Translation was provided into English and Russian.

The three-day event focussed on different subject matters in the area of arms trade controls, divided into six thematic sessions covering 1) items' identification and classification against the national control list(s), 2) end-user documentation, 3) transit and transshipment, 4) customs procedures in export controls of military goods, 5) interagency cooperation and 6) particular



Group picture of Study Visit to Romania for Azerbaijan, Moldova and North Macedonia

cases in licensing (revocation, suspension, modification, extension). The program of the study visit was complemented with a visit to the Otopeni Customs Office and a company visit for discussing obligations and responsibilities of the company in the field of export controls.

In total six experts supported the activity: five experts from Romania and one from Albania. Six participants from relevant authorities representing licensing and customs officers of Azerbaijan, Republic of Moldova and Republic of North Macedonia participated in the event.

The first day began with welcome remarks by Mr. Ioan Tudor, the Director General of the Department for Export Controls (ANCEX), followed by Ms Aureliana Neacsu, Head of the Conventional Arms Unit within ANCEX and Ms Ruxandra Ullrich (senior project manager of the EU COARM V Project, BAFA).

This study visit was developed based on a hands-on approach, having as main method, the discussion of various cases studies dedicated to thematic sessions, followed by summary presentation.

The first session focussed on the topic of items' classification and identification against the control list and aimed at discussing and analysing different categories of items and their rating against the national control

list. The session closed with a presentation on Romanian perspective on items classification and a round table discussion on "Cooperation between licensing authority and customs on identification and classification", within which also national perspectives of the participating countries were detailed.

The second session was dedicated to end-user documentation including legal and practical aspects, based on case studies discussions.

The third and final session of day 1 was centred on the topics of transit and transshipment and, provided the participants with case studies, examples, perspectives and experiences from Romania and Albania. Furthermore, challenges in daily practice for licensing and customs authorities were highlighted in roundtable discussions.

The second day of the study visit was dedicated to customs related topics such as customs procedures for export control of military goods. A visit to the Otopeni Customs Office complemented this part of the outreach event. This second day was closed with a round table discussion among all participants on interagency cooperation, challenges in day-to-day practice as well as legal aspects related to this topic.

Furthermore, discussions touched upon particular licensing aspects such as license revocation, suspen-



Group picture of the Train-the-Trainer Workshop for Bosnia and Herzegovina, Malaysia and the Philippines

sion, modification and extension through a number of practical examples. This session allowed all participants to have in depth discussions concerning national legal aspects of licensing and exchange their experiences.

The final part of this outreach event was dedicated to a company visit, a manufacturer of military items. The discussions, especially with the director general and the export control consultant allowed the representatives of the partner countries to take note of the export control management procedures within the company as well as of the main legal obligations linked to the export of military items such as record keeping, reporting and notification.

Author: **BAFA Outreach Team**

Train-the-Trainer Workshop for Bosnia and Herzegovina, Malaysia and the Philippines

9 and 26 May 2023, online and in presence

In May 2023, a train-the-trainer workshop for participants from more advanced partner countries took place. The workshop was comprised of three parts as follows:

- * *Week (09 – 12 of May 2023) – **PART 1*** – on-line; basic training in didactics, pedagogy and methodology;
- * *Week (15 – 19 of May 2023) – **PART 2*** (remote and online) this second part encompassed time at disposal for the future trainers for materials development;
- * *Week (25 – 26 of May 2023) – **PART 3*** – “in presence” part with focus on final training delivery.

The COARM partner country invited to attend this second workshop was Bosnia and Herzegovina. This activity was implemented as a cross project activity as its contents were not specific to Strategic Trade Controls (STC) implementation. The other partner countries invited were Malaysia (in the framework of the ATT OP III) and the Philippines (in the context of the national German funded project “Enhancing Capacity for Implementation of UNSC sanctions resolutions in Southeast Asia”).

One element of the train-the-trainer approach followed was the combination of online courses/trainings and an in-presence workshop, in which two representatives of Bosnia and Herzegovina were trained. Content wise, the objective was to build up and en-



hance skills and competences in the field of knowledge transfer processes, on training personnel as well as for launching, managing and directing an internal, continuous process of training.

Compared to the previous Train-the-Trainer Workshop implemented in spring 2022, the third part of the current workshop was implemented as initially designed, i.e. as an in-presence event. This was of tremendous importance for assuring the success and attainment of the desired results, as certain skills and abilities are always better to put into practice through inter personal means.

The developed train-the-trainer approach does not provide training in strategic trade control matters. Instead, the objective is to sharpen the skills of experienced practitioners from the area of STC in transferring knowledge and delivering successful trainings.

A mixture of theoretic and practice oriented didactics, combined with a variety of methods for enhancing communication skills was the methodology used for this course. Practical exercises (group and individual), trainer's input, participant's presentations, demonstrations, discussions, spontaneous speech supported and enhanced the learning process.

This train-the-trainer course covered a variety of topics that are fundamental for running a successful course, such as developing a curriculum and planning impactful lectures as well as topics related to effective training skills. Moreover, the course highlighted effective training practices and procedures and emphasized the voice projection.

Other essential skills that were covered in the course were technics to ensure that the audience is fully engaged as well as to encourage the active participation of an audience.

The course equipped as well the participants with the necessary skills to handle questions and manage challenging teaching situations.

Author: BAFA Outreach Team

Cross-Regional Workshop for North Africa Mediterranean and South-Eastern Europe

13- 14 June 2023, Algiers

On 13- 14 June 2023, a Cross-Regional Workshop for the North African Mediterranean countries of the European Neighbourhood Policy and for countries from South-Eastern Europe was organized in Algiers, Algeria in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V) based on EU Council Decision (CFSP) 2020/1464, implemented by the German Federal Office for Economic Affairs and Export Control (BAFA).

Translation was provided into English, French and Arabic and vice versa.

The two-day event addressed the three fundamental questions, on which basis do states exercise export controls on arms, what exactly are those arms and military goods that are to be controlled, and how do states enforce such arms export controls (from a licensing and customs perspective). Each session offered ample opportunity for partner country delegations to present and discuss their respective national applied procedures, approaches and experiences with each other, in the spirit of regional exchange of information. Moreover, the event took into consideration related cross-cutting regional challenges of the North African region particularly, such as the illicit trafficking of military equipment and threats posed by armed terrorist groups.

The activity was supported by four EU experts: Mr Georg Pietsch (Director General, BAFA, Germany), Ms Maria Petcu (Ministry of Foreign Affairs, Romania), Mr Silvio Vitagliano (National Authority UAMA, Ministry of Foreign Affairs, Italy) and Mr. Dominik Kozary (Customs Administration, Croatia).

29 participants from the competent authorities from Algeria, Albania, Bosnia and Herzegovina, Egypt, Jordan, Lebanon and Tunisia attended the event. In addition, five representatives from AFRIPOL, the African Centre for the Study and Research on Terrorism (ACS-RT), the EU Delegation and the German Embassy to Algiers took part in the event.



Group picture of the Cross-Regional Workshop for North Africa Mediterranean and South Eastern Europe

The first day commenced with welcoming remarks by H.E. Mr. Mohamed Sofiane Berrah (Director General for Multilateral Relations, Ministry of Foreign Affairs, Algeria) and Mr. Georg Pietsch.

The first session began with an introduction into the legal and regulatory framework of arms export controls in the EU and Germany by Mr. Georg Pietsch, followed by interventions/presentations on their national legal and regulatory frameworks by the delegations from Algeria, Albania, Bosnia and Herzegovina and Lebanon.

The second session put a focus on the question, what kind of items are classified as “arms and military equipment” for which export controls should be exercised. For this reason, Ms. Maria Petcu opened the session with a comprehensive presentation on the EU Common Military List and the contents of its 22 different categories. After respective presentations about the national control lists applied by Algeria as well as on experiences from Albania, Bosnia and Herzegovina and Jordan, Ms. Petcu closed the session with a number of interactive case studies on the classification of arms against the characteristics of the CML.

The Workshop’s second day shifted the focus on the

question of how arms export controls can be effectively exercised. Mr. Silvio Vitagliano presented Italy’s approach to safeguard that arms exports really end up in the hands of the identified and legitimate end-user by requesting end-use certificates and delivery verification certificates. He also touched upon post-shipment controls that are applied by a number of EU member states. After related national presentations by Albania, Bosnia and Herzegovina and Tunisia, Mr. Vitagliano and Mr. Kozary gave a joint presentation on mechanisms of interagency cooperation and information exchange between licensing and enforcement authorities on a national, regional and international level.

In session 4, Mr. Kozary presented best practice examples on the enforcement of arms export controls by customs authorities, followed by two interventions from Algeria, which focused largely on Algeria’s efforts to combat the illicit trafficking of firearms through their territory. These presentations were backed by the final interventions by Mr. Amadou from AFRIPOL and Dr. Apau from ACSRT, which depicted current challenges and trends of threats by armed terrorist groups in North Africa and the Sahel as well as mechanisms



Group picture of the Study Visit to Georgia for Armenia and Bosnia Herzegovina

of interagency cooperation and data exchange under the auspices of AFRIPOL. The event was closed with closing remarks by Ms. Fouzia Raaf from the Algerian Ministry of Foreign Affairs, Ms. Pascale Gallien from the EU Delegation and Mr. Langhammer from BAFA.

Author: **BAFA Outreach Team**

Study Visit to Georgia for Armenia and Bosnia Herzegovina

10-11 July 2023, Tbilisi

On 10-11 July 2022, BAFA implemented a Study visit to Georgia for Armenia and Bosnia Herzegovina. The event took place within the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on the Council Decision (CFSP) 2020/1464 and it was hosted by the Georgian Ministry of Defence.

The two-day study visit to Georgia was part of a peer to peer exercise Serbia and Georgia were conducting. While a previous study visit to Serbia, in July 2022,

had focused on the pre-licensing phase, this study visit to Georgia focused on the licensing phase in order to follow up and build on last year's outreach event content and results. Accordingly, and to maximize the benefits from the peer-to-peer approach, the participants were the same as from last year's study visit to Serbia, namely Bosnia and Herzegovina and Armenia.

The peer-to-peer approach made it possible at the same time for the participants to learn about the Serbian approach to this matter, as Serbia this time, next to Georgia in the last year, shared its expertise during the study visit. This offered the participants the unique opportunity to gain insights in a more comprehensive way.

The two-day event focussed on different subject matters in the area of arms trade controls, divided into five thematic sessions covering 1) items identification and classification against the national control list(s), 2) the Georgian arms export control system - applicable laws and regulations, scope of control, licensing procedure, assessment criteria, 3) interagency cooperation in the licensing phase 4) customs procedures in export controls of military goods, 5) particular cases

in licensing (revocation, suspension, modification, extension). The programme of the study visit was complemented with a visit to the Customs Clearance Zone Tbilisi.

The event was attended by 22 persons from relevant authorities representing licensing and customs officers: five from Bosnia and Herzegovina, three from Armenia, nine from Georgia. This outreach activity was supported by two experts from Romania and Serbia.

Overview of the contents covered:

- Items (goods, software and technology) identification and classification against the Control List – national perspectives
- The Georgian arms export control system - applicable laws and regulations, scope of control, licensing procedure, assessment criteria
- Interagency cooperation in the licensing phase
- Customs Procedures in Export Controls of Military Goods
- Particular Cases in Licensing: Revocation, Suspension, Modification, Extension

Author: **BAFA Outreach Team**

Individual Assistance for Kyrgyzstan

12-13 July 2023, Bishkek and online

A Follow-Up Workshop to the Study Visit to Armenia (13-15 February 2023) for Kyrgyzstan, in the framework of EU COARM OP V, was organised in hybrid format by the project's implementing agency, BAFA. Translation was provided into German and Russian and vice versa.

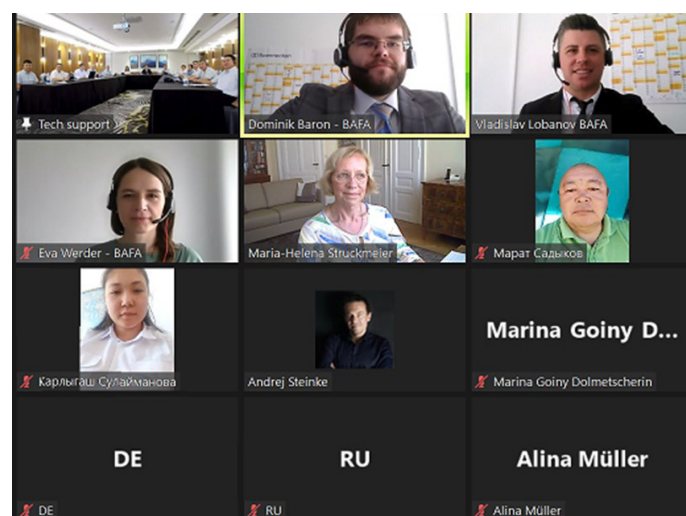
This two-half-day workshop was the fourth cooperation activity between BAFA and Kyrgyzstan in the EU COARM OP V framework and, it was designed to continue supporting Kyrgyz authorities in improving their handling of technical expertise and technical assess-

ment in arms export controls. Accordingly, the workshop aimed to introduce Kyrgyzstan to the important issue of outreach to industry and interagency cooperation, in particular between customs and licensing authorities.

The National Assistance Workshop had four experts and 18 participants from Kyrgyzstan's relevant authorities. Due to challenges with Zoom and internet connectivity for the Kyrgyz participants, the workshop was conducted in an online-hybrid format. Experts and BAFA staff joined remotely via Zoom, while the Kyrgyz delegation gathered in a dedicated conference room. This arrangement allowed seamless communication and active participation despite geographical constraints.

The first day began with welcome remarks by Mr. Dominik Baron, Project Manager of BAFA, Germany, and Mr. Azamat Murataliev, Head of Tariff, Non-Tariff Regulation and Export Control Department, Ministry of Economy and Commerce of the Kyrgyz Republic.

Mr. Vladislav Lobanov, Project Manager of BAFA, Germany, introduced the participants to the workshop, emphasizing previous cooperation with Kyrgyzstan in the COARM V project and the achieved milestones. He linked past activities to the workshop's objectives, highlighting the importance of building on existing foundations. The brief project overview was valuable due to personnel changes on the Kyrgyz side, introducing also new points of contact to the COARM project. This was essential to ensure that all participants



Group picture of the online participants to the Individual Assistance for Kyrgyzstan



Group picture of the Individual Assistance for Kyrgyzstan

are well-informed for collaborative discussions and future planning.

Before the first expert's presentation, Mr. Baron and Mr. Taalaibek Bektashev, Executive Director of the Center for Nonproliferation and Export Controls, briefly recapped the results of the study visit to Armenia and provided an overview concerning the latest developments of the Kyrgyz export control system.

The first session was dedicated to key findings of the study visit to Armenia in February 2023, to which representatives of the Kyrgyz Republic took part and reviewed updates. After that Ms. Eva Werder, Head of division at BAFA, introduced the Kyrgyz representatives to the important topic of interagency cooperation by highlighting the interdepartmental work between licensing and customs authorities in Germany. This presentation was followed by a presentation on key elements needed for technical assessment during licensing, held by the participating EU technical expert.

The second workshop day started with an overview of the German approach in outreach-to-industry, provided by Ms. Giulia Romano, deputy Head of division at BAFA. Afterwards, Ms. Ariane Bühler-Klärner, deputy Head of division at BAFA, explained the cooperation between industry and administration in Germany, focusing especially on the German approach for Internal Compliance Programmes. Finally, the next steps in the

cooperation of the Kyrgyz Republic with BAFA under the COARM OP V were discussed to conclude the day.

Author: **BAFA Outreach Team**

Study Visit to Latvia for Bosnia and Herzegovina, Kosovo, Kyrgyzstan, Tajikistan and Turkmenistan
12-14 September 2023, Riga, Latvia

On 12 – 14 September 2023, BAFA implemented a Study Visit to Riga, Latvia for delegations from Bosnia and Herzegovina, Kyrgyzstan, Kosovo, Tajikistan and Turkmenistan, always in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on EU Council Decision (CFSP) 2020/1464. The Latvian Department Division Export Control of Strategic Goods of the Latvian Ministry of Foreign Affairs hosted the study visit. Translation was provided into Russian and English and vice versa.

The three-day event focused on different subject matters in the area of arms trade controls, divided into six sessions covering the importance of a robust legal framework, the licensing procedure as a whole and more specifically technical assessment within the licensing procedure as well as outreach-to-industry including industry engagement with the authority in



Group picture of the Study Visit to Latvia for Bosnia and Herzegovina, Kosovo*, Kyrgyzstan, Tajikistan and Turkmenistan

the field of military items. The participants had the opportunity to learn about the licensing systems applied by the host and experts' countries and also discussed their national approaches among each other. The program of the study visit was complemented by an on-site visit to a Latvian drone manufacturer to discuss obligations and responsibilities of the company in the field of export controls.

Expertise and experience were shared between EU experts and representatives of the host country Latvia. In addition to experts from Latvia, two experts from Hungary and Albania supported the activity.

12 participants from Bosnia and Herzegovina, Kyrgyzstan, Kosovo*, Tajikistan and Turkmenistan from various relevant authorities (including the Ministry of Defence, Ministry of Industry and Infrastructure Development, Ministry of Finance, Ministry of Energy, Ministry of Digital Development, Innovation and Aerospace Industry) participated in the workshop.

The first day began with welcoming remarks by Mr Andris Pelšs, State Secretary and Chair of the Control Committee for Strategic Goods at the Latvian Minis-

try of Foreign Affairs, followed by opening remarks by representatives of the implementing agency BAFA.

The first session focused on the legal framework of the export control licensing system, including the licensing procedure and the inter-agency process related to arms export controls. In the first presentation, the host country, Latvia, gave an introduction to the applicable laws, regulations and scope of controls. The session concluded with a presentation on Hungary's licensing procedure and the role of the authorities and their responsibilities in the field of export control.

The second session was dedicated to the national arms export control systems of the participating countries. Three participating countries (Bosnia and Herzegovina, Kosovo* and Kyrgyzstan) presented their current licensing process, including legislation, export control authority, scope of control and control mechanism.

These two sessions were followed by a session on the assessment of export license applications, in particular licensing assessment, end-use and end-user verification, with a presentation by the Albanian expert.



Group picture of the Study Visit to Germany for Ukraine

This was followed by real cases presented by partner countries on national practices and challenges. In this session, all experts presented examples and best practices from their countries. In addition, roundtable discussions highlighted the challenges in daily practice for licensing and licensing assessment.

The second day of the study visit was dedicated to a deeper understanding of technical assessment in the licensing process. This included the use of technical expertise. It included discussions on the very topical issue of transit and transshipment and on the topic of industry outreach.

The final part of this outreach event comprised a company visit to the ATLAS UAS drone manufacturer. The company gave an overview and insight into their products, especially in the field of military drones. The importance of industry outreach was again subject of discussions.

Author: **BAFA Outreach Team**

Study Visit to Germany for Ukraine

25-26 October 2023, Eschborn

On 25-26 October 2023, a Study Visit for Ukraine in the framework of EU COARM OP V, based on EU Council Decision (CFSP) 2020/1464 was organised in Eschborn (Germany) by BAFA, the implementing agency for this project. Translation was provided into Ukrainian and English and vice versa.

The two-day event, designed as a study visit, was the first in person event with Ukraine after the pandemic and after the start of the horrendous war initiated by the Russian Federation against Ukraine. It aimed at resuming the cooperation with Ukraine, under the EU COARM V Outreach Project and, on updating each other on respective undertakings in arms export control legislation and procedures.

The event focused on the importance of interagency cooperation, customs clearance procedures as well as end-use verification in the licensing process. Furthermore, the operative provisions and binding requirements for the EU Member States' arms export control systems set by Common Position 2008/944/



CFSP were discussed. BAFA experts and representatives from the General Customs Directorate (GZD) of the host country Germany, shared expertise and exchanged with the participants.

The Participants had the opportunity to amply discuss important issues of arms export control related matters and respective day-to-day challenges. Last but not least, specific provisions of the EU Common Position 2008/944/CFSP were highlighted as Ukraine aspires to join the European Union and aims at meeting all necessary requirements by updating their laws and regulations accordingly. Eight participants from Ukraine from various relevant authorities (including the Ministry of Foreign Affairs, the State Service for Export Control of Ukraine, the State Customs Service of Ukraine and the Security Service of Ukraine) attended the workshop.

Author: BAFA Outreach Team

Study Visit to Romania for Mongolia

30 October - 1 November 2023, Bucharest

On 30 October - 1 November 2023, BAFA implemented a Study Visit to Bucharest, Romania for a delegation from Mongolia within the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on EU Council Decision (CFSP) 2020/1464. The Romanian Department for Export Controls (ANCEX) under the Romanian Ministry of Foreign Affairs hosted the study visit.

Translation was provided into Russian and English and vice versa.

The three-day event focused on different subject matters in the area of arms trade controls, divided into six sessions with the overall aim of promoting effective controls on arms exports by presenting and explaining the standards of the Common Position 2008/944/CFSP and how these are implemented at national level.

A two-fold objective was pursued: a detailed introduction to the main elements of an export controls

system, such as: control list (EU Common Military List - its structure, the role of definitions and notes, and the technical rating against the List), scope of control (export, import, transit, transshipment, brokering), licensing process, assessment criteria, the role of inter-agency cooperation; and an overview of the ATT operative provisions and their implementation into Romanian export controls legislation.

Six experts and representatives of the hosting Romanian Department for Export Controls (ANCEX) imparted their expertise and experience.

Nine participants from Mongolia from various relevant authorities (including the Ministry of Defence, Ministry of Justice and Home Affairs, Central Bank, National Police Agency, General Intelligence Agency, Customs General Administration, Authority of Government Supervisory, General Staff of the Armed Forces and National Counter-Terrorism Council) participated in the study visit.

The first day began with welcoming remarks by Mr. Ioan Tudor, Director General of the ANCEX, at the Romanian Ministry of Foreign Affairs, followed by opening remarks by Ms. Sandrina Köbinger, Head of the Economic Section at the German Embassy to Bucharest, Ms. Gantuya Bazargur, Senior legal advisor to the Governor of the Central Bank of Mongolia, Ms. Aureliana Neacsu, Head of the Conventional Arms Unit at ANCEX and Ms. Ruxandra Ullrich, Project Coordinator at the Outreach Division of the German Federal Office for Economic Affairs and Export Control (BAFA).

The first session focusing on a general approach to a national strategic trade control system included presentations on the commonly applied elements of a strategic trade control system containing the five pillars of export control (i.e. legal, licensing, customs, awareness and sanctions) and the most important aspects of a legal basis. The Romanian export control system and its history was described highlighting the challenges encountered in the Romanian system over the years. The Mongolian delegation had the opportunity to introduce to the participants their authorities and industry involved in strategic trade controls.

The second session was dedicated to the scope of controls. Representatives of ANCEX presented the main

provisions of the Romanian law regarding controlled activities namely export, import, brokering, transit and transshipment including definitions and examples. Other topics addressed in this session referred to the obligations of the industry, as well as the sanctions in place. Afterwards, the focus shifted to the controlled activities under Mongolian law concerning both conventional arms and dual-use items.

The third session was designed to give the Mongolian delegation an overview of the EU Common Military List. Here, representatives of ANCEX provided information on the list, its origin, structure and categories as well as the Romanian technical rating and consultancy procedure. Case studies on the rating of items against the control list helped the participants to put in practice the acquired knowledge.

The second day of the study visit built on the themes of the first day and focused on the EU Common Position 2008/944/CFSP, especially the eight assessment criteria foreseen by it and the licensing process. Presentations on the assessment of license applications based on the EU Common Position criteria were directly accompanied by case studies and exercises. To conclude the day, Romanian licensing and post-licensing procedures were discussed, including documentation, processing time, reporting, amendment of licenses and compliance controls.

The final third day concluded the study visit with a session on the Arms Trade Treaty (ATT), its main operative provisions and implementation into national legislation from a Romanian perspective as well as the latest developments in Mongolia, challenges and prospects in the ratification process.

Author: BAFA Outreach Team

Individual Assistance for Montenegro

15-16 November 2023, Podgorica

An individual assistance workshop for Montenegro was jointly prepared and implemented in the framework of two different EU funded outreach projects: the EU Outreach Project on the Promotion of Effective

Arms Export Controls (EU COARM OP V) based on EU Council Decision (CFSP) 2020/1464, implemented by the German Federal Office for Economic Affairs and Export Control (BAFA), and the EU P2P Project No. 64 – Export Control Programme for Dual-Use Goods, implemented by Expertise France. Translation was provided into English and Montenegrin and vice versa.

Upon prior request expressed by Montenegro, the two-day event addressed the effective implementation of controls on transit and transshipment as well as approaches of (related) interagency cooperation. To this end, EU experts from both licensing- and customs authorities presented good practice examples and exercises based on real life cases and borrowing from their respective national experiences. Moreover, representatives from Montenegro presented their national approaches to transit and transshipment controls, end-user verification and interagency cooperation. Each session offered ample opportunity for questions and discussions between the participating professionals from Montenegro and the EU.

The activity was supported by four EU experts, representing either the COARM or the dual-use outreach project: Mr Klaas Leenman (EU P2P Key Expert for Enforcement, Netherlands), Ms. Raïssa Vanfleteren (Legal Advisor, Strategic Goods Unit, Flemish Chancellery & Foreign Office, Belgium), Mr. László Borza (Head of Unit of Defense Industry, Government Office of the Capital City of Budapest, Hungary) and Ms. Piret Tinkus (Head of Protection Unit, Estonian Tax and Customs Board, Estonia).

12 participants from the competent authorities from Montenegro attended the event. In addition, two observers from the United States Export Control and Border Security Program (EXBS) took part in the event.

The first day commenced with welcoming remarks by Mr. Špiro Medigović (Head of Department, Ministry of Economic Development, Montenegro), Mr. Friedjof Meyer and Mr. Niko Langhammer (both BAFA, representing the two involved EU outreach projects).

The first session began with an introduction into the distinctions between transit, transshipment and re-exports by Mr. Klaas Leenman, during which he touched upon the legal basis of implementing such controls in the EU and on the international level, such



Group picture of the Individual Assistance Workshop for Montenegro

as UNSC Resolution 1540/2004 and the Arms Trade Treaty (ATT). This was followed by an introduction into the national framework for transit and transshipment controls of Montenegro by Mr. Medigović.

In the following sessions, EU experts introduced the Montenegrin participants into the specific tasks, responsibilities and challenges of transit and transshipment controls, at first from the perspective of customs authorities (presented by Ms. Tinkus and Mr. Leenman) and subsequently from the one of licensing authorities (presented by Ms. Vanfleteren and Mr. Borza). The final session of the first workshop day delved into the difficulties of end-user verification in transit and transshipment cases. In all sessions, EU experts presented a number of case studies from their daily experience and entered into a lively exchange with the participants from Montenegro.

The second workshop day focused on interagency cooperation and information exchange among national authorities that have competencies in strategic trade controls. Here, Ms. Tinkus and Mr. Borza presented how such collaboration is structured and functioning in Estonia and Hungary, followed by some case studies that illustrated scenarios, in which interagency cooperation was crucial to prohibit transits or exports to end-users under an arms embargo.

The final session of the workshop was meant to offer the Montenegrin participants the opportunity to ex-

change with EU experts on related topics of current relevance for them. Here, a representative from Montenegro's Ministry of Foreign Affairs presented Montenegro's plan to draft a new law on the enforcement and monitoring of restrictive measures, which shall include new institutionalized approaches of interagency cooperation and enhanced dialogue and information exchange with the private sector. Moreover, Montenegrin participants stated that they seek to improve the authorities' capacities in the field of commodity identification and showed interest in how these tasks are performed and organised in EU Member States.

Author: **BAFA Outreach Team**

Highlights from 2022

Cross-Regional Workshop for South Eastern Europe, Eastern Europe and Caucasus

17-18 May 2022, Tirana, Albania

The cross-regional, cross-project Workshop on e-licensing for partner countries from South East Europe, Eastern Europe and the Caucasus took place on 17-18 May 2022, in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on EU Council Decision (CFSP) 2020/1464, together with the EU Outreach



Project regarding the export control of dual-use items (CoE 64).

The workshop aimed to provide a comprehensive overview of existing e-licensing systems and the various factors that should be taken into account, when contemplating the introduction of such systems. It aimed to assist participating partner countries to better assess if an e-licensing system is needed, and if so, which features and tools such a system should have for meeting the respective national needs. Against this background, the workshop provided an in-depth overview of different approaches to e-licensing.

BAFA (German Federal Office for Economic Affairs and Export Control) and the consortium led by Expertise France joined forces for this event, and organised it as a cross-project initiative, since electronic licensing is a matter of interest for both, the conventional arms control pillar as well as for dual-use trade control and many countries, given their size and organisation of national strategic trade controls, would probably tackle the subject jointly.

The cross-regional Workshop was supported by 11 EU experts from Belgium, Estonia, France, Germany, Italy and Romania, two members of the European Commission and three colleagues of the US Export Control and Related Border Security (EXBS) Program.

31 participants representing the competent authorities from Albania, Armenia, Bosnia and Herzegovina,

Georgia, Kosovo, Montenegro, North Macedonia, the Republic of Moldova, Serbia as well as one participant from Costa Rica took part in the workshop.

The first day began with welcome remarks by Ms Eltiona Metani, Director of Law and Licensing of the Albanian State Export Control Authority (AKSHE), Mr Marcello Irlando of the European Commission, Ms Sandra Raca, Head of Division and Leader of the EU COARM Outreach Project from BAFA, and Mr Michele Montemurro of Expertise France. The first session was dedicated to giving the participants an overview of the e-licensing situation in various EU member states, including presentations about electronic licensing in France, Germany and Belgium. Furthermore, it included a presentation about the situation in Romania, which currently does not use any e-licensing system.

In the second session, available software programmes were discussed. The EU's dual-use e-licensing system and Stratlink, the system developed by the Estonian company, Spin TEK, were presented. Each of the systems were illustrated from the developer's side as well as the user's side.

The second day featured a session on the impact of e-licensing on the industry. A representative of each country illustrated the perspective of the Belgian as well as the German industry. The fourth session provided the participants with ample opportunity to actively engage in a discussion on what they think their



Group picture of the Cross-Regional Workshop for South Eastern Europe, Eastern Europe and Caucasus



Group picture of the Study Visit for Albania, Algeria, Jordan and Lebanon

countries need with respect to e-licensing.

The fifth and final session focussed on issues to take into account after introducing e-licensing, such as maintenance, operationalisation, cost implications and IT-support. Representatives by Germany and the company, Spin TEK, held presentations about this topic. Subsequently, a roundtable discussion about the same topic was held, in which especially Kosovo* and Montenegro, as the first two countries in South Eastern Europe with an almost operational e-licensing system (provided by Spin TEK), were encouraged to talk about the status quo in their countries.

Author: BAFA Outreach Team

Study Visit for Albania, Algeria, Jordan and Lebanon

21- 23 June 2022, Rome and Livorno

From 21- 23 June 2022, a study visit to Rome and Livorno for Albania, Algeria, Jordan and Lebanon was organized in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V) based on EU Council Decision (CFSP) 2020/1464, implemented by the German Federal Office for Economic Affairs and Export Control (BAFA).

For this workshop, simultaneous translation was provided into English and Italian and vice versa.

The three-day event focussed on experience exchange, interagency cooperation as well as on the Italian approach regarding export controls, which was shared as a best practice example with the beneficiary countries. The participants had the opportunity to learn about the roles of the Italian licensing authority UAMA, of the companies and of customs in ensuring efficient export controls. Special attention was paid to interagency cooperation between licensing authority, industry and customs. Furthermore, the topics concerning industry compliance as well as customs and law enforcement procedures at ports were addressed.

9 experts supported the study visit (8 Italian experts from licensing and customs authorities, as well as 1 customs expert from Croatia). Representatives from an Italian exporting company, Leonardo S.p.A. supported the event as well.

13 participants from Albania, Algeria, Jordan and Lebanon representing several national authorities (inter alia State Export Control Authority, General Directorate of National Security, Ministry of Defense, General Directorate of Customs, Ministry of Economy and Trade) took part in the workshop.

The first day started in the premises of the Italian Ministry of Foreign Affairs in Rome and was opened by welcoming remarks by Mr Alberto Cutillo (Director of UAMA, Ministry of Foreign Affairs and International Cooperation, Italy). The first session dealt with the



role of the licensing authority in the field of export controls. Here, Mr. Silvio Vitagliano from the Italian licensing authority presented Italy's national export control system, which included the legal basis and the licensing procedures. Afterwards, each invited partner country briefly presented its national arms export control system, current aims and challenges as well as the status quo of the national arms manufacturing industry.

The second session took place in the headquarters of Leonardo S.p.A in Rome, one of Italy's most important arms manufacturing companies and dealt with the role of industry in arms export controls. Company representatives illustrated Leonardo's Internal Compliance Programme, the interaction of the company's industrial sites with central and territorial customs offices as well as the one between government authorities and the industry in order to ensure effective export controls.

The third part of this study visit addressed the role of customs in arms export controls in general. To this end, the group of participants moved to the city of Livorno, which is one of Italy's most frequented industrial ports. The visit included a presentation on the Italian custom's risk management procedures as well as two onsite visits to the customs chemical laboratory and to the installations at the Port of Livorno. In this context, Italian customs officials also presented practical examples of their applied control procedures in the port's cargo terminal.

The last session focused on practical cases presented by customs. This included another on-site visit of a production plant of Leonardo S.p.A in Livorno, where customs officers presented how to conduct an onsite customs clearance control for the export of military equipment manufactured in the plant.

Author: BAFA Outreach Team

Study Visit to Serbia for Algeria, Armenia and Bosnia and Herzegovina

12-14 July 2022, Belgrade

On 12-13 July 2022, BAFA implemented a Study visit to Serbia for Armenia and Bosnia Herzegovina which was organized as back-to-back with an Outreach event for the Serbian arms production industry (14 July 2022), both events taking place within the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V), based on the Council Decision (CFSP) 2020/1464.

I. Study visit to Serbia for Algeria, Armenia and Bosnia Herzegovina

This two-day study visit was part of a peer to peer exercise Serbia and Georgia are conducting, which was linked to a follow up study visit to Georgia in spring 2023. The study visit to Serbia had a focus on the pre-licensing phase and therefore covered topics such as internal compliance programme, transparency, and dialogue with industry and academia, including tools for shaping this dialogue.

The peer-to-peer approach made it possible at the same time to gain knowledge of the Georgian approach in this matter, as Georgia shared its expertise within the study visit to Serbia and in March 2023 vice versa, offering the participants the unique opportunity to gain insights in a more comprehensive way. While the study visit to Serbia centred on the pre-licensing phase, the study visit to Georgia focussed on the licensing phase. Therefore, the implementing entity highlighted in the official invitation that the same participants should attend both study visits in order to maximise the benefit from the peer-to-peer approach.

The event was attended by 14 persons (on the first day) and 17 persons (on the second day). The countries represented in the study visit were Armenia and Bosnia Herzegovina, beside the local Serbian participants. It has to be highlighted that the activity was supported also by two recently trained trainers namely from Serbia and Georgia.



Group picture of the Study Visit to Serbia for Armenia and Bosnia and Herzegovina

The first day began with welcome remarks by Ms. Jasmina Roskić (Head of Department, Ministry of Trade, Tourism and Telecommunications, Republic of Serbia), Mr. Marcello Repici, (EU Delegation to Serbia) and Ms. Sandra Raca (Head of the Outreach Division, Policy and Leader of the EU COARM Outreach Project, BAFA, Germany)

The first session dealt with the topic of the pre-licensing phase, namely the issue of registration and offered the participants to take note of similarities and differences from different countries such as Serbia, Georgia, Bosnia Herzegovina and Armenia.

The second session of the study visit focussed on the issue of awareness raising, especially the dialogue between industry and authority and as well the tools at the authority's and industry's disposal to shape this dialogue. Both the Serbian and Georgian experience were detailed and shared with the participants. In addition, the Serbian side used this opportunity also to inform about the recent outreach event dedicated to the Serbian Academia and research Institutes.

With regard to the topic related to the ICP (Internal Compliance Programme), Serbia informed that they are currently preparing the legal ground for introduc-

ing ICP as well as global licenc-es.

The third session tackled the subject related to the company's obligations, such as transparen-cy and reporting. The Serbian and Georgian presentation detailed what obligations the com-panies have, but also what challenges the licensing authority encounters.

Outreach to industry during the pandemic was the topic of the forth session. Here the discus-sion focussed on what legal challenges the authorities had to face during the pandemic like validity of licence or validity of registration for performing trade activities with arms and mili-tary equipment. The various legal frameworks of the arms export control systems were de-tailed and discussions highlighted what possibi-lities and solutions (from legal point of view, especially) were found. One main conclusion was that also during pandemic situation the information exchange is a 'sine qua non' condition for a system to be able to function.

Session five approached the constant challenging issue of identification of goods. It was highlighted the difficulties the authorities have especially when it comes to identification of goods when there is no in house technical expertise.



The last session of the activity dealt with the upcoming industry outreach event, by introducing to the attendees the aim, topic and expectation the Serbian licensing authority has toward the event.

II. Outreach event to the Serbian arms production industry

As part of the study visit, participants had the opportunity to participate in an outreach event dedicated to the Serbian arms production industry (14 July 2022). This allowed the participants to gain first impressions and experiences regarding how an outreach to industry event can be organized as well as of the expectations from both industry and the authorities in the field of arms export controls.

Around 80 representatives of the Serbian arms production industry attended the event.

One main objective of this outreach event pursued by the Serbian side (i.e. Ministry of Trade, Tourism and Telecommunication) was to inform the industry about the intention to introduce ICP (Internal Compliance Programme). In this respect, the Serbian side was supported by an EU Expert from Germany who held a presentation on the topic related to structured exchange and outreach to industry in Germany.

Translation was provided into Serbian and English and vice versa, for both events.

Author: BAFA Outreach Team

Binational Assistance Activity for Kazakhstan and Kyrgyzstan 26-27 July 2022, Nur-Sultan (now Astana)

From 26 - 27 July 2022, a binational activity for Kazakhstan and Kyrgyzstan was conducted in Nur-Sultan, Kazakhstan, in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V) based on EU Council Decision (CFSP) 2020/1464, implemented by the German Federal Office for Economic Affairs and Export Control (BAFA).



Group picture of the Individual Assistance Binational Activity for Kazakhstan and Kyrgyzstan

For this workshop, simultaneous translation was provided into English and Russian and vice versa.

The activity content wise focused on approaches of organizing the technical assessments in export control and ways to optimize the incorporation and use of technical expertise best into export control administration processes. Exchanging basic information was the main objective.

In this two-day activity, discussions about intended establishment of commodity identification centres took place, because both partner countries requested support in this objective. It followed the intention to incorporate components of information exchange, sharing of experiences on a peer-to-peer level and of using synergies the best way possible. It laid out the ground for follow-up work with the partner countries in this regard. The workshop also aimed at offering participants from Kazakh and Kyrgyz customs and licensing authorities the opportunity to start identifying multiple options at the decision-making stage, to exchange ideas with experts and to invite stakeholders who could assist in the establishment of the national centres.

Three experts supported the binational activity (1 independent consultant from Poland, 1 Deputy Head of Customs Procedure Division from the Lithuanian Customs Criminal Service and 1 expert as Advisor from the Conventional Arms Office of the Romanian Ministry of Foreign Affairs).



18 participants from Kazakhstan and 6 participants from Kyrgyzstan representing several national authorities (inter alia Kazakh Ministry for Industry and Infrastructure, Ministry of Energy, Ministry of Defense, Ministry of Finance, Ministry of Foreign Affairs and National Security Committee and from Kyrgyz Ministry of Economy and Commerce, State Customs Service, Kyrgyz National University and Ministry for Natural Resources, Ecology and Technical Oversight) took part in the activity.

The first day commenced with welcoming remarks by Mr Maciej Adam Madalinski (first Counsellor, Head of Political, Press and Information Section, Delegation of the EU to the Republic of Kazakhstan) and Ms Viktoria Tarasenko (Project Manager, Federal Office for Economic Affairs and Export Control (BAFA), Germany). The first session dealt with the exchange of national perspectives in the field of export controls. To introduce the topic, the Kazakh and Kyrgyz delegations started with their presentations on acquisition and use of technical expertise in export from their national perspective.

The second session introduced the subject of the technical assessment in the field of export controls from experts' perspectives. The first expert illustrated best practices from the EU regarding the meaning of technical assessment in export control under consideration of requirements and main elements in setting up possible technical identification centers. Afterwards Lithuanian and Romanian experts presented their national technical assessment approaches accompanied by round table discussions.

The second day started with the summary of the first workshop day and dealt with open questions from the previous sessions. The third session provided a platform for existing institutions from Kazakhstan and Kyrgyzstan as well as projects, which were relevant concerning the acquisition of technical expertise in export controls. The speakers presented the goals, tasks and their activities. Furthermore, links and possible synergy effects were discussed. The final round-table discussion focused on questions concerning the possibilities, challenges and next steps in establishing the technical identification centers. This session in

particular was filled with concrete ideas, concepts and goals from the two partner countries.

Author: BAFA Outreach Team

Cross-Regional Workshop for Eastern Europe and Caucasus, South Eastern Europe, North Africa Mediterranean and Central Asia

11-12 October 2022, Tbilisi

A cross-regional and cross-project workshop for beneficiary partner countries from Eastern Europe and Caucasus, South-Eastern Europe, North Africa and Mediterranean and Central Asian regions was conducted in Tbilisi, Georgia. This workshop was organized in the framework of the EU Outreach Project on the Promotion of Effective Arms Export Controls (EU COARM OP V) based on EU Council Decision (CFSP) 2020/1464-implemented by the German Federal Office for Economic Affairs and Export Control (BAFA)-in cooperation with EU P2P on Export Control of Dual-Use Goods Project 64 implemented by Expertise France.

For this event, simultaneous translation into Bosnian-Croatian-Montenegrin-Serbian, English, Georgian, French and Russian provided.

Content wise the activity focused on tackling the importance of addressing new challenges and how those can best be addressed in the area of export control. The workshop dealt in particular with controlling intangible technology transfers (ITT) as well as outreach to academia and research sector.

The reason behind is, that the topic of intangible transfers of technology and technical assistance is connected with awareness raising towards academia, research centres, including internal compliance programmes for them. Indeed, this topic constitutes a complex and challenging area wherein governments' approaches and EU export control policies are still developing.

This cross-regional workshop aimed at providing partner countries the opportunity to take note of the cur-



Group picture of Cross-Regional Workshop for Eastern Europe and Caucasus, South Eastern Europe, North Africa Mediterranean and Central Asia

rent discussions, trends, and challenges in the field of strategic trade controls with particular focus on intangible transfers of technology and the risks academia and research institutions have to be made aware of. In addition, the cross-regional and cross-project workshop offered a platform for the participants to share national experiences, efforts and challenges in this field. At the same time, the objective of the workshop was to underline the importance of ITT controls in general and how adequate controls could be implemented best.

Eight experts from Belgium, France, Germany, Romania, Spain and the European Commission supported the workshop.

30 participants from Albania, Algeria, Armenia, Bosnia-Herzegovina, Georgia, Kazakhstan, Republic of North Macedonia, Republic of Moldova, Morocco and Serbia representing several national authorities took part in the workshop. Due to visa restrictions, Kosovo could not take part in the workshop and was offered the possibility to participate online.

The first session started with the introduction into the legal background of transfer of technology, provided by various EU experts. The representatives from partner countries followed by sharing their state of

play regarding strategic trade controls over transfer of technology.

The following session addressed the control of transfer of technology from private sector's perspective and included partner countries' experiences as well as challenges encountered in exercising such controls. A comprehensive plenary discussion on approaches and views in implementing and enforcing strategic trade controls concerning transfers of technology as well as a subsequent summary of the key points closed the first workshop day.

The second day kicked-off with a session regarding Internal Compliance Programmes (ICP) and due diligence. The EU experts introduced the audience to compliance requirements according to EU Dual Use Regulation 821/2021 including available tools to respond to these requirements.

ICP implementation and due diligence with regard to ITT from industry's perspective as well as from research/academia's perspective complemented the session. A plenary discussion on experiences and challenges in ensuring compliance of industry, research and academia closed the penultimate session of the second day.



The last session on the agenda was dedicated to the importance of outreach to academia, especially from the perspective of the control authorities. The EU experts presented national experiences, lessons learned as well as new ways for better compliance by means of national examples from Germany, France and Romania. Afterwards, an introduction into the “EU Recommendation on internal compliance programmes for controls of research” published in September 2021 was provided. The following plenary discussion enabled the participating partner countries to share their national state of play concerning developments and challenges in reaching out to academia. The last presentation dealt with trends and challenges in emerging technologies. The conclusion summarised the discussed topics during the two days event.

Overall, the workshop served successfully as a platform for information exchange and the conducted plenary discussions supported the interactive approach of the workshop. In addition, the participants expressed a great interest on the topic and stressed the importance of revisiting this thematic in the context of future outreach activities in order to keep pace with the technical developments and approaches in this field.

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About the P2P Programme

The Programme's objectives are to promote and reinforce international cooperation in the field of dual-use export controls, Arms Trade Treaty implemen-

tation and arms export controls by strengthening national and regional capacity, taking into account the balance between security and economic considerations. In line with the EU Global Strategy and the EU Strategy against the Proliferation of Weapons of Mass Destruction (WMD), the EU P2P Programme for Dual-Use Goods aims to enhance the effectiveness of export control systems of dual-use items so as to combat the proliferation of WMD and related materials, equipment and technologies.

For more information visit the EU P2P website:

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